# 5.) SUBMISSION DOCUMENTS

RESPONSE TO

INVITATION FOR BID (IFB) #20-004

###### NEW YORK STATE EDUCATION DEPARTMENT

**Title:** **Transportation: Shinnecock Indian Reservation to and from Tuckahoe Common School District**

To respond to the IFB, which is noted above, you must complete all the documents that are contained in this package, signing each individual document as required. Attach any other pertinent information that responds to the information requested in the IFB and mail the documents to ensure the documents are received by the due date that is stated on the cover of the IFB, in a sealed envelope labeled:

“**Submission Documents for IFB#20-004 DO NOT OPEN”**

To:

**NYS Education Department**

**Bureau of Fiscal Management**

**Contract Administration Unit**

**Attn: Thomas McBride**

**89 Washington Avenue, Room 501W EB**

**Albany, NY 12234**

**See Attachment G: Checklist / Documentation to be Returned with Bid Proposal**

**Note: Submit a CD or Flash Drive copy of the above documents in Microsoft Office format.**

NEW YORK STATE EDUCATION DEPARTMENT IFB #20-004

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| --- |
| **Response Sheet for Bids** |

**Please complete the bidder section on this sheet even if you choose not to bid.** Read the detailed specifications, terms, and conditions, and submit this form along with your completed bid form and supporting materials.

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| --- |
| **Agency and Bid-Delivery Information** |

Bids may not be faxed. To ensure the confidentiality of your bid before the bid opening, enclose your bid within an envelope labeled

|  |
| --- |
| **Bid Proposal #20-004**  **DO NOT OPEN** |

Place this sealed envelope within another envelope labeled with the delivery information.

|  |  |  |
| --- | --- | --- |
| **Bidder Information—Please Complete This Section**  Please complete the following even if you are choosing not to bid; responses must be legible. By signing, you indicate your express authority to sign on behalf of yourself, or your company or other entity and full knowledge and acceptance of the terms and conditions of the bid. You also affirm that you understand and agree to comply with the procedures of the NYSED relative to permissible contacts as required by State Finance Law §139-j (3) and §139-j (6) (b). | | |
| **Name of Company Bidding** | **Employer's Federal Tax ID Number**  **NYS Vendor ID** | |
| **Address**  *Street City State Zip Code* | | |
|  | | |
| **Check one of the following:**  **I certify that my organization has filed its Vendor Responsibility Questionnaire online via the New York State VendRep System and that the current questionnaire was certified within the past six months.**  **I am including a completed paper copy of the Vendor Responsibility Questionnaire with the bid proposal.**  **My entity is exempt based on the OSC listing.**  **My proposal is less than $100,000, therefore a questionnaire is not required.**  **Other, explanation: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**  **I am not submitting a bid.** (Please complete and submit this sheet only; in addition, please indicate why you have chosen not to bid.)\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | |
| **Bidder’s Signature** | *Date* | *E-mail* |
| *Phone* | *Fax* |
| **Print Name as Signed and Title** | | |

The New York State Education Department reserves the right to request any additional information deemed necessary to properly review bids.

NON-COLLUSIVE BIDDING CERTIFICATION

In accordance with Section 139-d of the State Finance Law and paragraph 7 of Appendix A (Standard Clauses for NYS Contracts), the bidder hereby affirms, under penalty of perjury:

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in the case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that to the best of his knowledge and belief:

(1) The prices in this bid have been arrived at independently without collusion, consultation, communication, or agreement, for the purpose of restricting competition, as to any matter relating to such prices with any other bidder or with any competitor;

(2) Unless otherwise required by law, the prices which have been quoted in this bid have not been knowingly disclosed by the bidder and will not knowingly be disclosed by the bidder prior to opening, directly or indirectly, to any other bidder or to any competitor; and

(3) No attempt has been made or will be made by the bidder to induce any other person, partnership or corporation to submit or not to submit a bid for the purpose of restricting competition.

**A BID SHALL NOT BE CONSIDERED FOR AWARD NOR SHALL ANY AWARD BE MADE WHERE [1], [2], [3] ABOVE HAVE NOT BEEN COMPLIED WITH; PROVIDED HOWEVER, THAT IF IN ANY CASE THE BIDDER(S) CANNOT MAKE THE FORGOING CERTIFICATION, THE BIDDER SHALL SO STATE AND SHALL FURNISH BELOW A SIGNED STATEMENT WHICH SETS FORTH IN DETAIL THE REASONS THEREFORE:**

[AFFIX ADDENDUM TO THIS PAGE IF SPACE IS REQUIRED FOR STATEMEMNT.]

Subscribed to under penalty of perjury under the laws of the State of New York, this \_\_\_\_ day of \_\_\_\_\_\_\_\_\_, 20\_\_\_ as the act and deed of said corporation of partnership.

The person signing on behalf of the bidder further affirms that he/she is authorized and responsible for signing this certificate.

**Identifying Data**

Name of Potential Contractor

Street Address

City, State, zip code:

Telephone:

Name: Title:

Signature:

Joint or combined bids by companies or firms must be certified on behalf of each participant.

Legal name of person, firm or corporation Legal name of person, firm or corporation

By:

Name Name

Title Title

Street Address

City, State, Zip Code

**IF BIDDER(S) ARE A PARTNERSHIP, COMPLETE THE FOLLOWING:**

**NAMES OF PARTNERS OR PRINCIPALS LEGAL RESIDENCE**

**IF BIDDER(S) ARE A CORPORATION, COMPLETE THE FOLLOWING:**

**NAME LEGAL RESIDENCE**

President:

Secretary:

Treasurer:

President:

Secretary:

Treasurer:

MacBride Certification

**NONDISCRIMINATION IN EMPLOYMENT IN NORTHERN IRELAND:**

# MacBRIDE FAIR EMPLOYMENT PRINCIPLES

In accordance with section 165 of the State Finance Law, the bidder, by submission of this bid, certifies that it or any individual or legal entity in which the bidder holds a 10% or greater ownership, or any individual or legal entity that holds a 10% or greater ownership in the bidder, either:

(Answer Yes or No to one or both of the following, as applicable)

1. Has business operations in Northern Ireland:

\_\_\_\_\_\_ Yes \_\_\_\_\_\_ No

If yes:

1. Shall take lawful steps in good faith to conduct any business operations they have in Northern Ireland in accordance with the MacBride Fair Employment Principles relating to nondiscrimination in employment and freedom of workplace opportunity regarding such operations in Northern Ireland, and shall permit independent monitoring of compliance with such principles.

\_\_\_\_\_\_ Yes \_\_\_\_\_\_ No

Company Name:

Printed Name and Title of Authorized Representative:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature:

Date:

Proposal:

Commodity:

# CERTIFICATION – OMNIBUS PROCUREMENT ACT OF 1992

The Omnibus Procurement Act of 1992 requires that by signing this IFB/bid proposal, contractors certify that whenever the total bid amount is greater than $1 million:

1. The contractor has made reasonable efforts to encourage the participation of New York State Business Enterprises as suppliers and subcontractors on this project, and has retained the documentation of these efforts to be provided upon request to the State;

2. The contractor has complied with the Federal Equal Opportunity Act of 1972 (P.L. 92-261), as amended;

3. The contractor agrees to make reasonable efforts to provide notification to New York State residents of employment opportunities on this project through listing any such positions with the Job Service Division of the New York State Department of Labor; or providing such notification in such manner as is consistent with existing collective bargaining contracts or agreements. The contractor agrees to document these efforts and to provide said documentation to the State upon request;

4. The contractor acknowledges notice that New York State may seek to obtain offset credits from foreign countries as a result of this contract and agrees to cooperate with the State in these efforts.

Signature:

Print Name:

Title:

Company Name:

Date:

**Required Assurances**

**CERTIFICATIONS REGARDING LOBBYING; DEBARMENT, SUSPENSION AND OTHER**

**RESPONSIBILITY MATTERS; AND DRUG-FREE WORKPLACE REQUIREMENTS**

Applicants should refer to the regulations cited below to determine the certification to which they are required to attest. Applicants should also review the instructions for certification included in the regulations before completing this form. Signature of this form provides for compliance with certification requirements under 34 CFR Part 82, "New Restrictions on Lobbying," and 34 CFR Part 85, "Government-wide Debarment and Suspension (Non-procurement) and Government-wide Requirements for Drug-Free Workplace (Grants)." The certifications shall be treated as a material representation of fact upon which reliance will be placed when the Department of Education determines to award the covered transaction, grant, or cooperative agreement.

1. **LOBBYING**

As required by Section 1352, Title 31 of the U.S. Code, and implemented at 34 CFR Part 82, for persons entering into a grant or cooperative agreement over $100,000, as defined at 34 CFR Part 82, Sections 82.105 and 82.110, the applicant certifies that:

(a) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the making of any Federal grant, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal grant or cooperative agreement;

(b) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal grant or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions;

(c) The undersigned shall require that the language of this certification be included in the award documents for all sub-awards at all tiers (including sub-grants, contracts under grants and cooperative agreements, and subcontracts) and that all sub-recipients shall certify and disclose accordingly.

2. **DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS**

As required by Executive Order 12549, Debarment and Suspension, and implemented at 34 CFR Part 85, for prospective participants in primary covered transactions, as defined at 34 CFR Part 85, Sections 85.105 and 85.110--

A. The applicant certifies that it and its principals:

(a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;

(b) Have not within a three-year period preceding this application been convicted of or had a civil judgement rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or

State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;

c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (2)(b) of this certification; and

(d) Have not within a three-year period preceding this application had one or more public transaction (Federal, State, or local) terminated for cause or default; and

B. Where the applicant is unable to certify to any of the statements in this certification, he or she shall attach an

explanation to this application.

3. **DRUG-FREE WORKPLACE**

**(GRANTEES OTHER THAN INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.605 and 85.610 -

A. The applicant certifies that it will or will continue to provide a drug-free workplace by:

(a) Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the grantee's workplace and specifying the actions that will be taken against employees for violation of such prohibition;

(b) Establishing an on-going drug-free awareness program to inform employees about:

(1) The dangers of drug abuse in the workplace;

(2) The grantee's policy of maintaining a drug-free workplace;

(3) Any available drug counseling, rehabilitation, and employee assistance programs; and

(4) The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace;

(c) Making it a requirement that each employee to be engaged in the performance of the grant be given a copy of the statement required by paragraph (a);

(d) Notifying the employee in the statement required by paragraph (a) that, as a condition of employment under the grant, the employee will:

(1) Abide by the terms of the statement; and

(2) Notify the employer in writing of his or her conviction for a violation of a criminal drug statute occurring in the workplace no later than five calendar days after such conviction;

(e) Notifying the agency, in writing, within 10 calendar days after receiving notice under subparagraph (d)(2) from an employee or otherwise receiving actual notice of such conviction. Employers of convicted employees must provide notice, including position title, to: Director, Grants Policy and Oversight Professional, U.S. Department of Education, 400 Maryland Avenue, S.W. (Room 3652, GSA Regional Office Building No. 3), Washington, DC 20202-4248. Notice shall include the identification number(s) of each affected grant;

(f) Taking one of the following actions, within 30 calendar days of receiving notice under subparagraph (d)(2), with respect to any employee who is so convicted:

(1) Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or

(2) Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency;

(g) Making a good faith effort to continue to maintain a

drug-free workplace through implementation of paragraphs

(a), (b), (c), (d), (e), and (f).

B. The grantee may insert in the space provided below the site(s) for the performance of work done in connection with the specific grant:

Place of Performance (Street address, city, county, state, and zip code)

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Check **[ ]** if there are workplaces on file that are not identified here.

**DRUG-FREE WORKPLACE**

**(GRANTEES WHO ARE INDIVIDUALS)**

As required by the Drug-Free Workplace Act of 1988, and implemented at 34 CFR Part 85, Subpart F, for grantees, as defined at 34 CFR Part 85, Sections 85.610-

A. As a condition of the grant, I certify that I will not engage in the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance in conducting any activity with the grant; and

B. If convicted of a criminal drug offense resulting from a violation occurring during the conduct of any grant activity, I will report the conviction, in writing, within 10 calendar days of the conviction, to: Director, Grants Policy and Oversight Professional, Department of Education, 400 Maryland Avenue, S.W. (Room 3652, GSA Regional Office building No. 3), Washington, DC 20202-4248. Notice shall include the identification number(s) of each affected grant.

As the duly authorized representative of the applicant, I hereby certify that the applicant will comply with the above certifications. The applicant will provide immediate written notice to the NYSED Contract Administration Unit if at any time the applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

|  |
| --- |
| NAME OF APPLICANT PR/AWARD NUMBER AND / OR PROJECT NAME |
| PRINTED NAME AND TITLE OF AUTHORIZED REPRESENTATIVE |
| SIGNATURE DATE |
| CONTRACT YEAR CONTRACT NUMBER |

Instructions: The attached form is to be completed and submitted by the individual or entity seeking to enter into a Procurement Contract. It shall be submitted to the State Education Department.

Offerer Disclosure of Prior Non-Responsibility Determinations

Name of Individual or Entity Seeking to Enter into the Procurement Contract:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Name and Title of Person Submitting this Form: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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Contract IFB Number: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Has any Governmental Entity made a finding of non-responsibility regarding the individual or entity seeking to enter into the Procurement Contract in the previous four years? (Please circle):

No Yes

If yes, please answer the next questions; if no, please resume at No. 5:

2. Was the basis for the finding of non-responsibility due to a violation of State Finance Law §139-j (Please circle):

No Yes

3. Was the basis for the finding of non-responsibility due to the intentional provision of false or incomplete information to a Governmental Entity? (Please circle):

No Yes

4. If you answered yes to any of the above questions, please provide details regarding the finding of non-responsibility below.

Governmental Entity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of Finding of Non-responsibility: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Basis of Finding of Non-Responsibility: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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(Add additional pages as necessary)

5. Has any Governmental Entity or other governmental agency terminated or withheld a Procurement Contract with the above-named individual or entity due to the intentional provision of false or incomplete information? (Please circle):

No Yes

6. If yes, please provide details below.

Governmental Entity: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Date of Termination or Withholding of Contract: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Basis of Termination or Withholding: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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(Add additional pages as necessary)

Offerer certifies that all information provided to the Governmental Entity with respect to State Finance Law §139-k is complete, true and accurate.

By: Date:

Signature

Name:

Title:

|  |  |  |  |
| --- | --- | --- | --- |
| University of the State of New York, New York State Education Department | **NEW YORK STATE EDUCATION DEPARTMENT**  **NYSED SUBSTITUTE FORM W-9:**  **REQUEST FOR TAXPAYER IDENTIFICATION NUMBER & CERTIFICATION** | | |
| ***TYPE OR PRINT INFORMATION NEATLY. PLEASE REFER TO INSTRUCTIONS FOR MORE INFORMATION.*** | | | |
| **Part I: Payee/Vendor/Organization Information AGENCY ID:** | | | |
| 1. Legal Business Name: | | | 2. If you use a DBA, please list below: |
| 3. Entity Type (Check one only):  Sole Proprietor  Partnership  Limited Liability Co.  Business Corporation  Unincorporated Association/Business  Federal Government  State Government  Public Authority  Local Government  School District  Fire District  Other \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | | | |
| **Part II: Taxpayer Identification Number (TIN) & Taxpayer Identification Type** | | | |
| |  |  |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | --- | --- | |  |  |  |  |  |  |  |  |  |   1. Enter your TIN here: *(DO NOT USE DASHES)*  2. Taxpayer Identification Type (check appropriate box):  Employer ID No. (EIN) Social Security No. (SSN) Individual Taxpayer ID No. (ITIN)  N/A (Non-United States Business Entity) | | | |
| **Part III: Address** | | | |
| 1. Physical Address: | | 2. Remittance Address: | |
| Number, Street, and Apartment or Suite Number | | Number, Street, and Apartment or Suite Number | |
| City, State, and Nine Digit Zip Code or Country | | City, State, and Nine Digit Zip Code or Country | |
| **Part IV: Certification of CEO or Properly Authorized Individual** | | | |
| Under penalties of perjury, I certify that I am the CEO or properly authorized individual and that the number shown on this form is my correct Taxpayer Identification Number (TIN).  **Sign Here:**  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Signature Date  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Print Name Phone Number Email Address | | | |
| **Part V: Contact Information – Individual Authorized to Represent the Payee/Vendor/Organization** | | | |
| Contact Person: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Title: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  **(Print Name)**  **Contact’s Email Address:** \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ Phone Number: ( )\_\_\_\_\_\_\_\_ \_\_\_ \_ | | | |
| **Part VI: Survey of Future Payment Methods** | | | |
| Please indicate all methods of payment acceptable to your organization:  [ ] Electronic [ ] Check [ ] VISA | | | |

**NYS Education Department**

**Instructions for Completing NYSED Substitute W-9**

The NYS Education Department (NYSED) is using the NYSED Substitute Form W-9 to obtain certification of your TIN in order to facilitate your registration with the SFS centralized vendor file and to ensure accuracy of information contained therein. We ask for the information on the NYSED Substitute Form W-9 to carry out the Internal Revenue laws of the United States.

Any payee/vendor/organization receiving Federal and/or State payments from NYSED must complete the NYSED Substitute Form W-9 if they are not yet registered in the SFS centralized vendor file.

***Part I: Payee/Vendor/Organization Information***

1. **Legal Business Name**: For individuals, enter the name of the person who will do business with NYS as it appears on the Social Security card or other required Federal tax documents. An organization should enter the name shown on its charter or other legal documents that created the organization. Do not abbreviate names.
2. **DBA (Doing Business As)**: Enter your DBA name, if applicable.
3. **Entity Type**: Mark the Entity Type doing business with New York State.

***Part II: Taxpayer Identification Number (TIN) and Taxpayer Identification Type***

1. **Taxpayer Identification Number:** Enter your nine-digit Social Security Number, Individual Taxpayer Identification Number (ITIN)[[1]](#footnote-2) or Employer Identification Number.
2. **Taxpayer Identification Type:** Mark the type of identification number provided.

***Part III: Address***

1. Physical Address: List the location of where your business is physically located.
2. Remittance Address: List the location where payments should be delivered.

***Part IV: Certification of CEO or Properly Authorized Individual***

Please sign, date and print the authorized individual’s name, telephone and email address. An email address will facilitate communication and access to Vendor Self Service.

***Part V: Contact Information***

Please provide the contact information for an individual who is authorized to make legal and financial decisions for your organization. An email address will facilitate communication and access to Vendor Self Service.

***Part VI: Survey of Future Payment Methods***

Payment methods are needed for informational purposes. To expedite payments, vendors are strongly encouraged to consider accepting payment via VISA credit card.

**IRAN DIVESTMENT ACT CERTIFICATION**

As a result of the Iran Divestment Act of 2012 (Act), Chapter 1 of the 2012 Laws of New York, a new provision has been added to the State Finance Law (SFL), § 165-a, effective April 12, 2012. Under the Act, the Commissioner of the Office of General Services (OGS) will be developing a list (prohibited entities list) of “persons” who are engaged in “investment activities in Iran” (both are defined terms in the law). Pursuant to SFL § 165-a(3)(b), the initial list is expected to be issued no later than 120 days after the Act’s effective date, at which time it will be posted on the OGS website.

By submitting a bid in response to this solicitation or by assuming the responsibility of a Contract awarded hereunder, Bidder/Contractor (or any assignee) certifies that once the prohibited entities list is posted on the OGS website, it will not utilize on such Contract any subcontractor that is identified on the prohibited entities list.

Additionally, Bidder/Contractor is advised that once the list is posted on the OGS website, any Contractor seeking to renew or extend a Contract or assume the responsibility of a Contract awarded in response to the solicitation, must certify at the time the Contract is renewed, extended or assigned that it is not included on the prohibited entities list.

During the term of the Contract, should the New York State Education Department (AGENCY) receive information that a person is in violation of the above-referenced certification, AGENCY will offer the person an opportunity to respond. If the person fails to demonstrate that it has ceased its engagement in the investment which is in violation of the Act within 90 days after the determination of such violation, then AGENCY shall take such action as may be appropriate including, but not limited to, imposing sanctions, seeking compliance, recovering damages, or declaring the Contractor in default.

AGENCY reserves the right to reject any bid or request for assignment for an entity that appears on the prohibited entities list prior to the award of a contract, and to pursue a responsibility review with respect to any entity that is awarded a contract and appears on the prohibited entities list after contract award.

Signature:

Print Name:

Title:

Company Name:

Date: :

**CERTIFICATION – Sexual Harassment Policy**

By submission of this bid, each bidder and each person signing on behalf of any bidder certifies, and in case of a joint bid each party thereto certifies as to its own organization, under penalty of perjury, that the bidder has and has implemented a written policy addressing sexual harassment prevention in the workplace and provides annual sexual harassment prevention training to all of its employees. Such policy shall, at minimum, meet the requirements of section two hundred one-g of the labor law.

Signature:

Print Name:

Title:

Company Name:

Date:

**Request for Exemption from Disclosure**

**Pursuant to the Freedom of Information Law**

New York State Public Officers Law, Article 6 (Freedom of Information Law) requires that each agency shall make available all records maintained by said agency, except that agencies may deny access to records or portions thereof that fall within the scope of the exceptions listed in Public Officers Law §87(2).

Any proprietary materials submitted as part of, or in support of, a bidder’s proposal, which bidder considers confidential or otherwise excepted from disclosure under the Freedom of Information Law, must be specifically so identified, and the basis for such confidentiality or other exception must be specifically set forth.

Please list **all** such documents for every portion of the proposal on the form below, and include a copy of this document with the technical proposal. Materials which are not indicated below may be released in their entirety upon request without notice to you.

According to law, the entity requesting exemption from disclosure has the burden of establishing entitlement to confidentiality. Submission of this form does not necessarily guarantee that a request for exemption from disclosure will be granted. If necessary, NYSED will make a determination regarding the requested exemptions, in accordance with the process set forth in Public Officers Law §89(5).

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| **Material for which Exemption is Requested** | **Location / Page Number(s)** | **Basis for Request** |
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## Mandatory Requirements Certification Form IFB#20-004

**Transportation: Shinnecock Indian Reservation to and from Tuckahoe Common School District**

**The undersigned agrees to abide by all specifications outlined in IFB#20-004, Transportation: Shinnecock Indian Reservation to and from Tuckahoe Common School District**

**Mandatory Submission Requirements:**

**Experience/References**

In addition to the attachments located in **5.) Submission Documents**, bidders must submit a narrative statement indicating the type and extent of school bus transportation experience they have had and submit supporting references of those school districts which they have previously or are presently serving, together with the details of such service. **The name, title, and telephone number of a reference from at least two different school districts must be provided to the State**. **Failure to submit the required narrative statement and two references will result in disqualification.**

|  |  |  |  |
| --- | --- | --- | --- |
| Vendor Signature and Title |  | **Date:** |  |
| **Printed Name** |  | | |
| **Company Name** |  | | |
| **Company Address** |  | | |

|  |  |  |  |
| --- | --- | --- | --- |
| **FOR NYSED USE ONLY** | | | |
| NYSED Program Office Signature and Title |  | **Date:** |  |
| **Printed Name** |  | | |

**IFB#20-004 – ATTACHMENT A**

**Bid Form - Cost Proposal: Transportation: Shinnecock Indian Reservation  
 to and from Tuckahoe Common School District**

###### NYS Education Department

**Title: Transportation: Shinnecock Indian Reservation to and from Tuckahoe Common School District**

* **Please use the separate Excel file for Attachment A Bid Form - Cost Proposal**
* **Signature required**

**IFB#20-004 – ATTACHMENT B** Page 1 of 1

Adjustments

In the event it becomes necessary to add or delete any service pertaining to this contract, it is understood that the vehicle charges proposed in ATTACHMENT A will be used in those situations resulting in an increase or decrease in the number of vehicles required. The charges or credits will be pro-rated using 180 days service as the base for said pro-ration. In the event there is no change in the vehicle requirement but mileage to be driven by a vehicle increases or decreases by at least 10%, the following rate per mile is proposed:

Charge Category

Year 1\_\_\_\_\_\_\_\_\_ Year 2 \_\_\_\_\_\_\_\_ Year 3 \_\_\_\_\_\_\_\_\_ Year 4 \_\_\_\_\_\_\_\_ Year 5 \_\_\_\_\_\_\_\_

Per mile

|  |  |  |  |
| --- | --- | --- | --- |
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It is understood by both parties that there will be no additional charges or credits in the event the

number of pupils transported either increases or decreases and said increase or decrease does not

result in the need to travel any more or less miles or result in the need to change the equipment shown

for said route in ATTACHMENT D.

Signed this day of , 20\_\_ .

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| Witness | Signature |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Printed Name | Printed Name |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Company Name | Title |
| \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ | \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
| Company Address | Telephone Number |

**IFB#20-004** **- ATTACHMENT C**

**ROSTER OF DRIVERS**

Name Driver License # License Class Type\*

\_\_\_\_\_\_\_

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I certify the above drivers have met all State laws, rules, and regulations necessary to be employed as school bus drivers as defined in Part 1 of the bid specifications and have investigated their ability and character.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Signature

\*Use "R" to indicate regular; use "S" to indicate substitute.

**IFB#20-004 - ATTACHMENT D**

**VEHICLE / EQUIPMENT LISTING**

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| EQUIPMENT | BUS # | PROPOSED  ROUTE # | MAKE | YEAR | CAPACITY | PROPOSED  PUPIL LOAD | LAST  INSP.  mo/yr | LENGTH OF APPROVAL  3 mo. 6 mo. | |
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I CERTIFY THE VEHICLES LISTED ABOVE ARE (ALL) OR (PARTIALLY) (OWNED) OR (LEASED) BY ME AND/OR MY COMPANY. IF NEITHER OWNED OR LEASED, EXPLAIN.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

SIGNED

**IFB #20-004 Attachment E**

**Summary Address Information for Transportation of Students**

**Residing on the Shinnecock Nation and Attending Tuckahoe Common School District**

**Present School Year (2019-2020)**

1. Estimated enrollment is listed below. At the time this IFB was issued, the summary below was the most accurate information available. The successful vendor will be required to contact the school district to determine any changes/additions to this list before each contract year.

**Please note:**

* + All students in 12-month program require aides/monitors as indicated in their IEP
  + All large buses follow a school calendar
  + All small buses are used for IEP students, after school and summer school.

1. Operation of School Hours, Monday – Friday as follows: Starts at 8:00 AM

Elementary School: Tuckahoe Common School District K-6

Middle School: Tuckahoe Common School District Grades 7 & 8

1. Students enrolled in non-public schools located within 15 miles of Tuckahoe Common School District.

**Transported to and from Tuckahoe Common School District**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| Grade | | Number of Students | Addresses served | |
| Pre- K | | 1 | 157 Old Point Rd, Southampton | |
|  | | 1 | 142 Old Point Rd, Southampton | |
|  | | 1 | 106 Old Point Rd, Southampton | |
| K | | 1 | 13 West Gate Rd. Southampton | |
|  | | 1 | 139 Old Point Rd. Southampton | |
|  | | 1 | 106 Old Point Rd. Southampton | |
| 1 | | 1 | 528 West Gate Rd, Southampton | |
|  | | 1 | 1 West Church St. Southampton | |
| 2 | | 1 | 40A Montauk Hwy, Southampton | |
|  | | 1 | 28 Old Point Rd, Southampton | |
|  | | 1 | 302 Little Beach Rd, Southampton | |
| 3 | | 1 | 310 Old Point Rd, Southampton | |
|  | | 1 | 106 Old Point Rd, Southampton | |
| 4 | | 1 | 13 West Gate Rd., Southampton | |
|  | | 1 | 102 Little Beach Rd, Southampton | |
| 5 | | 0 |  | |
| 6 | 1 | | | 31 Old Point Rd, Southampton |
| 7 | 1 | | | 13 West Gate Rd, Southampton |
| 8 | 1 | | | 31 1 Old Point Rd, Southampton |

**Transported to Bridgehampton School District**

|  |  |  |
| --- | --- | --- |
| Grade | Number of Students | Various Streets Served |
| 6 (IEP) | 1 | 46D Montauk Hwy, Southampton |

**Due to privacy concerns, student names have not been included. The successful vendor shall contact Tuckahoe Common School District each contract year in order to determine the names, addresses, drop-off and pickup times of the students to be transported.**

**FINAL ROUTES FOR THE 2020-2021 SCHOOL YEAR, AND BEYOND, WILL BE DETERMINED BY THE SUCCESSFUL VENDOR AFTER CONSULTATION WITH THE TUCKAHOE COMMON SCHOOL DISTRICT AND OTHER SCHOOLS BEING SERVED.**

**IT IS POSSIBLE THE ROUTES WERE ALTERED, AS NEEDED, THROUGHOUT THE 2019-20 SCHOOL YEAR TO ACCOMMODATE ALL STUDENTS, BOTH PUBLIC AND PRIVATE, REQUIRING TRANSPORTATION.**

**ATTACHMENT F**

**School Calendars**

Bidders will acquire 2020-2021 school year calendars directly from the school districts and schools involved to develop bid amounts. School calendars shall be submitted along with the bid proposal. If the 2020-2021 calendar is not available at time of submission, submit a 2019-20.

**ATTACHMENT G**

Checklist

Documentation to be Returned With Bid Proposal

**Application Checklist IFB# 20-004**

All bidders must complete the checklist presented below and submit the following forms in the order listed in the checklist.

**SUBMISSION DOCUMENTS PACKAGE (SIGNATURES REQUIRED)**

|  |  |  |
| --- | --- | --- |
|  | **REQUIREMENT** | Included |
|  | This checklist |  |
|  | Response Sheet to Bids |  |
|  | Non-collusion Certification |  |
|  | MacBride Certification |  |
|  | Certification-Omnibus Procurement Act of 1992 |  |
|  | Certifications Regarding Lobbying; Debarment and Suspension; and Drug-Free Workplace Requirements |  |
|  | Offerer Disclosure of Prior Non-Responsibility Determinations |  |
|  | Iran Divestment Act Certification |  |
|  | Sexual Harassment Policy Certification |  |
|  | NYSED Substitute Form W-9 (If bidder is not yet registered in the SFS centralized vendor file. If registered, insert NYS Vendor ID in “Response Sheet for Bids” Check  if not applicable) |  |
|  | Vendor Responsibility Questionnaire ( Paper submission  Electronic filing  Not applicable) |  |
|  | Mandatory Requirements Certification Form, including References and Narrative Statement of Experience |  |
|  | ATTACHMENT A - Bid Proposal |  |
|  | ATTACHMENT B – Adjustments to Attachment A |  |
|  | ATTACHMENT C - Roster of Drivers |  |
|  | ATTACHMENT D – Vehicle / Equipment Listing |  |
|  | ATTACHMENT F – School Calendar |  |
|  | Appendix S-1 (included in the IFB) responses to #3 and #6 |  |
|  | Request for Exemption from Disclosure Pursuant to the Freedom of Information Law, if applicable |  |
|  | **While the following forms are not required until notification of selection is made, bidders are strongly encouraged to submit the following forms with their proposal** |  |
| *Sales and Compensating Use Tax Documentation*  [ST-220 CA](http://www.tax.ny.gov/pdf/current_forms/st/st220ca_fill_in.pdf)  [ST-220 TD](http://www.tax.ny.gov/pdf/current_forms/st/st220td_fill_in.pdf) | | |
|  | **ST-220 CA**, Sales and Compensating Use Tax Certification |  |
| [*Worker’s Compensation Documentation*](http://www.wcb.ny.gov/content/main/Employers/busPermits.jsp) | | |
|  | **Form C-105.2** – Certificate of Workers’ Compensation Insurance issued by private insurance carriers, or **Form U-26.3** issued by the State Insurance Fund; OR |  |
|  | **Form SI-12**– Certificate of Workers’ Compensation Self-Insurance; or **Form GSI-105.2** Certificate of Participation in Workers’ Compensation Group Self-Insurance; OR |  |
|  | **CE-200** Certificate of Attestation for New York Entities with No Employees and certain out of State Entities, that New York State Worker’s compensation and/or Disability Benefits Insurance is not required. |  |
| [*Disability Benefits Coverage*](http://www.wcb.ny.gov/content/main/Employers/busPermits.jsp) | | |
|  | **Form DB-120.1** - Certificate of Disability Benefits Insurance; OR |  |
|  | **Form DB-155**- Certificate of Disability Benefits Self-Insurance; OR |  |
|  | **CE-200**– Certificate of Attestation of Exemption from New York State Workers’ Compensation and/or Disability Benefits Coverage. |  |
|  | **Minimum Required Insurance: forms are not required until notification of selection is made, however bidders are strongly encouraged to submit the following forms with their proposal** |  |
|  | Fleet Insurance |  |
|  | Liability Insurance |  |
|  | Personal Injury Protection (no-fault) Coverage |  |

1. An ITIN is a nine-digit number used by the United States Internal Revenue Service for individuals not eligible to obtain a Social Security Number, but are required to file income taxes. To obtain an ITIN, submit a completed W-7 to the IRS. The IRS will notify you in writing within 4 to 6 weeks about your ITIN status. In order to do business with New York State, **you must submit IRS Form W-8** along with our NYSED Substitute Form W-9 showing your ITIN. IRS Form W-8 certifies your foreign status. To obtain IRS FormsW-7 and W-8, call 1-800-829-3676 or visit the IRS website at www.irs.gov. [↑](#footnote-ref-2)