

2017-18 Title I School Improvement Grant 1003 Basic - Annually - 2017

Introduction - Background

Background

Purpose of Allocation

Section 1003 of the Elementary and Secondary Education Act (ESEA) requires that State Education Agencies allocate funds to Local Education Agencies (LEAs) for Priority and Focus Schools to meet the progress goals in their District Comprehensive Improvement Plan and School Comprehensive Education Plan(s) (DCIP/SCEP) and thereby improve student performance.

Eligibility

The following districts/schools **are eligible** for 2017-2018 Section 1003 Basic School Improvement funding:

- Title I Focus Districts
- Priority Schools
- Focus Schools
- LAP Schools

The list of identified districts and schools that are eligible to generate funding is available online at: www.p12.nysed.gov/accountability/ESEADesignations.html.

The following districts/schools **are not eligible** for 2017-2018 Section 1003 Basic School Improvement funding:

- Districts in Good Standing with no identified Priority, Focus, or LAP schools;
- Any school in Good Standing;
- Any school receiving a 1003(g) School Improvement Grant (SIG);
- Any school receiving a Title I Socioeconomic Integration Pilot Program (SIPP) grant;
- Any school receiving a Receivership Grant;
- Focus or Priority Charter Schools.

Funding

Title I Focus Districts identified for 2017-18 will receive the following allocation(s):

- Title I Focus District: \$50,000 per district
- Priority School: \$75,000 per school
- Focus School: \$50,000 per school
- LAP School: \$20,000 per school

Title I Districts in Good Standing with LAP Schools are eligible to apply for a LAP School allocation, but are not eligible for a district allocation.

Project Period

September 1, 2017 to August 31, 2018.

Allowable Activities

Districts must target funds toward the area(s) of identification and subgroup(s) identified for improvement. Funds may only be used for activities allowed under Title I and must be used for mandated improvement activities.

School Improvement Grant funds cannot be used to meet the Focus District Parent Education requirement, but additional Parent Education activities may be funded with 1003 grants after the Focus District requirement has been met with Title I, Part A funds.

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Introduction - Submission Instructions

Submission Instructions

Submission Instructions

- LEAs must complete all sections and are required to answer questions marked with a red asterisk. If a required question has not been completed, the business portal will highlight it in red and the section of the application will be flagged. The applicant will be unable to submit the application to NYSED for final review if a required question remains unresolved.
- FS-10 Budget forms should be completed in a manner that clearly identifies and aligns proposed expenses to Mandated Activities. Please refer to the *Documents Panel* section of the application for a sample budget titled, "TA - Sample SIG Basic FS-10".
- The online application may only be submitted/certified by the chief school officer of the applicant LEA. The designated superintendent (public school LEAs), and the chief executive officer, board of trustees president, or school employee designated by the board of trustees (charter school LEAs) are the only administrators with the submit/certify rights necessary to successfully submit and certify a completed application for NYSED review.
- LEAs are **NOT REQUIRED** to send hard copies of general application materials to the Department.
- LEAs are **ONLY** required to send signed originals and two hard copies of each FS-10 Budget Form to:

*Attn: Title I School Improvement Grant 1003 Basic
New York State Education Department
Title I School & Community Services, Room 318 EB
89 Washington Avenue, Albany, New York 12234*

Deadline for the completed application - "2017-18 Title I School Improvement Grant 1003 Basic" - is September 15, 2017. The Business Portal will close at midnight of this date. Signed Budget documents must be post-marked by no later than September 15, 2017.

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Assurances - Assurances

Assurances

1. **The LEA assures that each program will be administered in accordance with all applicable statutes, regulations, program plans and applications. SEC. 8306. [20 U.S.C. 7846](a)(1)**
 YES, the LEA provides the above assurance.
2. **The LEA assures that the control of funds provided under such programs and title to property acquired with program funds will be in a public agency or in an eligible private agency, institution, organization, or Indian Tribe, if the law authorizing the program provides for assistance to those entities. SEC. 8306. [20 U.S.C. 7846](a)(2)(A)**
 YES, the LEA provides the above assurance.
3. **The public agency, eligible private agency, institution, or organization, or Indian Tribe will administer the funds and property to the extent required by authorizing statutes. SEC. 8306. [20 U.S.C. 7846](a)(2)(B)**
 YES, the LEA provides the above assurance.
4. **The applicant will adopt and use proper methods of administering each such program, including the enforcement of any obligations imposed by law on agencies, institutions, organizations, and other recipients responsible for carrying out each program. SEC. 8306. [20 U.S.C. 7846](a)(3)(A)**
 YES, the LEA provides the above assurance.
5. **The applicant will adopt and use proper methods of administering each such program, including the correction of deficiencies in program operations that are identified through audits, monitoring or evaluations. SEC. 8306. [20 U.S.C. 7846](a)(3)(B)**
 YES, the LEA provides the above assurance.
6. **The LEA assures that the applicant will cooperate in carrying out any evaluations of each such program conducted by or for the state education agency, the Secretary, or other federal officials. SEC. 8306. [20 U.S.C. 7846](a)(4)**
 YES, the LEA provides the above assurance.
7. **The LEA assures that the applicant will use fiscal control and fund accounting procedures as will ensure proper disbursement of, and accounting for, federal funds paid to the applicant under such program. SEC. 8306. [20 U.S.C. 7846](a)(5)**
 YES, the LEA provides the above assurance.
8. **The LEA assures that the applicant will submit such reports to the state education agency (which will make the reports available to the Governor) and the Secretary as the state educational agency and the Secretary may require to enable the State educational agency and the Secretary to perform their duties under each such program. SEC. 8306. [20 U.S.C. 7846](a)(6)(A)**
 YES, the LEA provides the above assurance.
9. **The LEA assures that the applicant will maintain such records, provide such information, and afford such access to the records as the state educational agency (after consultation with the Governor) or Secretary may reasonably require to carry out the state educational agency's or the Secretary's duties. SEC. 8306. [20 U.S.C. 7846](a)(6)(B)**
 YES, the LEA provides the above assurance.
10. **The LEA assures that, before the application was submitted, the applicant afforded a reasonable opportunity for public comment on the application and considered such comment. SEC. 8306. [20 U.S.C. 7846](a)(7)**
 YES, the LEA provides the above assurance.

11.

The following assurances and certifications are a component of your application. By responding "YES" to this item, you are ensuring accountability and compliance with applicable State and federal laws, regulations, and grants management requirements.

Federal Assurances and Certifications, General:

- Assurances – Non-Construction Programs
- Certifications Regarding Lobbying; Debarment, Suspension and Other Responsibility Matters
- Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion – Lower Tier Covered Transactions

Federal Assurances and Certifications, ESEA:

The following are required as a condition for receiving any federal funds under the Elementary and Secondary Education Act.(ESEA)

- ESEA Assurances
- School Prayer Certification

Please refer to "Application Assurances" in the Supporting Documents section of this survey for a complete listing of assurances and certifications for Federal Program funds.

YES, the LEA provides the above assurance.

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Allocations/Budget - Allocations/Budget

Allocations/Budget

Title I Focus Districts identified for 2017-18 will receive the following allocation(s):

- Title I Focus District: \$50,000 per district
- Priority School: \$75,000 per school
- Focus School: \$50,000 per school
- LAP School: \$20,000 per school

Title I Districts in Good Standing with LAP Schools are eligible to apply for a LAP School allocation, but are not eligible for a district allocation.

Schools receiving a 1003(g) School Improvement Grant (SIG), Title I Socioeconomic Integration Pilot Program (SIPP) funds, Receivership Grant funds, and Focus/Priority Charter Schools are not eligible for this grant.

5. The following chart should be completed to identify the allocation(s) available to your LEA.
 1. As applicable in the first column, identify the 2017-18 status of the entity (district or school).
 2. From the drop-down menu in the second column, select the allocation available to the entity identified in the first column.
 3. In the third column, indicate the quantity that applies to the selected entity, and then multiply this number by the allocation to determine the subtotal amount for the final column.
 4. The Total is the maximum funds available for the district as of September 1, 2017.

	Allocation (\$)	Quantity (#)	Subtotal (\$)
District	\$0 - District in Good Standing \$50,000 - Focus District \$75,000 - Priority School \$50,000 - Focus School \$20,000 - LAP School Not Applicable - NA		
Priority School	\$0 - District in Good Standing \$50,000 - Focus District \$75,000 - Priority School \$50,000 - Focus School \$20,000 - LAP School Not Applicable - NA		
Focus School	\$0 - District in Good Standing \$50,000 - Focus District \$75,000 - Priority School \$50,000 - Focus School \$20,000 - LAP School Not Applicable - NA		
LAP School	\$0 - District in Good Standing \$50,000 - Focus District \$75,000 - Priority School \$50,000 - Focus School \$20,000 - LAP School Not Applicable - NA		
Totals:	0.00	0.00	0.00

8. Please upload a signed copy of the FS-10 Budget for SIG 1003 Basic funds. FS-10 Budget forms should be completed in a manner that clearly identifies and aligns proposed expenses to Mandated Activities.

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Program Narratives - Program Narratives

Program Narrative Charts

Please complete the following question group describing the Mandated Activities being implemented using SIG 1003 Basic funds.

1. As applicable, Title I Focus Districts identified for 2017-18 *must* provide a description of the specific activities to be completed for Mandated Activities 1, 2, 3, 4, and 5 and *may* provide descriptions for Mandated Activity 6 (if the district has LAP schools).
2. Title I Good Standing Districts with 1+ LAP Schools *must* provide a description of the specific activities to be completed for Mandated Activity 6.

For LEAs applying for funds with *four or more schools*, please submit *multiple question groups* by combining schools into clusters. An LEA may group schools based on similar characteristics such as, but not limited to: (1) accountability status, (2) grade level, (3) common initiatives, and/or (4) common Mandated Activities.

Click on "Add Another Group" as applicable to access/submit additional question groups.

1. **Please identify the school(s) referred to in this question group.**
2. **As applicable, briefly identify the characteristic(s) used to combine the group of schools listed in the previous question. If not applicable, indicate this with "N/A".**
3. **MANDATED ACTIVITY #1 - DTSDE TRAINING AND DTSDE REVIEW: Participation in DTSDE Training, including Focus District Institutes, Regional workshops, and/or DTSDE Training Reviews, and implementation of DTSDE Reviews at the district and/or school level using the DTSDE and approved DTSDE surveys during the 2017-2018 school year.**

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

3a. Describe the activities being supported by SIG 1003(a) grant funds.

3b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES

4. **MANDATED ACTIVITY #2 - 2017-2018 PLAN DEVELOPMENT: Participation in development of the 2017-2018 District Comprehensive Improvement Plan (DCIP), 2017-2018 School Comprehensive Education Plans (SCEPs), and/or 2017-2018 Strategic Plans for School Excellence (SPSE).**

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

4a. Describe the activities being supported by SIG 1003(a) grant funds.

4b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES

5. MANDATED ACTIVITY #3 - EVALUATION: (a) Review of qualifications of Priority and Focus School leaders, (b) work with independent evaluators to monitor and evaluate fidelity of program implementation, and (c) submission of required leading indicator reports to NYSED during the 2017-2018 school year.

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

5a. Describe the activities being supported by SIG 1003(a) grant funds.

5b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES

6. MANDATED ACTIVITY #4 - OTHER MANDATED FOCUS DISTRICT ACTIVITIES: Provision of (a) Public School Choice at Title I Priority and Focus Schools and (b) 200 hours of Extended Learning Time Programming to student in Priority Schools.

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

6a. Describe the activities being supported by SIG 1003(a) grant funds.

6b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES

7. MANDATED ACTIVITY #5 - IMPLEMENTATION OF DCIP, SCEP AND SPSE: (a) Sustain or implement a whole school reform model, (b) Implement a whole school reform model principle, (c) Implement a community school model or advance coursework/CTE program, and (d) Support other DTSDE recommendations in Focus and Priority Schools.

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

7a. Describe the activities being supported by SIG 1003(a) grant funds.

7b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES

8. MANDATED ACTIVITY #6 - IMPLEMENTATION OF LOCAL ASSISTANCE PLANS: Implementation of LAP activities to address identified needs and achieve specific goals in Title I LAP schools.

- These activities do not apply to our district.
- These activities will not have a cost for our district to complete.
- These activities will be completed using other fund sources.
- These activities will be completed using SIG 1003(a) grant funds.

8a. Describe the activities being supported by SIG 1003(a) grant funds.

8b. Identify the budget codes for which funds intend to be allocated.

- CODE 15 - Salaries for Professional Staff
- CODE 16 - Salaries for Support Staff
- CODE 40 - Purchased Services
- CODE 45 - Supplies and Materials
- CODE 46 - Travel Expenses
- CODE 80 - Employee Benefits
- CODE 90 - Indirect Costs
- CODE 49 - Purchased Services with BOCES