

# I. SCHOOL INFORMATION AND COVER PAGE

Created Thursday, August 01, 2013

## Page 1

### 1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

320700860925 MOTT HAVEN ACADEMY CS

### 2. CHARTER AUTHORIZER

NYCDOE-Authorized Charter School

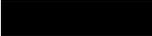
### 3. DISTRICT / CSD OF LOCATION

NYC CSD 7

### 4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
170 Brown Place Bronx, NY 10454	718-292-7015	718-292-7823	info@havenacademy.org

### 4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES   Contact Name	Jessica Nauiokas
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES   Title	Principal
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES   Emergency Phone Number (###-###-####)	

### 5. SCHOOL WEB ADDRESS (URL)

havenacademy.org

### 6. DATE OF INITIAL CHARTER

2008-01-01 00:00:00

### 7. DATE FIRST OPENED FOR INSTRUCTION

2008-08-01 00:00:00

### 8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2012-13 (as reported on BEDS Day)

(as reported on BEDS Day)

**9. GRADES SERVED IN SCHOOL YEAR 2012-13**

Check all that apply

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 5**10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?**

Yes/No	Name of CMO/EMO
No	

### 11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

### 12. SCHOOL SITES

Please list the sites where the school will operate in 2013-14.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	170 Brown Place	718-292-7015	BRONX (TOTAL)	K-5	Yes	Rent/Lease
Site 2						
Site 3						

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Jessica Nauiokas	[REDACTED]	[REDACTED]	[REDACTED]
Operational Leader	Ashlyn Field	[REDACTED]	[REDACTED]	[REDACTED]
Compliance Contact	Zennea Chetta	[REDACTED]	[REDACTED]	[REDACTED]
Complaint Contact	Gwendy Fuentes	[REDACTED]	[REDACTED]	[REDACTED]

13. Are the School sites co-located?

No

14. Were there any revisions to the school's charter during the 2012-2013 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).

• Yes

Signature, Head of Charter School



Signature, President of the Board of Trustees

Thank you.

# Signature Page for President of Board of Trustees

Created Thursday, August 01, 2013

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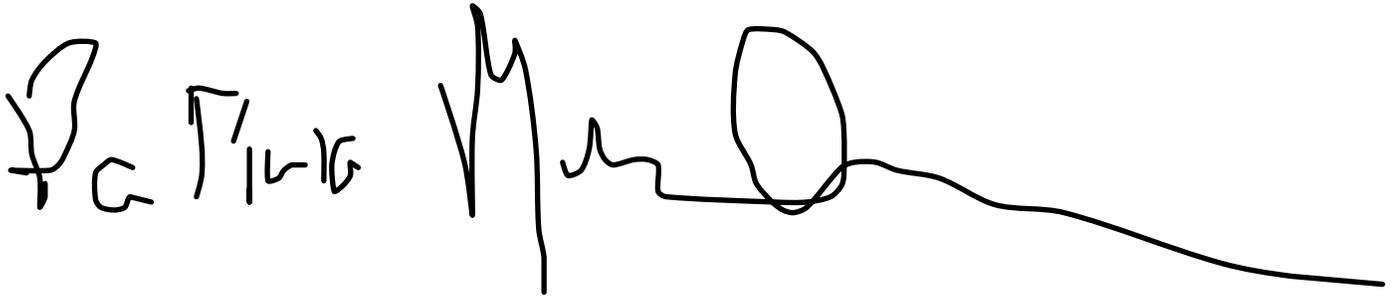
Page 1

320700860925 MOTT HAVEN ACADEMY CS

16. My signature below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

(No response)

Signature, Board President

A handwritten signature in black ink. The signature is written in a cursive style. The first part of the signature appears to be "Patricia" and the second part appears to be "Mott Haven Academy CS". The signature is written on a light gray background.

Thank you.

# Appendix A: Progress Toward Goals

Created Thursday, August 01, 2013  
Updated Wednesday, October 23, 2013

## Page 1

### 1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

[http://schools.nyc.gov/OA/SchoolReports/2011-12/Progress\\_Report\\_Overview\\_2012\\_EMS\\_X394.pdf](http://schools.nyc.gov/OA/SchoolReports/2011-12/Progress_Report_Overview_2012_EMS_X394.pdf)

### 2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

#### 2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the Progress Toward Charter Goals are based on student performance data that the school will not have access to by August 1, 2013 (e.g., the NYS Assessment results), please list goals and explain this in the "Progress Towards Attainment" column. This information can be updated for Appendix A when available but no later than November 1, 2013. Board of Regents-authorized charter schools that opened for instruction in the fall of 2012 or that were renewed in 2012-13 will be held to the same charter-specific academic goals. Board of Regents-authorized charter schools will also be held accountable to Student Performance Benchmark 1 of the Performance Framework.

#### 2012-13 Progress Toward Attainment of Academic Goals

Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Terra Nova Reading Test	Class of 2016 Goal: 40.3 2013 NCE Score: 31 Distance from Goal: -9.3  Class 2017 Goal: 47 2013 NCE Score: 47 Distance from Goal: +0.9 GOAL MET  Class 2018 Goal: 46.8 2013 NCE Score: 50.9 Distance from Goal: +4.1 GOAL MET	Making Progress Toward Goal  We are very pleased with our Terra Nova progress this year. Of the grade level cohorts who were tested for two or more years, three of the four grade level cohorts met their goals. If we disaggregate the child welfare involved students and only look at the general community students in the class of 2016 the average NCE is 46.4, which far surpasses their goal.

			Class 2019 Goal: 48.5 2013 NCE Score: 52.6 Distance from Goal: +4.1 GOAL MET	
			Class 2020 Goal: N/A 2013 NCE Score: 51.2	
Academic Goal 2	2010-2011), 75 percent of 3rd-5th graders will perform at or above Level 3 on the New York State ELA examination.	NYS ELA Assessment	Class of 2016 GOAL NOT MET 9% at or above level 3  Class of 2017 GOAL NOT MET 18% at or above level 3  Class of 2018 GOAL NOT MET 11% at or above level 3	
Academic Goal 3	For years 4 through 5 of the proposed charter, grade-level cohorts of students will reduce by one-half the gap between the percent at or above Level 3 on the previous year's State ELA exam and 75 percent at or above Level 3 on the current year's State ELA exam.	NYS ELA Assessment	Class of 2016 GOAL NOT MET 2012 : 21% at or above level 3 Goal =48% 2013: 9% at or above level 3 Distance from Goal: -39%  Class of 2017 GOAL NOT MET 2012 : 33% at or above level 3 Goal =54 % 2013: 18% at or above level 3 Distance from Goal: -36%  Class of 2018 N/A	
Academic Goal 4	Each year (2010-11 and beyond), the percent of students performing at or above Level 3 on the State ELA exam in each tested grade will place the school in the top quartile of all similar schools.	NYS ELA Assessment	Goal Met	
Academic Goal 5	Beginning in 2008-2009, each grade-level cohort will reduce by one-half the gap between prior year NCE group average in Math (Terra Nova) and an NCE group average of 50.	Terra Nova Math Test	Class of 2016 Goal: 46.5 2013 NCE Score: 42.9 Distance from Goal: - 3.6  Class 2017 Goal: 45.8 2013 NCE Score: 50.1 Distance from Goal: +4.3 GOAL MET	Making Progress Toward Goal  We are very pleased with our Terra Nova progress this year. Of the grade level cohorts who were tested for two or more years, three of the four grade level cohorts met their goals. If we disaggregate the child welfare involved students and

			<p>Class 2018 Goal: 42.9 2013 NCE Score: 63.5 Distance from Goal: +20.6 GOAL MET</p> <p>Class 2019 Goal: 43.2 2013 NCE Score:55.5 Distance from Goal: +12.3 GOAL MET</p> <p>Class 2020 Goal: N/A 2013 NCE Score: 44.1</p>	only look at the general community students in the class of 2016 the average NCE is 48.4, which far surpasses their goal.
Academic Goal 6	Each year (beginning in 2010-2011), 75 percent of 3rd-5th graders will perform at or above Level 3 on the New York State Mathematics examination.	NYS Math Assessment	<p>Class of 2016 GOAL NOT MET 14% at or above level 3</p> <p>Class of 2017 GOAL NOT MET 27% at or above level 3</p> <p>Class of 2018 GOAL NOT MET 21% at or above level 3</p>	
Academic Goal 7	For years 4 through 5 of the proposed charter, grade-level cohorts of students will reduce by one-half the gap between the percent at or above Level 3 on the previous year's State Math exam and 75 percent at or above Level 3 on the current year's State Math exam.	NYS Math Assessment	<p>Class of 2016 GOAL NOT MET 2012 : 39% at or above level 3 Goal =57% 2013: 14% at or above level 3 Distance from Goal: -43%</p> <p>Class of 2017 GOAL NOT MET 2012 : 50% at or above level 3 Goal =62.5% 2013: 27% at or above level 3 Distance from Goal: -35.5%</p> <p>Class of 2018 N/A</p>	
Academic Goal 8	Each year (2010-2011 and beyond), the percent of students performing at or above Level 3 on the State Math exam in each tested grade will place the school in the top quartile of all similar schools.	NYS Math Assessment	Goal Met	

2a1. Do have more academic goals to add?

Yes

## 2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 9	Each year, the school will make Adequate Yearly Progress (AYP) in ELA, math and science.	NYSED Report	Goal met	

2a2. Do have more academic goals to add?

No

## 2b. ORGANIZATIONAL GOALS

### 2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1	Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	Board Policies and Meetings	Haven Academy has complied with all applicable laws, rules and regulations. The Board takes legal compliance matters very seriously and has retained outside counsel to ensure compliance with all relevant laws. Haven Academy has in place and maintains effective systems, policies, procedures and other controls for ensuring that legal and charter requirements are met. Haven Academy's staff has been trained with respect to all applicable procedures and systems. The staff is empowered to identify and address any possible legal or compliance issues and report these matters to the Board or its counsel.	
Org Goal 2	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract.	This will be maintained on an ongoing basis and monitored bi-monthly.	Goal Met	
Org Goal 3	Each year, the school will have a daily student attendance rate of at least 95 percent.	Student enrollment records	95% Goal Met	
Org Goal 4	Each year, 95 percent of all students enrolled during the course of the year will return the following September.  Each year, parents will express satisfaction with the school's program, based on the school's Parent Survey in which at least 80% of total parents provide a positive response to each of the survey items.	NYCDOE Learning Environment Survey	Results Pending	

### 2b.1 Do you have more organizational goals to add?

No

## 2c. FINANCIAL GOALS

### 2012-13 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Upon completion of the school's first year of operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.	Independent Auditor ERE annually evaluates the Schools financial practices and status.	The auditor's report contained an unqualified opinion and there were no major findings in our FY 2012 audit report.	
Financial Goal 2	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	A balanced budget and cash flow forecast are prepared and approved by the Board at the beginning of the year and regular reviews occur during the year.	The school maintained a positive operating and cash surplus throughout the year and kept within the limits of the budget of operating expenses throughout the school year.	

**Appendix B: Total Expenditures and Administrative Expenditures per Child**

**Appendix B: Total Expenditures and Administrative Expenditures Per Child**



**Mott Haven Academy Charter School**

<b>Total Number of Enrolled Students (FTE):</b>		<b>260.75</b>
	<b>Total Expenditures</b>	<b>Administrative Expenditures</b>
<b>Total :</b>	\$ 5,672,397.22	\$ 850,859.58
<b>Expenditures Per Pupil:</b>	\$ 21,754.16	\$ 3,263.12

# Audited Financial Statement Checklist

Created Wednesday, October 23, 2013

Updated Monday, October 28, 2013

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## Page 1

Charter School Name:

1. Please check each item that is included in the 2012-13 Audited Financial Statement submitted for your charter school.

	Yes	No	NA
Audited Financial Statements (including report on compliance and report on internal control over financial reporting)	True	False	False
Single Audit (if applicable)	False	False	True
CSP Agreed Upon Procedures (if applicable)	False	False	True
Management Letter	True	False	False
Report on Extracurricular Student Activity Accounts (if applicable)	False	False	True
Corrective Action Plans for any Findings	False	False	True

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2012-13 Audited Financial Statement.

	Yes	No
Report on Compliance	False	True
Report on Internal Control over Financial Reporting	True	False
Single Audit	False	True
CSP Agreed Upon Procedures Report	False	True
Management Letter	False	True

Thank you .

**MOTT HAVEN ACADEMY CHARTER SCHOOL**

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FINANCIAL STATEMENTS

JUNE 30, 2013

(WITH COMPARATIVE TOTALS FOR JUNE 30, 2012)

## MOTT HAVEN ACADEMY CHARTER SCHOOL

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## **INDEPENDENT AUDITOR'S REPORT**

To the Board of Trustees  
Mott Haven Academy Charter School

### ***Report on the Financial Statements***

We have audited the accompanying financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

### ***Management's Responsibility for the Financial Statements***

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### ***Auditor's Responsibility***

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the School's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### ***Opinion***

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Haven Academy Charter School as of June 30, 2013, and its change in net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

### ***Emphasis of a Matter***

The School is financially dependent on the New York Foundling Hospital (NOTE 3).

### ***Report on Summarized Comparative Information***

We have previously audited Mott Haven Academy Charter School's 2012 financial statements and we expressed an unmodified opinion on those audited financial statements in our report dated October 29, 2012. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2012 is consistent, in all material respects, with the audited financial statements from which it has been derived.

### ***Other Reporting Required by Government Auditing Standards***

In accordance with *Government Auditing Standards*, we have also issued our report dated October 23, 2013, on our consideration of Mott Haven Academy Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Mott Haven Academy Charter School's internal control over financial reporting and compliance.

**MBAF CPAs, LLC**

New York, NY  
October 23, 2013

**MOTT HAVEN ACADEMY CHARTER SCHOOL**  
**STATEMENT OF FINANCIAL POSITION**  
June 30, 2013  
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR JUNE 30, 2012)

<b>ASSETS</b>	<b>2013</b>	<b>2012</b>
Cash and cash equivalents	\$ 197,498	\$ 272,747
Cash - restricted	70,081	70,046
Grants and other receivables	426,483	182,047
Due from NYC Department of Education	5,086	16,460
Prepaid expenses and other assets	50,756	52,200
Property and equipment, net	257,845	344,576
Website, net	1,284	2,835
	<b>\$ 1,009,033</b>	<b>\$ 940,911</b>
<b>LIABILITIES AND NET (DEFICIT) ASSETS</b>		
<b>LIABILITIES</b>		
Accounts payable and accrued expenses	\$ 840,771	\$ 533,759
Accrued salary and other payroll related expenses	420,651	372,012
Deferred revenue	2,927	-
	<b>1,264,349</b>	<b>905,771</b>
<b>NET (DEFICIT) ASSETS</b>		
Unrestricted	(271,612)	1,800
Temporarily restricted	16,296	33,340
	<b>(255,316)</b>	<b>35,140</b>
	<b>\$ 1,009,033</b>	<b>\$ 940,911</b>

The accompanying notes are an integral part of these financial statements.

**MOTT HAVEN ACADEMY CHARTER SCHOOL**

## STATEMENT OF ACTIVITIES

FOR THE YEAR ENDED JUNE 30, 2013

(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2012)

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>2013</u>	<u>2012</u>
<b>OPERATING REVENUE</b>				
State and local per pupil operating revenue	\$ 4,158,421	\$ -	\$ 4,158,421	\$ 3,495,392
Government grants and contracts	536,458	-	536,458	331,378
	<u>4,694,879</u>	<u>-</u>	<u>4,694,879</u>	<u>3,826,770</u>
<b>EXPENSES</b>				
Program services				
General education	4,299,124	-	4,299,124	3,158,925
Special education	652,541	-	652,541	1,022,343
Management and general	823,930	-	823,930	761,818
Fundraising	14,847	-	14,847	16,750
	<u>5,790,442</u>	<u>-</u>	<u>5,790,442</u>	<u>4,959,836</u>
DEFICIT FROM SCHOOL OPERATIONS	(1,095,563)	-	(1,095,563)	(1,133,066)
<b>SUPPORT AND OTHER INCOME</b>				
Contributions and other grants	278,850	526,150	805,000	645,346
Interest and other income	107	-	107	120
Other income	-	-	-	35,355
Net assets released from restrictions	543,194	(543,194)	-	-
	<u>822,151</u>	<u>(17,044)</u>	<u>805,107</u>	<u>680,821</u>
CHANGE IN NET ASSETS	(273,412)	(17,044)	(290,456)	(452,245)
NET ASSETS - BEGINNING OF YEAR	<u>1,800</u>	<u>33,340</u>	<u>35,140</u>	<u>487,385</u>
NET (DEFICIT) ASSETS - END OF YEAR	<u>\$ (271,612)</u>	<u>\$ 16,296</u>	<u>\$ (255,316)</u>	<u>\$ 35,140</u>

The accompanying notes are an integral part of these financial statements.

**MOTT HAVEN ACADEMY CHARTER SCHOOL**  
STATEMENT OF FUNCTIONAL EXPENSES  
FOR THE YEAR ENDED JUNE 30, 2013  
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2012)

	<b>Program Services</b>			<b>Supporting Services</b>		2013	2012
	General Education	Special Education	Total	Management and General	Fundraising		
FUNCTIONAL EXPENSES							
Salaries	\$ 2,619,607	\$ 245,171	\$ 2,864,778	\$ 335,924	\$ 10,448	\$ 3,211,150	\$ 2,793,391
Payroll taxes and employee benefits	534,111	49,988	584,099	68,492	2,130	654,721	565,701
Classroom supplies	22,088	6,177	28,265	-	-	28,265	40,592
Instructional materials	124,090	34,705	158,795	-	-	158,795	86,249
Consultants	60,058	48,608	108,666	187,719	-	296,385	265,001
Professional fees	-	-	-	26,617	-	26,617	25,653
Advertising and recruiting	10,023	2,883	12,906	824	-	13,730	4,946
Professional development	69,268	19,373	88,641	17,230	-	105,871	163,392
Facility expense	488,973	140,663	629,636	101,294	-	730,930	690,647
Insurance	22,009	6,331	28,340	1,507	301	30,148	25,524
Student transportation	14,604	4,084	18,688	-	-	18,688	54,783
Student food services	186,482	52,154	238,636	-	-	238,636	7,746
Office expenses	-	-	-	65,610	266	65,876	48,369
Equipment rental	-	-	-	3,501	-	3,501	2,944
Dues and subscription	8,367	2,407	10,774	688	-	11,462	7,330
Donated services	-	-	-	5,454	-	5,454	-
Travel	628	181	809	52	-	861	783
Telephone and internet	33,681	9,689	43,370	2,307	461	46,138	53,862
Conference and meetings	9,694	2,711	12,405	-	-	12,405	12,945
Furniture and fixtures - non-capitalizable	1,155	323	1,478	509	-	1,987	1,202
Technology infrastructure and software	8,320	2,363	10,683	314	63	11,060	2,896
Postage and delivery	2,692	775	3,467	184	37	3,688	3,389
Depreciation and amortization	83,274	23,955	107,229	5,704	1,141	114,074	102,491
	<b>\$ 4,299,124</b>	<b>\$ 652,541</b>	<b>\$ 4,951,665</b>	<b>\$ 823,930</b>	<b>\$ 14,847</b>	<b>\$ 5,790,442</b>	<b>\$ 4,959,836</b>

The accompanying notes are an integral part of these financial statements.

**MOTT HAVEN ACADEMY CHARTER SCHOOL**  
**STATEMENT OF CASH FLOWS**  
**FOR THE YEAR ENDED JUNE 30, 2013**  
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2012)

	<b>2013</b>	<b>2012</b>
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
Change in net assets	\$ (290,456)	\$ (452,245)
Adjustments to reconcile change in net assets to net cash (used in) provided by operating activities:		
Depreciation and amortization	114,074	102,491
Changes in operating assets and liabilities:		
Cash - restricted	(35)	(35)
Grants and other receivables	(244,436)	19,187
Due from NYC Department of Education	11,374	(16,460)
Prepaid expenses and other assets	1,444	1,584
Accounts payable and accrued expenses	307,012	301,013
Accrued salary and other payroll related expenses	48,639	212,213
Deferred revenue	<u>2,927</u>	<u>(31,526)</u>
NET CASH (USED IN) PROVIDED BY OPERATING ACTIVITIES	<u>(49,457)</u>	<u>136,222</u>
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
Purchase of property and equipment	<u>(25,792)</u>	<u>(108,434)</u>
NET CASH USED IN INVESTING ACTIVITIES	<u>(25,792)</u>	<u>(108,434)</u>
NET (DECREASE) INCREASE IN CASH AND CASH EQUIVALENTS	(75,249)	27,788
CASH AND CASH EQUIVALENTS - BEGINNING OF YEAR	<u>272,747</u>	<u>244,959</u>
CASH AND CASH EQUIVALENTS - END OF YEAR	<b><u>\$ 197,498</u></b>	<b><u>\$ 272,747</u></b>

The accompanying notes are an integral part of these financial statements.

# MOTT HAVEN ACADEMY CHARTER SCHOOL

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## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### 1. NATURE OF THE ORGANIZATION

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Mott Haven Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on January 15, 2008 to operate a charter school pursuant to Article 56 of the Educational Law of the State of New York. The School was granted a provisional charter on January 15, 2008, valid for a term of five years and, upon expiration, was renewed through June 30, 2016 by the Board of Regents of the University of the State of New York.

The School opened its doors in the fall of 2008 in the South Bronx with a rigorous academic program and a highly structured and supportive school culture. While the School is comprised of students from many backgrounds, it is uniquely designed to meet the needs of at-risk students who are currently in the foster care and child welfare system.

The School is exempt from Federal income tax under section 501(a) of the Internal Revenue Code ("IRC") as an organization described in Section 501(c)(3) of the IRC and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) of the IRC and qualifies for deductible contributions as provided in section 170(b)(1)(A)(ii) of the IRC.

In fiscal year 2013, the School operated classes for students in kindergarten to fifth grade.

The New York City Department of Education ("NYCDOE") provides free lunches and transportation directly to a majority of the School's students.

### 2. SIGNIFICANT ACCOUNTING POLICIES

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#### Financial Statement Presentation

The School's financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP").

The classification of the School's net assets and its support, revenues and expenses is based on the existence or absence of donor-imposed restrictions. It requires that the amounts for each of the three classes of net assets, permanently restricted, temporarily restricted, and unrestricted, be displayed in a statement of financial position and that the amounts of change in each of those classes of net assets be displayed in a statement of activities.

These classes are defined as follows:

Permanently Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School is limited by donor-imposed stipulations that neither expire by passage of time nor can be fulfilled or otherwise removed by actions of the School.

Temporarily Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School are limited by donor-imposed stipulations that either expire by passage of time or can be fulfilled and removed by actions of the School pursuant to those stipulations. When such stipulations end or are fulfilled, such temporarily restricted net assets are reclassified to unrestricted net assets and reported as such in the statement of activities.

Unrestricted – The part of net assets that is neither permanently nor temporarily restricted by donor-imposed stipulations.

# MOTT HAVEN ACADEMY CHARTER SCHOOL

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## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### 2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

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#### **Cash and Cash Equivalents**

The School considers all highly liquid instruments purchased with a maturity of three months or less to be cash equivalents. Cash equivalents consist of a money market account. In addition, an escrow account of \$70,081 is held aside for contingency purposes at June 30, 2013 as required by the NYCDOE.

#### **Grants and Other Receivables**

Grants and other receivables represent unconditional promises to give by donors. Grants and other receivables are expected to be collected within one year, are recorded at net realizable value, and amounts to \$426,483 and \$182,047 at June 30, 2013 and 2012, respectively. The School has determined that no allowance for uncollectible accounts is necessary at June 30, 2013 and 2012. Such estimate is based on management's assessments of the creditworthiness of its donors, the aged basis of its receivables, as well as current economic conditions and historical information.

#### **Revenue Recognition**

Revenue from the state and local government resulting from the School's charter status is based on the number of students enrolled and is recorded when services are performed in accordance with the charter agreement.

Revenue from federal, state and local government grants and contracts are recorded by the School when qualifying expenditures are incurred and billable. Funds received in advance for which qualifying expenditures have not been incurred would be reflected as refundable advances from state and local government grants in the accompanying statement of financial position.

#### **Donated Services**

The School receives contributed services (or, in-kind contributions) that are an integral part of its operations. Such services are recorded as contributions in-kind, at their fair value, provided it meets the criteria for recognition. Professional services received are estimated at \$5,453 and \$0 for the years ending June 30, 2013 and 2012, respectively, and are reflected as both income and expense in the accompanying financial statements.

#### **Property and Equipment**

Property and equipment are stated at cost and are being depreciated on the straight-line method over the estimated useful lives of the assets. Leasehold improvements are amortized over the shorter of the life of the asset or the life of the lease. The School has established a \$1,000 threshold above which assets are evaluated to be capitalized. Property and equipment acquired with certain government contract funds is recorded as an expense pursuant to the terms of the contract in which the government funding source retains ownership of the property. Maintenance and repairs are charged to expense as incurred; major renewals and betterments are capitalized.

#### **Impairment**

The School reviews long-lived assets to determine whether there has been any permanent impairment whenever events or circumstances indicate the carrying amount of an asset may not be recoverable. If the sum of the expected future undiscounted cash flows is less than the carrying amount of the assets, the School recognizes an impairment loss. No impairment losses were recognized for the years ended June 30, 2013 and 2012.

# MOTT HAVEN ACADEMY CHARTER SCHOOL

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## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### 2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

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#### **Advertising**

The School expenses advertising costs as incurred. The School had advertising costs of \$0 and \$793 for the years ended June 30, 2013 and 2012, respectively. Advertising expense is included in advertising and recruiting expense on the accompanying statement of functional expenses.

#### **Functional Allocation of Expenses**

Expenses that can be directly identified with the program or supporting service to which they relate are charged accordingly. Other expenses by function have been allocated among program and supporting service classifications based upon benefits received.

#### **Estimates**

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

#### **Subsequent Events**

The School has evaluated events through October 23, 2013, which is the date the financials statements were available to be issued.

#### **Comparative Financial Information**

The June 30, 2013 financial statements include certain prior year summarized comparative information in total but not by net asset class. In addition, only certain of the notes to the financial statements for June 30, 2012 are presented. As a result, the June 30, 2012 comparative information does not include sufficient detail to constitute a presentation in conformity with U.S. GAAP. Accordingly, such June 30, 2012 information should be read in conjunction with the School's financial statements for the year ended June 30, 2012, from which the summarized information was derived.

#### **Income Taxes**

The School follows the accounting standard for uncertainty in income taxes. The standard prescribes a minimum recognition threshold and measurement methodology that a tax position taken or expected to be taken in a tax return is required to meet before being recognized in the financial statements. It also provides guidance for derecognition, classification, interest and penalties, accounting in interim periods, disclosure, and transition.

The School files informational returns in the Federal and New York State jurisdictions. With few exceptions, the School is no longer subject to Federal, state, or local income tax examinations by tax authorities for fiscal years before 2010.

The School believes that it has appropriate support for the positions taken on its tax returns. Nonetheless, the amounts ultimately paid, if any, upon resolution of the issues raised by the taxing authorities may differ materially from the amounts accrued for each year. Management believes that its nonprofit status would be sustained upon examination.

Should there be interest on underpayments of income tax, the School would classify it as "Interest Expense." The School would classify penalties in connection with underpayments of tax as "Other Expense."

## MOTT HAVEN ACADEMY CHARTER SCHOOL

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### NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

#### 2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

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##### Reclassifications

Certain accounts in the prior-year financial statements have been reclassified for comparative purposes to conform to the presentation in the current-year financial statements.

#### 3. LIQUIDITY AND DEPENDENCE

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At June 30, 2013, the School has a working capital deficit of \$514,445 and has had consecutive deficits from fluctuating enrollment and facility costs. Management has obtained a letter from the New York Foundling Hospital ("Foundling"), who shares a common board member with the School, committing to provide financial support through the next fiscal year end while the School reviews its operations to achieve efficiencies. Management believes that these actions will enable the School to continue as a going concern through the year ending June 30, 2014.

#### 4. PROPERTY AND EQUIPMENT

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Property and equipment consist of the following:

June 30,	<u>2013</u>	<u>2012</u>	Estimated Useful Lives
Furniture and fixtures	\$ 212,412	\$ 199,208	7 Years
Computer hardware and software	192,743	183,147	3 Years
Musical instruments	12,470	10,676	3 Years
Leasehold improvements	145,688	145,688	10 Years
Equipment	<u>22,720</u>	<u>21,522</u>	3 Years
	586,033	560,241	
Less: accumulated depreciation	<u>(328,188)</u>	<u>(215,665)</u>	
	<u>\$ 257,845</u>	<u>\$ 344,576</u>	

Depreciation expense for the years ended June 30, 2013 and 2012 was \$112,523 and \$100,940, respectively.

#### 5. WEBSITE

---

Development costs related to the School's website amounting to \$7,755 have been capitalized. These costs are amortized over the estimated life of five years using the straight-line method. Amortization expense for each of the years ended June 30, 2013 and 2012 was \$1,551. Accumulated amortization totaled \$6,471 and \$4,920 as of June 30, 2013 and 2012, respectively.

# MOTT HAVEN ACADEMY CHARTER SCHOOL

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## NOTES TO FINANCIAL STATEMENTS JUNE 30, 2013

### 6. AGREEMENT FOR SCHOOL FACILITY

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In August 2010, the School relocated to 170 Brown Place, Bronx, New York, 10454. The new LEED certified, environmentally friendly building is owned and operated by Foundling. The School and Foundling's Bronx Community Services share space in the building.

The School has entered into a sublease agreement with Foundling commencing on August 31, 2010. The School is obligated under a non-cancelable operating lease for office and classroom space expiring on August 31, 2020, with a renewal option after 10 years. However, the structure of the lease accounts for state "renewal and re-authorization of its charter." In the event that the School is closed by its authorizer, the School would be released from the sublease. The annual rent is calculated based on the number of students enrolled multiplied by 100 square feet. For the year ending June 30, 2013, the rent expense was \$372,060, which is included in facility expense in the accompanying statement of functional expenses.

### 7. PENSION PLAN

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The School adopted a 401(k) profit sharing plan (the "Plan") which covers most of the employees. The Plan is a defined contribution plan. Employees are eligible to enroll in the Plan either the first day of the Plan year or the first day of the seventh month of the Plan year. Those employees who have completed at least 1 full year of service are also eligible for employer contribution. The Plan provides for the School to contribute up to 4% of participating employee salary. The School contribution becomes fully vested after the first year. For the years ended June 30, 2013 and 2012, pension expense for the School was \$97,399 and \$83,599, respectively, which is included in payroll taxes and employee benefits in the accompanying statement of functional expenses.

### 8. RISK MANAGEMENT

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The School is exposed to various risks of loss related to torts; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters. The School maintains commercial insurance to help protect itself from such risks.

The School entered into contractual relationships with certain governmental funding sources. The governmental agencies may request return of funds as a result of noncompliance by the School, as well as additional funds for the use of facilities. The accompanying financial statements make no provision for the possible disallowance or refund.

### 9. CONCENTRATIONS

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Financial instruments that potentially subject the School to a concentration of credit risk include cash accounts at a major financial institution that, at times, exceeded the Federal Deposit Insurance Corporation ("FDIC") insured limit of \$250,000.

The School received approximately 89% and 91% of its total revenue from per pupil funding from the NYCDOE during the years ended June 30, 2013 and 2012, respectively.

Foundling accounted for approximately 91% of the School's accounts payable at June 30, 2013.

**MOTT HAVEN ACADEMY CHARTER SCHOOL**

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NOTES TO FINANCIAL STATEMENTS  
JUNE 30, 2013

**10. TEMPORARILY RESTRICTED NET ASSETS**

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Temporarily restricted net assets are purpose restricted and consist of the following at June 30, 2013:

Curriculum materials, training and development	<u>\$ 16,296</u>
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Net assets were released from restrictions during the year ended June 30, 2013 by incurring expenses, thus satisfying the restricted purposes as follows:

School food implementation and medical program	\$ 215,150
Data tech specialist	35,000
Support services/Social worker and behavior specialist	126,000
Curriculum materials, training and development	<u>167,044</u>
	<u><u>\$ 543,194</u></u>

**Independent Auditor’s Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With Government Auditing Standards**

To the Board of Trustees  
Mott Haven Academy Charter School

We have audited the financial statements of Mott Haven Academy Charter School (the “School”) as of and for the year ended June 30, 2013, and have issued our report thereon dated October 23, 2013. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

**Internal Control Over Financial Reporting**

Management of Mott Haven Academy Charter School is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the School’s internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School’s internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the School’s internal control over financial reporting.

*A deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity’s financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined previously.

**Compliance and Other Matters**

As part of obtaining reasonable assurance about whether the School’s financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion.

The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the School in a separate letter dated October 23, 2013.

This report is intended solely for the information and use of the audit committee, Board of Trustees, management, the New York State Education Department and the Board of Regents of the University of the State of New York and is not intended to be and should not be used by anyone other than these specified parties.

MBAF CPAs, LLC

New York, NY  
October 23, 2013

# Appendix E: Disclosure of Financial Interest Form

Created Thursday, August 01, 2013

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## Page 1

320700860925 MOTT HAVEN ACADEMY CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2012-13 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at: <http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/>.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <http://fluidsurveys.com/account/surveys/210748/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.

Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

# Appendix F: BOT Membership Table

Created Thursday, August 01, 2013

## Page 1

320700860925 MOTT HAVEN ACADEMY CS

### 1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Patricia Mulvaney	Chair/President	Yes	Compliance and Evaluation	5 Annual Terms; elected March 2008	Finance
2	Janet Campagna	Treasurer	Yes	Finance	5 Annual Terms; elected March 2008	Finance
3	Bill Baccaglioni	Member	Yes	Child Welfare Expert	5 Annual Terms; elected March 2008	Education Fundraising
4	Jessica Nauiokas	Secretary	Yes	MHACS Principal	5 Annual Terms; elected March 2008	Education
5	Meghan Mackay	Member	Yes	School Leadership; Academic Evaluation	4 Annual Terms; elected November 2009	Education Fundraising
6	Whitney Kneisley	Member	Yes	Fundraising; Event Planning; Volunteerism	3 Annual Terms; elected November 2010	Education Fundraising
7	Russell Valdez	Member	Yes	Law/Finance	Elected May 2013	Finance
8	Kathleen Flores	Member	Yes	Education/Human Resources	Elected May 2013	Education
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

### 2. Total Number of Members Joining Board during the 2012-13 school year

2

3. Total Number of Members Departing the Board during the 2012-13 school year

0

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

5

5. How many times did the Board meet during the 2012-13 school year?

10

6. How many times will the Board meet during the 2013-14 school year?

10

Thank you.

### ***Appendix H: Enrollment and Retention Targets***

The school is currently located in a private facility on 170 Brown Place in District 7, where it moved in 2010. According to ATS data from the 2012-2013 school year, our student body includes 93% Free and Reduced Lunch, compared to 86.8% in the district, 24% special education students compared to 19.8% in the district, and 11.9% English language learners compared to 18.1% in the district. The school serves a unique student population with one third of our students in foster care, one third receiving prevention services (in total 2/3 child welfare), and one third from the general community.

Haven Academy makes a good faith effort to attract, retain and educate a greater enrollment of students with disabilities, English language learners and students who are eligible for free and reduced lunch program. Our recruitment materials are widely available, in multiple languages, to all members of our community.

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/94d24d66a39b159da494903c29cad9>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*William F. Baccaglini*

### 2. Charter School Name:

*Mott Haven Academy Charter School*

### 3. Charter Authorizer:

*NYC Department of Education*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: N/A

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

Yes

10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

[cmoeY.0] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Position Held	CEO & President, NY Foundling
[cmoeY.1] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Responsibilities	Partner entity which serves as the school landlord
[cmoeY.2] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Salary	(No response)
[cmoeY.3] 10a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Start Date	05/03

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

Yes

14a. Identify each individual, business, corporation, union association, firm, partnership, committee proprietorship, franchise holding company, joint stock company, business or real estate trust, non-profit organization, or other organization or group of people doing business with the school and in which such entity, during the time of your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or other relationship. If you are a member, director, officer or employee of an organization formally partnered with the school that is doing business with the school through a management or services agreement, please identify only the name of the organization, your position in the organization as well as the relationship between such organization and the school. If there was no financial interest, write None.

	Organization Conducting Business with the School	Nature of Business Conducted	Approximate Value of the Business Conducted	Name of Trustee and/or Immediate Family Member with Interest	Steps Taken to Avoid Conflict of Interest
1	The NY Foundling	Landlord of Property at 170 Brown Place	Annual Rent paid to NYF	William F. Baccaglini, CEO & President of NYF	William F. Baccaglini recuses himself on all Haven Academy related discussion in rent negotiations and agreements. These are led by the school's finance committee
2					
3					
4					
5					

Signature of Trustee

Bill Barcuglin

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/c5045ac84a932e8807672e74a15b82>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

*kathleen chiechi flores*

2. Charter School Name:

*Mott Haven Academy Charter School*

3. Charter Authorizer:

*NYC Department of Education*

4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

6. \*Daytime Phone Number:

7. \*E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: board memeber

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Kathleen C. Flores

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Friday, July 26, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/75399956b88577832ffa95be05ca45>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

*Whitney Kneisley*

2. Charter School Name:

*Mott Haven Academy Charter School*

3. Charter Authorizer:

*NYC Department of Education*

4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

6. \*Daytime Phone Number:

7. \*E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Whitney Krusey

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Saturday, August 03, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/a9ee7330411084f2ac91629b9f76597>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

*Meghan Mackay*

2. Charter School Name:

*Mott Haven Academy Charter School*

3. Charter Authorizer:

*NYC Department of Education*

4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

6. \*Daytime Phone Number:

7. \*E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

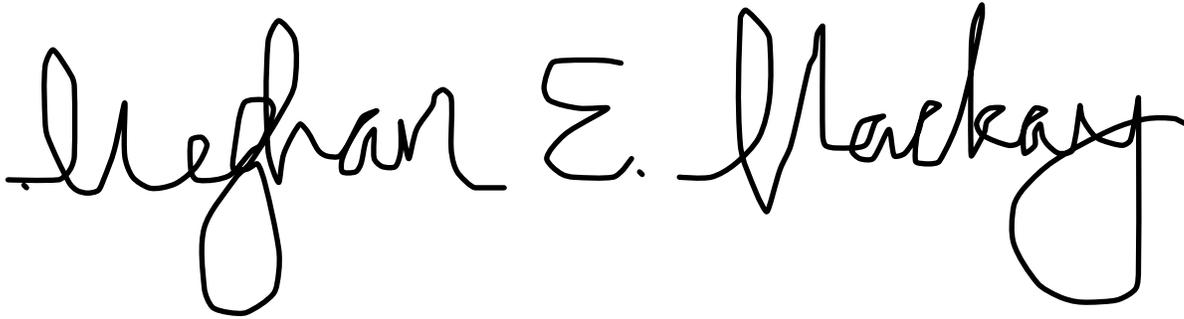
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Meghan E. Mackay". The signature is written in a cursive style with a large, looped initial 'M' and a long, sweeping tail on the 'y'.

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/18cad7892bb55161cb04e1ed4ac0d3>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

### 1. Trustee Name:

*Patricia Mulvaney*

### 2. Charter School Name:

*Mott Haven Academy Charter School*

### 3. Charter Authorizer:

*NYC Department of Education*

### 4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

### 5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

### 6. \*Daytime Phone Number:

### 7. \*E-mail Address:

### 8. Select all positions you held on Board:

(check all that apply)

- Chair/President

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

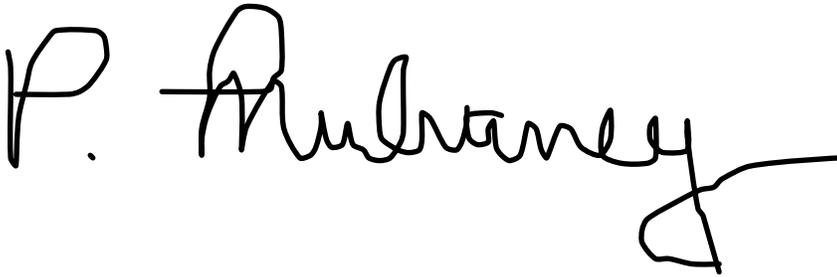
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "P. Anthony". The signature is written in a cursive style with a long horizontal stroke extending to the right.

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/c07eb2dce8df64c3ff423dc1beecc428>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Jessica Nuiokas

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

6. \*Daytime Phone Number:

7. \*E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Secretary

9. Are you a trustee and also an employee of the school?

Yes

9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

[TEMP.0] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Position Held	Principal
[TEMP.1] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Responsibilities	Head of School
[TEMP.2] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Salary	155K
[TEMP.3] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next   Start Date	July 2008

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "J. Chapman" followed by a large, stylized flourish that extends across the page.

# Required Form: Appendix E - Disclosure of Financial Interest Form

Created Thursday, August 01, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/f835b3e7a5c6a662739b7b18111381>

## Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

*Russell Valdez*

2. Charter School Name:

*Mott Haven Academy Charter School*

3. Charter Authorizer:

*NYC Department of Education*

4. \*Your Home Address:

4. \*Your Home Address: | Street Address

4. \*Your Home Address: | City/State

4. \*Your Home Address: | Zip

5. \*Your Business Address

5. \*Your Business Address | Street Address

5. \*Your Business Address | City/State

5. \*Your Business Address | Zip

6. \*Daytime Phone Number:

7. \*E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

*(No response)*

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

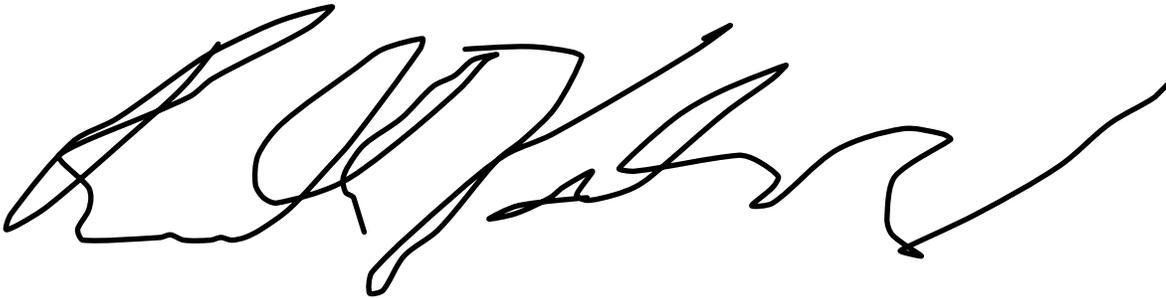
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, consisting of several large, overlapping loops and a long, sweeping tail that extends to the right.