

I. SCHOOL INFORMATION AND COVER PAGE

Created Tuesday, July 23, 2013

Updated Tuesday, July 30, 2013

Page 1

1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

310600860887 NEW HEIGHTS ACADEMY CS

2. CHARTER AUTHORIZER

NYCDOE-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 6

4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
1818 Amsterdam Ave. New York, NY 10031	212-283-5400	917-507-9314	

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Contact Name	Christina Brown
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Title	Executive Director
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Emergency Phone Number (###-###-####)	

5. SCHOOL WEB ADDRESS (URL)

www.newheightsacademy.org

6. DATE OF INITIAL CHARTER

2005-04-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2006-09-01 00:00:00

8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2012-13 (as reported on BEDS Day)

(as reported on BEDS Day)

9. GRADES SERVED IN SCHOOL YEAR 2012-13

Check all that apply

 5

 6

 7

 8

 9

 10

 11

 12**10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?**

Yes/No	Name of CMO/EMO
No	

11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2013-14.

	Physical Address	Phone Number	District/C SD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	1818 Amsterdam Ave. New York, NY 10031	212-283-5400	CSD 6	5-12	Yes	Rent/Lease
Site 2						
Site 3						

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Christina Brown	[REDACTED]		[REDACTED]
Operational Leader	Karen Tyree	[REDACTED]		[REDACTED]
Compliance Contact	Karen Tyree	[REDACTED]		[REDACTED]
Complaint Contact	Karen Tyree	[REDACTED]		[REDACTED]

13. Are the School sites co-located?

No

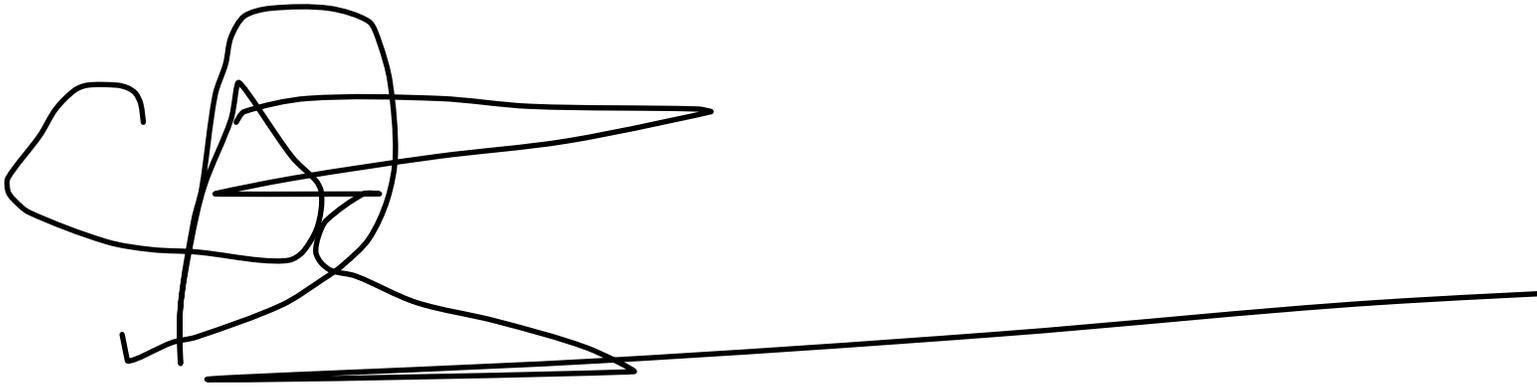
14. Were there any revisions to the school's charter during the 2012-2013 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).

• Yes

Signature, Head of Charter School

A large, stylized handwritten signature in black ink, consisting of several overlapping loops and a long horizontal stroke extending to the right.

Signature, President of the Board of Trustees

Thank you.

Signature Page for President of Board of Trustees

Created Thursday, July 25, 2013

Updated Thursday, August 01, 2013

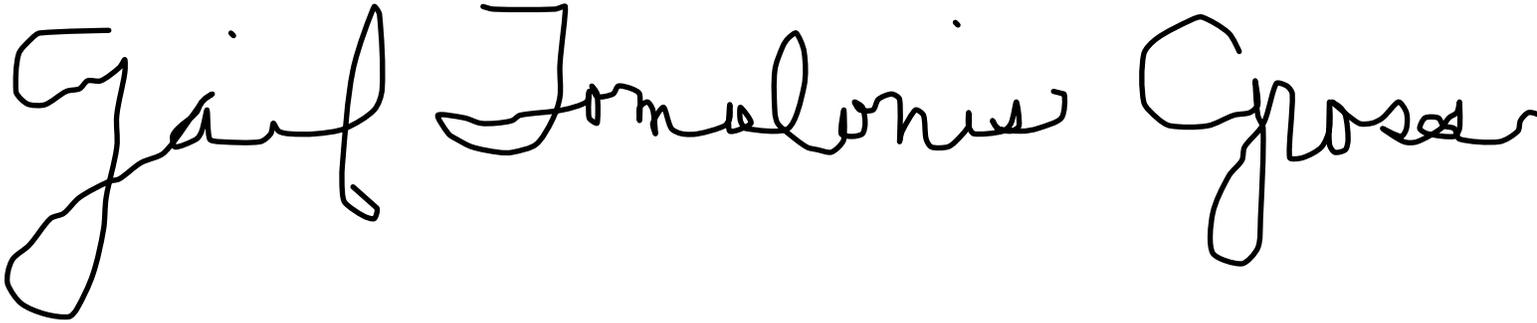
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310600860887 NEW HEIGHTS ACADEMY CS

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- Yes
-

Signature, Board President

A handwritten signature in black ink, reading "Jai Jomalonis Grosse". The signature is written in a cursive style with a large initial "J" and a long, sweeping underline.

Thank you.

Appendix A: Progress Toward Goals

Created Monday, July 29, 2013

Updated Friday, September 13, 2013

Page 1

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<https://reportcards.nysed.gov/files/2011-12/RC-2012-310600860887.pdf>

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the Progress Toward Charter Goals are based on student performance data that the school will not have access to by August 1, 2013 (e.g., the NYS Assessment results), please list goals and explain this in the "Progress Towards Attainment" column. This information can be updated for Appendix A when available but no later than November 1, 2013. Board of Regents-authorized charter schools that opened for instruction in the fall of 2012 or that were renewed in 2012-13 will be held to the same charter-specific academic goals. Board of Regents-authorized charter schools will also be held accountable to Student Performance Benchmark 1 of the Performance Framework.

2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Each year, 75 percent of 5th – 8th graders who have been enrolled at the school on BEDS day for at least two consecutive years will perform at or above Level 3 on the New York State ELA examination.	NYS ELA Exams	Grade 5 – 12% (not yet enrolled 2 years) Grade 6 – 7% Grade 7 – 13% Grade 8 – 12%	We did not meet this goal. For 2012-13, we implemented the following initiatives: creation of a Writing class in middle school grades, designated time for sustained silent reading twice a week throughout the school, and an extra period of reading intervention (in addition to the regular English class instead of in replacement of the class) for struggling readers. Additionally, in grades 5 and 6, teachers were assigned to teach only one subject (English, SS, Math, or Science),

which is a change from previous years when teachers taught either English & SS or Math & Science. This change allowed teachers to concentrate fully on student achievement in that one core subject and receive appropriate professional development in that one concentrated area. In 2013-2014, we have provided content-specific professional development for our ELA, Math, Social Studies and Science teachers prior to the start of the school year. The Professional Development included “Implementing Student Centered Instruction aligned to the Common Core” and “Close Reading of Complex Text and Informational Text”. We will continue training teachers to use these methods throughout the year and provide intense coaching for struggling teachers. Curricula were purchased for our English, Math and Writing classes that align with the Common Core State Standards and substantial training has been provided for successful implementation. Special Education Teachers in each grade will co-teach with English and Math teachers to ensure students with disabilities have their needs met in critical core content areas. Implementation of an English as Second Language program has begun in middle school to better support our scholars. Finally, an Intervention period has been added during the school day to support our scholars in English and Math and to better ensure mastery of CCLS content and skills.

Academic Goal 2	Each year, 75 percent of 5th – 8th graders who have been enrolled at the school on BEDS day for at least two consecutive years will perform at or above Level 3 on the New York State Mathematics examination.	NYS Math Exams	Grade 5 – 21% (not yet enrolled 2 years) Grade 6 – 8% Grade 7 – 24% Grade 8 – 23%	See response to Goal 1.
Academic Goal 3	Each year, 75 percent of 8th graders who have been enrolled at the school on BEDS day for at least two consecutive years will perform at or above Level 3 on the New York State Science examination.	NYS Grade 8 Science Exam	58% of the 8th graders enrolled at least 2 consecutive years performed at or above level 3. 60% of 8th graders overall performed at or above level 3.	This is the second year that our students have taken the science exam, even though this is our fourth class of 8th graders. Previously, students sat for the Earth Science Regents exam. Moving forward, we will continue to have all 8th grade students prepare for this exam with a select group also preparing for the Earth Science Regents exam.
Academic Goal 4	Each year, 75 percent of 5th and 8th graders who have been enrolled at the school on BEDS day for at least two consecutive years will perform at or above Level 3 on the New York State Social Studies examination.	NYS discontinued the SS exam.		
Academic Goal 5	Grade level cohorts will reduce by one-half the gap between the percent at or above Level 3 on the previous year’s State ELA and Math exams and 75 percent at or above Level 3 on the current year’s State ELA and Math exams. For those cohorts in which the number of students scoring above proficiency exceeded 75 percent on the previous year’s ELA or Math exams, the cohort will demonstrate growth (above 75 percent) in the current year.	NYS ELA Exams NYS Math Exams	ELA Scores: 5th grade – baseline 6th grade target = 50% - not met 7th grade target = 54% - not met 8th grade target = 52% - not met Math Scores: 5th grade – baseline 6th grade target = 61% - not met 7th grade target = 67% - not met 8th grade target = met 75% proficiency goal in 7th grade last year; cohort’s proficiency dropped this year	See response to Goal 1
Academic Goal 6	Each year, the percent of students performing at or above Level 3 on the State ELA exam in each tested grade will place the school in the top quartile of all similar schools (as	NYS ELA Exams	Overall proficiency in ELA was 10%	We did not meet this goal. See Goal 1 above for specific information related to improving English results.

	determined by NYCDOE).			
Academic Goal 7	Each year, the percent of students performing at or above Level 3 on the State Math exam in each tested grade will place the school in the top quartile of all similar schools (as determined by NYCDOE).	NYS Math Exams	Overall proficiency in Math was 18%	We did not meet this goal. See Goal 1 above for specific information related to improving Math results.
Academic Goal 8	By the end of the 4th year of enrollment at the school, 80% of each grade level cohort of students will graduate. (*Note – NHACS does not distinguish between 4th year of enrollment at our school and 4th year of enrollment in high school. We assign all students to the cohort based on the year they entered 9th grade at any school.)	Graduation rates are tallied in August each year to account for students who meet graduation requirements over the summer (both credit attainment and Regents exams).	87% of the class of 2009 graduated by August 2013.	We met this goal.

2a1. Do have more academic goals to add?

Yes

2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 9	By the end of the 5th year of enrollment at the school, 95% of each grade level cohort of students will graduate. (*Note – NHACS does not distinguish between 5th year of enrollment at our school and 5th year of enrollment in high school. We assign all students to the cohort based on the year they entered 9th grade at any school.)	Graduation rates are tallied in August each year to account for students who meet graduation requirements over the summer (both credit attainment and Regents exams).	88% of the class of 2008 graduated as of August 2013.	In an effort to better meet the needs of students and to attain our charter goals of 95%, the school has purchased a curriculum designed to tackle the issues related to college readiness. The school has also committed to getting students on more diverse college campuses in an effort to boost awareness of options available to them.
Academic Goal 10	Each year, the graduating cohort of students will increase by 5% the total number of: <ul style="list-style-type: none"> • students earning Regents Diplomas with Advanced Designation • AP exams passed with a 	A comparison is conducted of the current graduating class to the previous year's graduating class.	In 2013, 5 students earned an Advanced Designation diploma (as compared to 3 students in 2012). One student earned a 3 on AP English and one student earned a 3 on AP Statistics (as compared to 3 students	This year we have created a new schedule for the HS which now includes a period called intervention. This intervention period is a direct response to our students who either failed or barely passed Regents

score of 3 or higher
 • college credits earned while enrolled in high school

earning a 3 on AP English in 2012).

exams or to proactively prepare them for upcoming Regents Exams. This year we are projecting that at least 20% of our 2014 cohort will receive Advanced Regents diploma. Another intervention class we use to proactively help our students is our advanced college writing course. This course is taught by the same ELA teacher who teaches honors ELA. The same students are taking both classes and depending on how well and fast they move through the material utilizing the common core standards and instructional shifts, these students may be able to take the AP exam in ELA. In addition to ELA we also have students taking Calculus for the first time in our school history. If these students can excel throughout the year, it's highly possible for them to take the AP exam. Some of these students who are taking Calculus are 11th graders. If they perform well in the class, these students will be taking classes in their senior year at a university.

Academic Goal 11	Each year, the percent of students in the high school accountability cohort passing an English Regents exam with a score of 65 or above by the end of their fourth year will exceed that of the students in the high school accountability cohort from a group of similar schools (as determined by NYCDOE).	We do not have the NYC Progress Report at this time.	97% of the 2009 cohort passed the ELA Regents.	We do not have the NYC Progress Report at this time.
Academic Goal 12	Each year, the percent of students in the high school accountability cohort passing a Math Regents exam with a score of 65 or above by the end of their fourth year will exceed that of the students in the high	We do not have the NYC Progress Report at this time.	100% of the 2009 cohort passed the Math Regents.	We do not have the NYC Progress Report at this time but 100% of the 2009 cohort passed the Math Regents.

	school accountability cohort from a group of similar schools (as determined by NYCDOE).			
Academic Goal 13	Each year, the school will be deemed “In Good Standing.”	NYC Progress Report	The results have not been released by the city.	
Academic Goal 14	Each year, the school will have an average daily student attendance rate of at least 95%.	We use ATS to track attendance.	Our average daily attendance rate was 95%.	We met this goal.
Academic Goal 15	Each year, 80% of all students enrolled during the course of the year will return the following September.	We track discharges on ATS.	65 students who were enrolled at NHACS in June 2012 (and who did not graduate) were discharged between July 1, 2012 and June 30, 2013 for reasons including moving, transferring, and expulsion. This represents a 8.6% attrition rate.	We met this goal.
Academic Goal 16	Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	Our Board of Trustees, Executive Director, Student Support Services Director, Director of Finance and Operations, and Operations Manager all serve as monitors to ensure we comply with all applicable laws, rules, regulations, and contract terms.	There were no compliance issues flagged for NHACS in 2012-2013 with one exception. In April 2013, the Board of Trustees self-reported to the Charter School Accountability and Support Office (the “CSASO”) certain inadvertent violations of the Open Meetings Laws (“OML”) and its own Bylaws, which were brought to the Board’s attention by the then-Executive Director of New Heights. The Board discussed these inadvertent violations with the CSASO and sought their guidance on the appropriate actions to take going forward.	The Board has implemented the necessary processes to ensure compliance with the OML and Bylaws going forward, received training and materials on the OML at its annual retreat in July 2013, and added guidelines on OML requirements to every new Board member’s orientation and training materials. The Board has also undertaken to distribute regular updates and reminders on compliance with the OML, and to include training on the OML at each annual retreat.

2a2. Do have more academic goals to add?

Yes

2012-13 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 17	The board is comprised of at least one individual in each of the “core” functional areas of	The Board of Trustees annually reviews the board composition and carefully screens new applicants to	There were no issues flagged in this area for the 2012-13 school year.	We met this goal.

	expertise (finance, legal, education, non-profit and management).	ensure that we always have good representation in each of the core functional areas of expertise.		
Academic Goal 18	At least 80% of Board members will have met the attendance goal of attending at least 75% of Board meetings, committee meetings and special events during the academic year. Attendance logs will be kept for each full board meeting and committee meeting.	The board secretary keeps logs of the attendance records for all BOT meetings and committee meetings. We have not yet created a requirement for attendance at special school events.	76% (10 of 13) of Board members attended at least 75% of Board meetings this year. Overall there was an 83% attendance rate.	We did not meet this goal. We are asking each Board member to complete a commitment statement that includes Board and committee meeting attendance.
Academic Goal 19	Each year, parents will express satisfaction with the school's program, based on the NYCDOE Learning Environment Survey in which the school will receive scores of 7.5 or higher in each of the four survey domains: Academic Expectations, Communication, Engagement, and Safety and Respect. At least 50% of the parents will participate in the survey.	NYCDOE Learning Environment Survey	48% of our parents responded to the survey. The results: Academic Expectations –8.7 Communication – 8.6 Engagement –8.2 Safety and Respect – 8.3	We missed the response rate by 2% but we exceeded our goal in scores on academic expectations, engagement, and safety and respect. We will implement a new process this year to collect surveys to ensure a higher response rate next year.
Academic Goal 20	Each year, 80% of all staff employed during the course of the year will return the following September.	We count all staff members who were employed as of August 2012 and who by July 2013 have indicated that they will not be returning in September 2013.	NHACS employed 100 staff members during the 2012-13 school year. Eight staff members were terminated during the year and 19 will not return in September 2013, representing a 73% retention rate.	We did not meet this goal. We have a new Executive Director, who is committed to creating a dynamic learning environment that encourages staff growth and retention.
Academic Goal 21	Each year, teachers will express satisfaction with school leadership and professional development opportunities as determined by the teacher section of the NYCDOE Learning Environment Survey in which the school will receive scores of 7.5 or higher in each of the four survey domains: Academic Expectations, Communication, Engagement, and Safety and Respect. At least 90% of the staff will participate in the survey.	NYCDOE Learning Environment Survey.	The results have not been released by the city. This information will be updated during the final submission of the Annual Report by November 1, 2013.	69% of our staff responded to the survey. The results: Academic Expectations –6.7 Communication – 6.5 Engagement – 5.7 Safety and Respect –7.9

Academic Goal 22	Each year, students will express satisfaction with the school as determined by the student section of the NYCDOE Learning Environment Survey in which the school will receive scores of 7.5 or higher in each of the four survey domains: Academic Expectations, Communication, Engagement, and Safety and Respect. At least 75% of the students will participate in the survey.	NYCDOE Learning Environment Survey	89% of our students responded to the survey. The results: Academic Expectations – 8.0 Communication – 7.6 Engagement – 6.7 Safety and Respect – 7.1	We met this goal in all areas except for engagement. We have athletic teams, more assemblies, pep rallies, and celebrations. In addition, we have more awards like the Dean’s list which covers our PRIDE pillars
Academic Goal 23				
Academic Goal 24				
Academic Goal 25				
Academic Goal 26				
Academic Goal 27				
Academic Goal 28				
Academic Goal 29				
Academic Goal 30				

2b. ORGANIZATIONAL GOALS

2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1	Each year, instructional staff will engage in at least 50 hours of professional development, provided by both the school and other organizations. The hours will be tracked by personal logs kept by staff and submitted in their annual professional portfolios.	The department chairs keep logs of professional development offered at the school. Individual staff members keep logs of professional development attended outside of school.	Through a variety of opportunities, NHACS offers more than 50 hours of PD opportunities at the school each year, including: 9 days of Staff School in August (11 for new staff members), ½ day monthly PD sessions, and Wednesday meetings (early release for students).	We met this goal.
Org Goal 2				
Org Goal 3				
Org Goal 4				
Org Goal 5				

2b.1 Do you have more organizational goals to add?

(No response)

2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 6				
Org Goal 7				
Org Goal 8				
Org Goal 9				
Org Goal 10				
Org Goal 11				
Org Goal 12				
Org Goal 13				
Org Goal 14				

2c. FINANCIAL GOALS

2012-13 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract. This will be maintained on an ongoing basis and monitored bi-monthly.	The charter states an enrollment goal of 750 students.	Our FTE enrollment in June 2013 was 743 students, a less than 1% difference from the charter projection.	We met this goal.
Financial Goal 2	Upon the completion of each fiscal year, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.	Each year the school undergoes an independent financial audit.	While the audit for the 2012-13 school year is not complete, there have been no indications of any findings. NHACS has had clean audits since 2009.	We met this goal.
Financial Goal 3	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	The finance committee of the Board of Trustees works closely with the Executive Director and the Director of Finance and Operations to ensure a balanced budget and stable cash flow.	The budget for the 2013-14 school year was passed at the June 2013 board meeting.	We met this goal.
Financial Goal 4				
Financial Goal 5				

Appendix B: Total Expenditures and Administrative Expenditures Per Child

1. Total expenditures (FY 2013) per pupil (BEDS Day Count): the sum of all expenditures divided by the total number of enrolled students

Total Expenditures (FY 2013)	\$11,974,728
BEDS Day Count	757
<hr/>	<hr/>
Expenditures per pupil	\$15,819

2. Administrative expenditures per pupil: The sum of all general administration salaries and other general administration expenditures divided by the total number of enrolled students. The expenditures do not include benefit costs. Administrative expenditures defined as in prior years.

Administrative Expenditures per definition	\$1,196,316
BEDS Day Count	757
<hr/>	<hr/>
Administrative expenditures per pupil	\$1,580

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)

FINANCIAL STATEMENTS

JUNE 30, 2013 AND 2012

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

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FRUCHTER ROSEN & COMPANY, P.C.
CERTIFIED PUBLIC ACCOUNTANTS
156 WEST 56TH STREET
NEW YORK, NEW YORK 10019

TEL: (212) 957-3600
FAX: (212) 957-3696

INDEPENDENT AUDITORS' REPORT

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

Report on the Financial Statements

We have audited the accompanying financial statements of New Heights Academy Charter School (the "School") (a not-for-profit corporation), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of the School as of June 30, 2013, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Other Matters

Report on Summarized Comparative Information

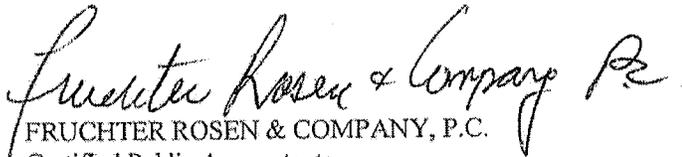
We have previously audited the School's 2012 financial statements, and our report dated August 22, 2012, expressed an unmodified opinion on those audited financial statements. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2012, is consistent, in all material respects, with the audited financial statements from which it has been derived.

Report on Schedule of Expenditures of Federal Awards Required by OMB Circular A-133

Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards, as required by Office of Management and Budget Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*, is presented for purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated, in all material respects, in relation to the financial statements as a whole.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated August 14, 2013, on our consideration of the School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control over financial reporting and compliance.


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

New York, New York
August 14, 2013

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
STATEMENTS OF FINANCIAL POSITION
JUNE 30,

	2013	2012
ASSETS		
Current assets:		
Cash and cash equivalents	\$ 4,291,462	\$ 4,554,671
Grants and contracts receivable	433,160	389,921
Prepaid expenses and other receivables	380,062	307,023
Total current assets	5,104,684	5,251,615
Property and equipment, net of accumulated depreciation and amortization of \$1,561,184 and \$1,206,282, respectively	3,097,505	2,900,437
Other assets:		
Security deposits	494,232	393,177
Deferred rent asset	75,641	-
Restricted cash	75,329	75,254
Total other assets	645,202	468,431
TOTAL ASSETS	\$ 8,847,391	\$ 8,620,483
LIABILITIES AND NET ASSETS		
Current liabilities:		
Accounts payable and accrued expenses	\$ 186,083	\$ 248,530
Accrued payroll and payroll taxes	885,329	647,474
Refundable advances and deferred revenue	37,782	6,536
Total current liabilities	1,109,194	902,540
Deferred rent	-	205,827
Total liabilities	1,109,194	1,108,367
Net assets:		
Unrestricted	7,730,797	7,507,116
Temporarily restricted	7,400	5,000
Total net assets	7,738,197	7,512,116
TOTAL LIABILITIES AND NET ASSETS	\$ 8,847,391	\$ 8,620,483

The accompanying notes are an integral part of the financial statements.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
STATEMENTS OF ACTIVITIES
FOR THE YEARS ENDED JUNE 30,

	2013			2012
	Unrestricted	Temporarily Restricted	Total All Funds	Total All Funds
Revenue and support:				
State and local per pupil operating revenue	\$ 11,257,262	\$ -	\$ 11,257,262	\$ 11,500,896
Federal grants	764,988	-	764,988	796,534
State and city grants	64,929	-	64,929	67,041
Contributions and grants	62,880	40,614	103,494	88,389
In-kind donations	16,174	-	16,174	27,092
Interest and other income	20,029	-	20,029	15,064
Net assets released from restriction - satisfaction of purpose restriction	38,214	(38,214)	-	-
Total revenue and support	<u>12,224,476</u>	<u>2,400</u>	<u>12,226,876</u>	<u>12,495,016</u>
Expenses:				
Program services				
Regular education	8,243,176	-	8,243,176	7,907,048
Special education	1,849,276	-	1,849,276	1,840,656
Other programs	153,879	-	153,879	190,903
Total program services	<u>10,246,331</u>	<u>-</u>	<u>10,246,331</u>	<u>9,938,607</u>
Supporting services				
Management and general	1,607,877	-	1,607,877	1,562,636
Fundraising	146,587	-	146,587	139,778
Total expenses	<u>12,000,795</u>	<u>-</u>	<u>12,000,795</u>	<u>11,641,021</u>
Changes in net assets	223,681	2,400	226,081	853,995
Net assets - beginning of year	<u>7,507,116</u>	<u>5,000</u>	<u>7,512,116</u>	<u>6,658,121</u>
Net assets - end of year	<u>\$ 7,730,797</u>	<u>\$ 7,400</u>	<u>\$ 7,738,197</u>	<u>\$ 7,512,116</u>

The accompanying notes are an integral part of the financial statements.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
STATEMENTS OF CASH FLOWS
FOR THE YEARS ENDED JUNE 30,

	2013	2012
CASH FLOWS FROM OPERATING ACTIVITIES		
Changes in net assets	\$ 226,081	\$ 853,995
Adjustments to reconcile changes in net assets to net cash provided by operating activities:		
Depreciation and amortization	389,589	340,672
Loss on disposition of property and equipment	20,868	5,112
Changes in certain assets and liabilities:		
(Increase) Decrease in grants and contracts receivable	(43,239)	5,662
(Increase) in prepaid expenses and other receivables	(73,039)	(223,607)
(Increase) in security deposits	(101,055)	(117,200)
(Increase) in deferred rent asset	(75,641)	-
(Decrease) Increase in accounts payable and accrued expenses	(62,447)	58,006
Increase in accrued payroll and payroll taxes	237,855	42,990
Increase in refundable advances and deferred revenue	31,246	6,536
(Decrease) Increase in deferred rent	(205,827)	63,572
NET CASH PROVIDED BY OPERATING ACTIVITIES	344,391	1,035,738
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchases of property and equipment	(607,525)	(226,503)
(Increase) in restricted cash	(75)	(80)
NET CASH (USED IN) INVESTING ACTIVITIES	(607,600)	(226,583)
NET (DECREASE) INCREASE IN CASH AND CASH EQUIVALENTS	(263,209)	809,155
CASH AND CASH EQUIVALENTS - BEGINNING OF YEAR	4,554,671	3,745,516
CASH AND CASH EQUIVALENTS - END OF YEAR	\$ 4,291,462	\$ 4,554,671
SUPPLEMENTAL DISCLOSURES OF CASH FLOW INFORMATION:		
Cash paid during the year for interest	\$ -	\$ -

The accompanying notes are an integral part of the financial statements.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 1 - PRINCIPAL BUSINESS ACTIVITY AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Nature of Organization

New Heights Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on April 15, 2005 to operate a charter school pursuant to Article 56 of the Education Law of the State of New York. The School was granted a provisional charter on April 15, 2005 valid for a term of five years and renewable upon expiration by the Board of Regents of the University of the State of New York. The School renewed its charter for an additional five-year term through 2015. The School provides a college preparatory education for students in Washington Heights and Inwood neighborhoods of upper Manhattan. The School's academically rigorous curriculum will ensure that all students set and meet post secondary education and career goals. Simultaneously, the School's nurturing environment of small class sizes and a focus on character education will ensure that our students develop a strong self-identity conducive to leading responsible lives as citizens in our global society. The School provided education to approximately 743 students in grades five through twelve during the 2012-2013 academic year.

Food and Transportation

During the years ended June 30, 2013 and 2012, the School retained an outside vendor to provide meals for students in which the School receives reimbursement from the New York State Education Department. The Office of Pupil Transportation provides free transportation to the majority of the students.

Tax Status

The School is exempt from Federal income tax under Section 501(a) of the Internal Revenue Code as a School described in Section 501(c)(3) and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) and qualifies for deductible contributions as provided in section 170(b)(1)(A)(ii). The School is subject to income taxes only on net unrelated business income. The School did not have net unrelated business income for the years ended June 30, 2013 and 2012.

The School's accounting policy provides that a tax expense or benefit from an uncertain tax position may be recognized when it is more likely than not that the position will be sustained upon examination, including resolutions of any related appeals or litigation processes, based on the technical merits. The School has no uncertain tax position resulting in an accrual of tax expense or benefit.

IRS Forms 990 filed by the School are subject to examination by the Internal Revenue Service up to three years from the extended due date of each return. Forms 990 filed by the School are no longer subject to examination for the fiscal years ended June 30, 2009, and prior.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 1 - PRINCIPAL BUSINESS ACTIVITY AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Basis of Presentation

The financial statement presentation follows the requirements of the Financial Accounting Standards Board ("FASB") in its Accounting Standards Codification ("ASC") No. 958-205 which provides guidance for the classification of net assets. The amounts for each of the three classes of net assets are based on the existence or absence of donor-imposed restrictions described as follows:

Unrestricted

Net assets of the School whose use has not been restricted by an outside donor or by law. They are available for any use in carrying out the operations of the School.

Temporarily Restricted

Net assets of the School whose use has been limited by donor-imposed stipulations that either expire with the passage of time or can be fulfilled and removed by actions of the School. When such stipulations end or are fulfilled, such temporarily restricted net assets are reclassified to unrestricted net assets and reported in the statement of activities and changes in net assets, as net assets released from restrictions.

Permanently Restricted

Net assets of the School whose use has been permanently limited by donor-imposed restrictions. Such assets include contributions required to be invested in perpetuity, the income from which is available to support charitable purposes designated by the donors.

The School had no permanently restricted net assets as of June 30, 2013 and 2012.

Revenue and Support

Contributions are recognized when the donor makes a promise to give to the School that is, in substance, unconditional. Grants and other contributions of cash are reported as temporarily restricted support if they are received with donor stipulations. Restricted contributions and grants that are made to support the School's current year activities are recorded as unrestricted revenue. Contributions of assets other than cash are recorded at their estimated fair value.

Revenue from the state and local governments resulting from the School's charter status and based on the number of students enrolled is recorded when services are performed in accordance with the charter agreement. Federal and other state and local funds are recorded when expenditures are incurred and billable to the government agency.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 1 - PRINCIPAL BUSINESS ACTIVITY AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Use of Estimates

The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenue and expenses during the reporting period. Accordingly, actual results could differ from those estimates.

Cash and Cash Equivalents

For the purpose of the statements of cash flows, the School considers all highly liquid debt instruments purchased with a maturity of three months or less to be cash equivalents.

Concentration of Credit Risk

Financial instruments which potentially subject the School to concentrations of credit risk are cash and cash equivalents. The School places its cash and cash equivalents on deposit in what it believes to be highly credited financial institutions. Cash balances may exceed the FDIC insured levels of \$250,000 per institution at various times during the year. The School believes that there is little risk in any losses and has not experienced any losses in such accounts.

Restricted Cash

Under the provisions of its charter, the School established an escrow account to pay for legal or audit expenses that would be associated with a dissolution should it occur.

Property and Equipment

Purchased property and equipment are recorded at cost. Maintenance and repairs are expensed as incurred. Property and equipment acquired with certain government funding are recorded as expenses pursuant to the terms of the contract, in which ownership of such property and equipment is retained by the funding source. No amortization is recorded on construction-in-progress until property is placed into service. Depreciation and amortization is provided on the straight line method over the estimated useful lives as follows:

Software	5 years
Equipment	3 to 5 years
Furniture and fixtures	7 years
Leasehold improvements	Useful life on related lease

Refundable Advances and Deferred Revenue

The School records certain government grants and contracts as refundable advances and deferred revenue until related services are performed, at which time it is recognized as revenue.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 1 - PRINCIPAL BUSINESS ACTIVITY AND SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES (Continued)

Comparative Financial Information

The accompanying statements of activities and schedule of functional expenses are presented with summarized comparative information. Such prior year information is not presented by net asset class in the statements of activities or by functional category in the schedule of functional expenses. Accordingly, such information should be read in conjunction with the School's 2012 financial statements from which the summarized information was derived.

Reclassifications

Certain 2012 accounts have been reclassified to the 2013 financial statements presentation. The reclassification has no effect on 2012 total assets, liabilities, net assets, and change in net assets.

NOTE 2 - GRANTS AND CONTRACTS RECEIVABLE

Grants and contracts receivable consisted of federal and city entitlements and grants. The School anticipates the receivable being collected within one year.

NOTE 3 - PROPERTY AND EQUIPMENT

Property and equipment consist of the following at June 30,:

	2013	2012
Software	\$ 182,082	\$ 154,613
Equipment	1,267,678	1,003,427
Furniture and fixtures	355,901	327,294
Leasehold improvements	2,853,028	2,621,385
	4,658,689	4,106,719
Less: Accumulated depreciation and amortization	1,561,184	1,206,282
	<u>\$ 3,097,505</u>	<u>\$ 2,900,437</u>

Depreciation and amortization expense was \$389,589 and \$340,672 for the years ended June 30, 2013 and 2012, respectively.

NOTE 4 - REVENUE CONCENTRATION

The School receives a substantial portion of its support and revenue from the New York City Department of Education. If the charter school laws were modified, reducing or eliminating these revenues, the School's finances could be materially adversely affected.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 5 - FUNCTIONAL ALLOCATION OF EXPENSE

Directly identifiable expenses are charged to programs and supporting services. Expenses related to more than one function are charged to programs and supporting services on the basis of periodic time and expense studies. Fundraising, management and general expense includes those expenses that are not directly identifiable with any other specific function, but provide for the overall support and direction of the School.

NOTE 6 - IN-KIND DONATIONS

Donated Services

Donated services are recognized as contributions in accordance with FASB ASC 605, "Accounts for Contributions Received and Contributions Made," if the services (a) create or enhance non-financial assets or (b) require specialized skills, are performed by people with those skills, and would otherwise be purchased by the School.

Two entities have provided legal services to the School at no charge. The value of these services meets the criteria for recognition in the financial statements and is recorded at fair value. For the years ended June 30, 2013 and 2012, the value of such donated services amounted to approximately \$11,188 and \$24,992, respectively.

Donated Goods

For the year ended June 30, 2013, the School received donated library books from a donor with a fair value of \$4,986. The entire value is included in textbooks in the accompanying schedule of functional expenses. For the year ended June 30, 2012, the School received donated furniture and fixtures from two donors with a fair value of \$2,100. The entire value is included in supplies and materials in the accompanying schedule of functional expenses.

NOTE 7 - RETIREMENT PLAN

The School maintains a pension plan qualified under Internal Revenue Code 401(k), for the benefit of its eligible employees. Under the plan, the School will match employee contributions up to 5% of annual compensation. Employee match for the years ended June 30, 2013 and 2012 amounted to \$260,676 and \$251,768, respectively.

NOTE 8 - COMMITMENTS

Operating lease – Civic Builders

During the year ended June 30, 2010, the School finalized a non-cancellable sublease agreement with Civic Builders ("Civic") on the expansion of their facility expiring on May 30, 2039. As a result of this agreement, Civic took over the School's prior lease obligation and secured options for an additional two floors. The agreement enabled the School to expand its infrastructure to service approximately 750 students. In addition, the agreement requires the School to fund Civic a reserve amount of \$500,000, payable at \$100,000 per year starting in September 2010 and included in security deposits in the accompanying statements of financial position.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 8 - COMMITMENTS (Continued)

Future minimum lease payments are as follows:

Year ending June 30,	2014	\$ 1,585,201
	2015	1,619,051
	2016	1,653,916
	2017	5,801,031
	2018	1,396,931
	Thereafter	<u>41,038,335</u>
		<u>\$ 53,094,465</u>

Operating lease - 1818-1838 Amsterdam Avenue LLC

On April 2012, the School entered into a non-cancellable lease agreement with 1818-1838 Amsterdam Avenue LLC (“Amsterdam”) on the expansion of their facility expiring on May 31, 2039. In addition, the agreement requires the School to fund Amsterdam a reserve amount of \$17,200, which is included in security deposits in the accompanying statements of financial position.

Future minimum lease payments are as follows:

Year ending June 30,	2014	\$ 107,094
	2015	110,307
	2016	113,610
	2017	117,021
	2018	120,537
	Thereafter	<u>3,494,619</u>
		<u>\$ 4,063,188</u>

The School recognizes rent expense on a straight-line basis. Rent expense in excess of payments is recorded as deferred rent in the accompanying statements of financial position. Rent expense under the operating lease for the years ended June 30, 2013 and 2012 amounted to \$1,832,671 and \$1,716,916, respectively.

NOTE 9 - CONTINGENCIES

Certain grants and contracts may be subject to audit by the funding sources. Such audits might result in disallowances of costs submitted for reimbursements. Management is of the opinion that such cost disallowances, if any, will not have a material effect on the accompanying financial statements. Accordingly, no amounts have been provided in the accompanying financial statements for such potential claims.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2013 AND 2012

NOTE 10 - TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets consist of the following at June 30,:

	2013	2012
Academic intervention programs	\$ 5,000	\$ -
Greening the basement project	2,400	-
Curriculum writing	-	5,000
	\$ 7,400	\$ 5,000

Net assets for the years ended June 30, 2013 and 2012, were released from donor restrictions by satisfying the purpose specified by donor as follows:

	2013	2012
Japanese teacher salary	\$ 30,000	\$ -
Curriculum writing	7,500	-
Japanese class supplies	694	-
Conference	20	-
College trips	-	16,955
Saturday academy, after school tutoring, and regents preparation	-	9,000
	\$ 38,214	\$ 25,955

NOTE 11 - SUBSEQUENT EVENTS

In preparing these financial statements, the School has evaluated events and transactions for potential recognition or disclosure through August 14, 2013, the date the financial statements were available to be issued.

On July 25, 2013, the School entered into a severance agreement with a former employee for services rendered prior to June 30, 2013. The School accrued \$143,782 as consideration in the accompanying statement of financial position at June 30, 2013.

FRUCHTER ROSEN & COMPANY, P.C.

CERTIFIED PUBLIC ACCOUNTANTS

156 WEST 56TH STREET

NEW YORK, NEW YORK 10019

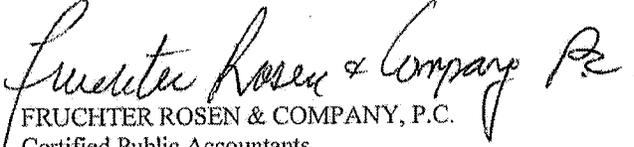
TEL: (212) 957-3600

FAX: (212) 957-3696

INDEPENDENT AUDITORS' REPORT
ON SUPPLEMENTARY INFORMATION

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

We have audited the financial statements of New Heights Academy Charter School as of and for the year ended June 30, 2013, and have issued our report thereon dated August 14, 2013, which contained an unmodified opinion on those financial statements. Our audit was performed for the purpose of forming an opinion on the financial statements as a whole. The schedule of functional expenses is presented for the purposes of additional analysis and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the information is fairly stated in all material respects in relation to the financial statements as a whole.


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

New York, New York
August 14, 2013

NEW HEIGHTS ACADEMY CHARTER SCHOOL
(A Not-For-Profit Corporation)
SCHEDULE OF FUNCTIONAL EXPENSES
FOR THE YEARS ENDED JUNE 30,

2013

	Program Services			Supporting Services			Total Expenses	2012
	Regular Education	Special Education	Supplemental Education	Total	Management and General	Fundraising		
Salaries	\$ 4,057,079	\$ 1,326,357	\$ 94,938	\$ 5,478,374	\$ 808,955	\$ 76,214	\$ 6,363,543	\$ 6,172,252
Employee benefits and payroll taxes	964,756	315,402	22,576	1,302,734	192,368	18,123	1,513,225	1,458,091
Auditing/Accounting fees	-	-	-	-	30,250	-	30,250	30,260
Professional fees	-	-	26,160	26,160	1,904	-	28,064	45,358
Legal fees	-	-	-	-	11,188	-	11,188	37,702
Consultants - technology	18,470	-	-	18,470	3,683	347	22,500	17,433
Consultants - temps	68,197	8,457	-	76,654	-	-	76,654	73,753
Staff development	138,889	17,223	-	156,112	2,579	-	158,691	118,793
Teacher recruitment	40,488	13,236	-	53,724	8,073	-	61,797	36,194
Student recruiting and marketing	26,165	3,244	-	29,409	-	-	29,409	15,333
Student management	17,483	2,167	-	19,650	-	-	19,650	8,298
Student/Staff events	81,990	10,167	-	92,157	26,112	2,582	120,851	182,783
Food service	136,911	44,759	3,204	184,874	-	-	184,874	211,865
Field trips	20,171	2,502	-	22,673	-	-	22,673	11,289
Supplies and materials	156,150	51,049	3,654	210,853	31,136	2,933	244,922	288,018
Textbooks	64,185	7,960	-	72,145	-	-	72,145	70,124
Rent and utilities	1,805,636	-	-	1,805,636	360,032	33,920	2,199,588	2,083,991
Maintenance and repairs	136,937	-	-	136,937	27,305	2,572	166,814	180,950
Janitorial	78,035	25,511	1,826	105,372	15,560	1,466	122,398	114,935
Lease - equipment	12,516	4,092	293	16,901	2,495	235	19,631	22,972
Insurance	46,853	-	-	46,853	12,014	1,201	60,068	57,072
Dues and fees	19,639	6,420	460	26,519	3,916	369	30,804	23,677
Postage/Printing/Copying	5,091	1,664	119	6,874	1,016	96	7,986	8,437
Telephone and internet	27,290	8,922	639	36,851	5,440	513	42,804	30,433
Depreciation and amortization	319,813	-	-	319,813	63,768	6,008	389,589	340,672
Miscellaneous	432	144	10	586	83	8	677	336
Total	\$ 8,243,176	\$ 1,849,276	\$ 153,879	\$ 10,246,331	\$ 1,607,877	\$ 146,587	\$ 12,000,795	\$ 11,641,021

FRUCHTER ROSEN & COMPANY, P.C.

CERTIFIED PUBLIC ACCOUNTANTS
156 WEST 56TH STREET
NEW YORK, NEW YORK 10019

TEL: (212) 957-3600
FAX: (212) 957-3696

INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL OVER FINANCIAL
REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF
FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH
GOVERNMENT AUDITING STANDARDS

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of New Heights Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2013, and the related statements of activities, and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated August 14, 2013.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

New York, New York
August 14, 2013

FRUCHTER ROSEN & COMPANY, P.C.
CERTIFIED PUBLIC ACCOUNTANTS
156 WEST 56TH STREET
NEW YORK, NEW YORK 10019

TEL: (212) 957-3600
FAX: (212) 957-3696

INDEPENDENT AUDITORS' REPORT ON COMPLIANCE WITH REQUIREMENTS
APPLICABLE TO EACH MAJOR PROGRAM AND ON INTERNAL CONTROL
OVER COMPLIANCE IN ACCORDANCE WITH OMB CIRCULAR A-133

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

Compliance

We have audited New Heights Academy Charter School's (the "School") compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of the School's major federal programs for the year ended June 30, 2013. The School's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements referred to above is the responsibility of the School's management. Our responsibility is to express an opinion on the School's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program identified in the accompanying schedule of findings and questioned costs occurred. An audit includes examining, on a test basis, evidence about the School's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the School's compliance with those requirements.

In our opinion, the School complied, in all material respects, with the compliance requirements referred to above that could have a direct and material effect on each of its major federal programs identified in the accompanying schedule of findings and questioned costs for the year ended June 30, 2013.

Internal Control Over Compliance

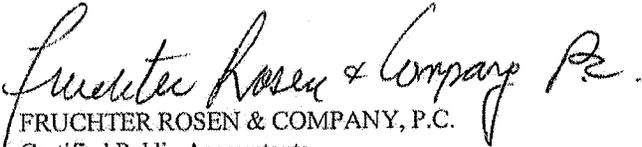
The management of the School is responsible for establishing and maintaining effective internal control over compliance with the compliance requirements referred to above. In planning and performing our audit, we considered the School's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the School's internal control over compliance.

TO THE BOARD OF TRUSTEES OF
NEW HEIGHTS ACADEMY CHARTER SCHOOL

A deficiency in internal control over compliance exist when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be deficiencies, significant deficiencies, or material weaknesses in internal control over compliance. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

This report is intended solely for the information and use of management, Board of Trustees, federal, state and local awarding agencies, The Department of Education of the City of New York, The State Education Department of the State University of New York, and others within the School and is not intended to be and should not be used by anyone other than these specified parties.


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

New York, New York
August 14, 2013

NEW HEIGHTS ACADEMY CHARTER SCHOOL
SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2013

A - SUMMARY OF AUDITORS' RESULTS

1. The auditors' report expresses an unqualified opinion on the financial statements of New Heights Academy Charter School.
2. No significant deficiencies and no material weaknesses were discovered during the audit of the financial statements.
3. No instances of noncompliance material to the financial statements of New Heights Academy Charter School, which would be required to be reported in accordance with *Government Auditing Standards*, were disclosed during the audit.
4. No significant deficiencies and no material weaknesses relating to the audit of the major federal award programs are reported in accordance with OMB Circular A-133.
5. The auditors' report on compliance for the major federal award programs for New Heights Academy Charter School expresses an unqualified opinion on all major federal programs.
6. No audit findings relative to the major federal award programs for New Heights Academy Charter School are reported in this schedule.
7. The programs tested as major programs included:
 Title I Part A, CFDA No. 84.010
8. The threshold used for distinguishing between Type A and B programs was \$300,000.
9. New Heights Academy Charter School qualified as a low-risk auditee.

B - FINDINGS - FINANCIAL STATEMENTS AUDIT

None

C - FINDINGS AND QUESTIONED COSTS - MAJOR FEDERAL AWARD PROGRAMS AUDIT

None

NEW HEIGHTS ACADEMY CHARTER SCHOOL
SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

Federal Grantor Pass-through Grantor Program Name/Cluster	Federal CFDA Number	Federal Expenditures
U.S. Department of Education:		
Passed through the New York State		
Education Department:		
Special Education - IDEA	84.027	\$ 103,070
Title I Part A	84.010	410,150
Title I School Improvement	84.010	24,870
Title II Part A	84.367	15,426
Title III Part A	84.365	<u>25,502</u>
Total U.S. Department of Education		<u>579,018</u>
U.S. Department of Agriculture:		
Passed through the New York State		
Education Department:		
Child Nutrition Cluster		
National School Breakfast Program	10.553	16,250
National School Lunch Program	10.555	<u>146,161</u>
Total U.S. Department of Agriculture		<u>162,411</u>
Total Expenditures of Federal Awards		<u>\$ 741,429</u>

See accompanying notes to schedule of expenditures of federal awards.

NEW HEIGHTS ACADEMY CHARTER SCHOOL
NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2013

NOTE A - BASIS OF PRESENTATION

The accompanying schedule of expenditures of federal awards includes the federal grant activity of New Heights Academy Charter School and is presented on the accrual basis of accounting for the year ended June 30, 2013. The information in this schedule is presented in accordance with the requirements on OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Therefore, some amounts presented in this schedule may differ from amounts presented in, or used in the preparation of the basic financial statements.

FRUCHTER ROSEN & COMPANY, P.C.
CERTIFIED PUBLIC ACCOUNTANTS
156 WEST 56TH STREET
NEW YORK, NEW YORK 10019

TEL: (212) 957-3600
FAX: (212) 957-3696

August 14, 2013

Audit Committee of the Board of Trustees
New Heights Academy Charter School
1818 Amsterdam Avenue
New York, NY 10031

In planning and performing our audit of the financial statements of New Heights Academy Charter School (the "School") as of and for the year ended June 30, 2013, in accordance with auditing standards generally accepted in the United States of America, we considered the School's internal control over financial reporting (internal control) as a basis for designing audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control was for the limited purpose described in the first paragraph and was not designed to identify all deficiencies in internal control that might be material weaknesses. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

This communication is intended solely for the information and use of management, Board of Trustees, federal, state and local awarding agencies, The Department of Education of the City of New York, The State Education Department of the State University of New York, and others within the School, and is not intended to be, and should not be, used by anyone other than these specified parties.

We appreciate your cooperation and the assistance we received during the course of our audit.


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

New York, New York
August 14, 2013

FRUCHTER ROSEN & COMPANY, P.C.
CERTIFIED PUBLIC ACCOUNTANTS
156 WEST 56TH STREET
NEW YORK, NEW YORK 10019

TEL: (212) 957-3600
FAX: (212) 957-3696

August 14, 2013

To the Audit Committee of the Board of Trustees
New Heights Academy Charter School

We have audited the financial statements of New Heights Academy Charter School (the "School") for the year ended June 30, 2013, and have issued our report thereon dated August 14, 2013. Professional standards require that we provide you with information about our responsibilities under generally accepted auditing standards, as well as certain information related to the planned scope and timing of our audit. We have communicated such information in our letter to you dated March 26, 2013. Professional standards also require that we communicate to you the following information related to our audit.

Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. The significant accounting policies used by New Heights Academy Charter School are described in Note 1 to the financial statements. No new accounting policies were adopted and the application of existing policies was not changed during the year ended June 30, 2013. We noted no transactions entered into by the School during the year for which there is a lack of authoritative guidance or consensus. All significant transactions have been recognized in the financial statements in the proper period.

The financial statement disclosures are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all misstatements identified during the audit, other than those that are clearly trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. In addition, none of the misstatements detected as a result of audit procedures and corrected by management were material, either individually or in the aggregate, to the financial statements taken as a whole.

Disagreements with Management

For purposes of this letter, a disagreement with management is a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report. We are pleased to report that no such disagreements arose during the course of our audit.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated August 14, 2013.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the School's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

Other Audit Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the School's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

Other Matters

With respect to the supplementary information accompanying the financial statements, we made certain inquiries of management and evaluated the form, content, and methods of preparing the information to determine that the information complies with U.S. generally accepted accounting principles, the method of preparing it has not changed from the prior period, and the information is appropriate and complete in relation to our audit of the financial statements. We compared and reconciled the supplementary information to the underlying accounting records used to prepare the financial statements or to the financial statements themselves.

This information is intended solely for the use of the Board of Trustees and management of New Heights Academy Charter School and is not intended to be, and should not be, used by anyone other than these specified parties.

Very truly yours,


FRUCHTER ROSEN & COMPANY, P.C.
Certified Public Accountants

Appendix E: Disclosure of Financial Interest Form

Created Thursday, August 01, 2013

Page 1

310600860887 NEW HEIGHTS ACADEMY CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2012-13 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at: <http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/>.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <http://fluidsurveys.com/account/surveys/210748/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.

Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

Appendix F: BOT Membership Table

Created Monday, July 29, 2013

Updated Wednesday, July 31, 2013

Page 1

310600860887 NEW HEIGHTS ACADEMY CS

1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Laila Abou-Rahme	Vice Chair/Vice President	Yes	Legal	Served since 05/2010, reelected 06/2013, expiration 06/2013	Education Accountability, Executive
2	Joe Bagtas	Member	Yes	Accounting	Elected 09/2012, serves three years	Finance
3	Keia Cole	Member	Yes	Legal	Elected 01/2012, three year term	Education Accountability, Executive
4	Gail Grossmann	Chair/President	Yes	Management	Elected 05/2008, reelected 06/2011, three year term	Education Accountability, Executive, Fundraising
5	Anne Kofol Hogarty	Member	Yes	Finance	Elected 03/2013, three year term	Education Accountability
6	Dylan Hogarty	Member	Yes	Finance	Elected 03/2013, three year term	Finance
7	Tim Prugar	Secretary	Yes	Education	Elected 06/2011, three year term	Education Accountability
8	Edna Santiago	Member	Yes	Parent	Elected 02/2012, three year term	Education Accountability
9						
10						
11						
12						
13						
14						
15						
16						
17						
18						
19						
20						

2. Total Number of Members Joining Board during the 2012-13 school year

2

3. Total Number of Members Departing the Board during the 2012-13 school year

4

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

15

5. How many times did the Board meet during the 2012-13 school year?

11

6. How many times will the Board meet during the 2013-14 school year?

10

Thank you.

New Heights Academy Charter School makes good faith efforts to attract and retain students with disabilities and students who are eligible applicants for the free and reduced price lunch program. Our percentage of students who are eligible for the free and reduce price lunch program is 96% compared to a District 6 percentage of 87% (<https://reportcards.nysed.gov/files/2011-12/RC-2012-310600010000.pdf>) Our percentage of Special Education students is 12.4% compared to a District 6 percentage of 13.8% (as reported in the NYC DOE Annual Site Visit, May 2012).

New Heights' ELL population is 20% compared to a District 6 percentage of 36% (<https://reportcards.nysed.gov/files/2011-12/RC-2012-310600010000.pdf>). The school's overall ELL population percentage is misleading. This past year the fifth grade class percentage of ELL students was 37%. The percentage of ELL's by grade steadily declines as the students progress in years. We will continue our outreach throughout the district with materials available in both Spanish and English and targeted outreach in Spanish only publications.

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, July 29, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/c4b39661d8d308e2867808cef2>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Anne Kofol Hogarty

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Karen Tynes for Anne Kofol Hoger

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, July 29, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/579610f78ab328ec9f469dfc135>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Laila Abou-Rahme

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Vice Chair/Vice President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

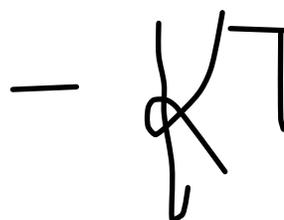
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Laila Abou-Rahme - 

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/a7979007f2a60af25c8615a1e1d>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Joe Bagtas

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Karen Tyler for Joe Langtas - form

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, July 29, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/6dad70c5de138496664b4bf3f4>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Keia Cole

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Karen Tyler For Keia Cole-for

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, July 29, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/59c5d7643c759d374408ed1320>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Dylan Hogarty

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Hand Typed for Dylan Hoquarty-form c

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Monday, July 29, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/c1d5d53ef53343760d0ff5f37a5>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Gail Grossmann

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Chair/President
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Karen Tyee for Gail Grossmann Form

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/1109b49b267545b86d8a8b7123>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Tim Prugar

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

Board of Regents

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Secretary

9. Are you a trustee and also an employee of the school?

Yes

9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

Variable	Response
[TEMP.0] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Position Held	Dean of Middle School Students
[TEMP.1] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Responsibilities	School Culture and Discipline, Teacher Coaching, Grades 5-8
[TEMP.2] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Salary	\$62,000.00
[TEMP.3] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Start Date	7/10

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

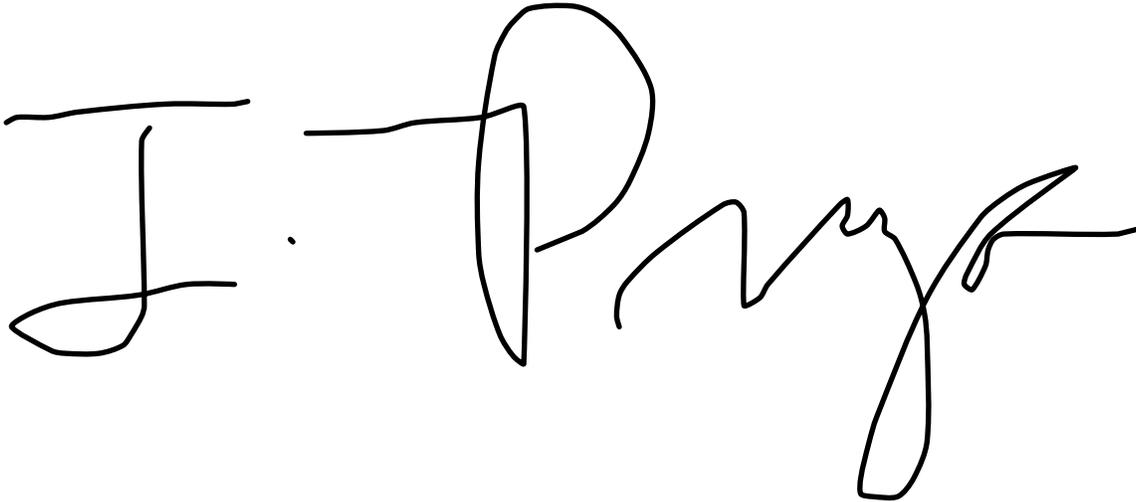
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "J. P. Myers". The signature is written in a cursive style with a large, looped "P" and a long, sweeping tail on the "y".

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/cfb30d798ba77d13bf59583f122>

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Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Edna Santiago

2. Charter School Name:

New Heights Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

Variable	Response
4. *Your Home Address: Street Address	[REDACTED]
4. *Your Home Address: City/State	[REDACTED]
4. *Your Home Address: Zip	[REDACTED]

5. *Your Business Address

Variable	Response
5. *Your Business Address Street Address	[REDACTED]
5. *Your Business Address City/State	[REDACTED]
5. *Your Business Address Zip	[REDACTED]

6. *Daytime Phone Number:

[REDACTED]

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Parent Representative
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

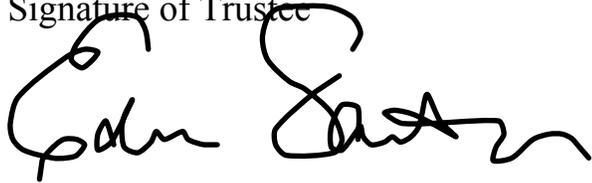
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "Edna Sauter". The signature is written in a cursive style with a large initial "E" and a long, sweeping tail.