

I. SCHOOL INFORMATION AND COVER PAGE

Created Tuesday, July 30, 2013

Updated Wednesday, July 31, 2013

Page 1

1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

342900860974 RIVERTON STREET CS

2. CHARTER AUTHORIZER

NYCDOE-Authorized Charter School

3. DISTRICT / CSD OF LOCATION

NYC CSD 29

4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
11834 Riverton Street St. Albans, NY 11412	718-481-7200		89.vkennedy@nhaschools.com

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Contact Name	Verone Kennedy
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Title	Principals
4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES Emergency Phone Number (###-###-####)	

5. SCHOOL WEB ADDRESS (URL)

rivertonstreetcharterschool.org

6. DATE OF INITIAL CHARTER

2010-01-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2010-09-01 00:00:00

8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2012-13 (as reported on BEDS Day)

(as reported on BEDS Day)

9. GRADES SERVED IN SCHOOL YEAR 2012-13

Check all that apply

• K

• 1

• 2

• 3

• 4

• 5

10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?

Yes/No	Name of CMO/EMO
Yes	National Heritage Academies

10a. Please provide the name and contact information for each of the following individuals who are management level personnel associated with the CMO.

	Name	Work Phone	Alternate Phone	Email Address	Contact this individual also in emergencies
CEO (e.g., network superintendent)	Chip Hurlburt	[REDACTED]		[REDACTED]	No
CFO (e.g., network CFO)	Susan Beans	[REDACTED]		[REDACTED]	No
Compliance Contact	Shane Pranger	[REDACTED]		[REDACTED]	No
Complaint Contact	Shane Pranger	[REDACTED]		[REDACTED]	No

11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2013-14.

	Physical Address	Phone Number	District/C SD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	11834 Riverton Street St. Albans, NY 11412	718-481-8200	CSD 29	K-5	No	Rent/Lease
Site 2						
Site 3						

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Verone Kennedy			
Operational Leader				
Compliance Contact				
Complaint Contact				

13. Are the School sites co-located?

No

14. Were there any revisions to the school's charter during the 2012-2013 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

Yes

15. Summary of Charter Revisions

	Category (Select Best Description)	Specific Revision (150 word limit)	Date Approved by BOT (if applicable)	Date Approved by Authorizer (if applicable)
1	Change in Maximum Approved Enrollment	The Board of the Riverton Steet Charter School requested to increase teh school's maximum enrollment while also expanding the school's facilities. The original charter contract stated that during the school's fifth year of operation the total enrollment would be 574 students. The school woudl reach maximum enrollmetn during its eighth year with 704 total students. The proposed changes would increase student enrollment to 722 during the school's fifth year and maximum enrollment would increase to 990.	02/23/2013	03/25/2013
2				
3				
4				
5				

16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

• Yes

Signature, Head of Charter School

Two handwritten signatures in black ink. The signature on the left is cursive and appears to read 'Herone'. The signature on the right is also cursive and appears to read 'Herrington'.

Signature, President of the Board of Trustees

Thank you.

Signature Page for President of Board of Trustees

Created Tuesday, July 30, 2013

Updated Thursday, August 01, 2013

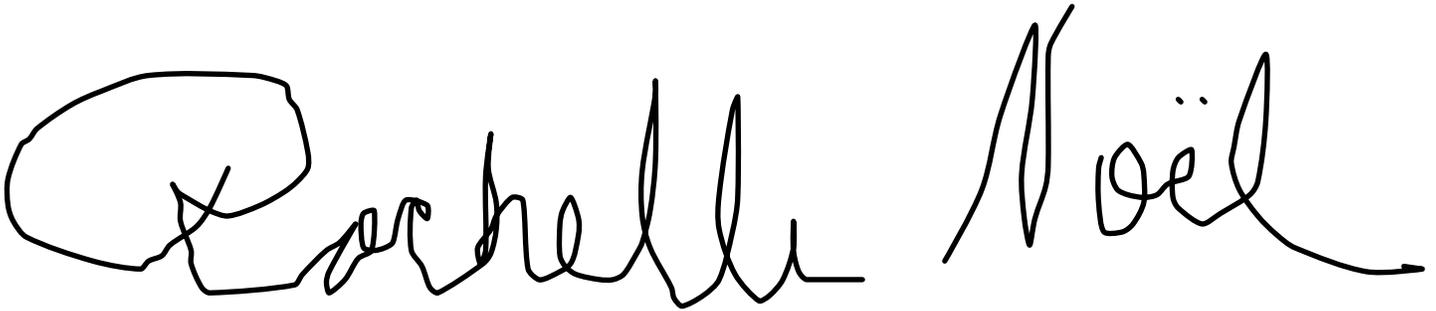
Page 1

342900860974 RIVERTON STREET CS

16. My signature below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check **YES** if you agree and use the mouse on your PC or the stylist on your mobile device to sign your name).

- Yes
-

Signature, Board President

A handwritten signature in black ink that reads "Rachelle Noil". The signature is written in a cursive style with a large, rounded initial 'R' and a long, sweeping tail on the 'l'.

Thank you.

Appendix A: Progress Toward Goals

Created Thursday, August 01, 2013

Updated Friday, November 01, 2013

Page 1

Charter School Name: 342900860974 RIVERTON STREET CS

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<https://reportcards.nysed.gov/files/2011-12/RC-2012-342900860974.pdf>

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the Progress Toward Charter Goals are based on student performance data that the school will not have access to by August 1, 2013 (e.g., the NYS Assessment results), please list goals and explain this in the "Progress Towards Attainment" column. This information can be updated for Appendix A when available but no later than November 1, 2013. Board of Regents-authorized charter schools that opened for instruction in the fall of 2012 or that were renewed in 2012-13 will be held to the same charter-specific academic goals. Board of Regents-authorized charter schools will also be held accountable to Student Performance Benchmark 1 of the Performance Framework.

2012-13 Progress Toward Attainment of Academic Goals

Academic Student Performance Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Goal 1: 75% of RSCS's 3rd through 8th graders who have been enrolled at RSCS on the Basic Educational Data System (BEDS) day for at least two consecutive years will perform at or above Level 3 on the New York State ELA and Math examinations.	NYS Testing Program ELA: Not Met Math: Not Met	With the new common core based test and different cut scores, proficiency rates across the state have dropped precipitously, making this a very difficult goal to achieve. In 2012-13, Riverton Street did not meet its goal in either English Language Arts, or Math. In ELA 37% of Riverton students scored proficient, while in Math 44% scored proficient.

Subject Grade # Tested % >
 Level 3
 ELA 3 78 44%
 ELA 4 52 35%
 ELA 5 53 28%
 ELA Total 183 37%

Subject Grade # Tested % >
 Level 3
 Math 3 78 46%
 Math 4 52 46%
 Math 5 53 40%
 Math Total 183 44%

Academic Goal 2	<p>Goal 2: Grade-level cohorts of the same students (i.e. students who are in the school for two years in a row) will reduce by one-half the gap between the percent at or above Level 3 on the previous year's State ELA and Math exams and 75% at or above Level 3 on the current year's State ELA and Math exams. If the number of students scoring above proficiency in a grade level cohort exceeded 75% on the previous year's ELA and Math exams, RSCS will demonstrate growth (above 75%) in the current year.</p>	NYS Testing Program	<p>ELA: Not Met</p> <p>Math: Not Met</p>	<p>With the new common core based test and different cut scores, proficiency rates across the state have dropped precipitously, making this a very difficult goal to achieve.</p> <p>Riverton Street did not meet this measure in English Language Arts or Mathematics. With the increased rigor in the new common core based tests scores dropped statewide, making this a very difficult measure to meet.</p> <p>Percent of Students Scoring at or above Level 3 in English Language Arts</p> <table border="1"> <thead> <tr> <th>2012-13 Grade</th> <th>2011-12 Target</th> <th>2012-13 Met?</th> </tr> </thead> <tbody> <tr> <td>4</td> <td>49%</td> <td>63% 31% Not Met</td> </tr> <tr> <td>5</td> <td>71%</td> <td>73% 29% Not Met</td> </tr> <tr> <td>Total</td> <td>60%</td> <td>68% 30% Not Met</td> </tr> </tbody> </table> <p>Students Scoring at or above Level 3 in Math</p> <table border="1"> <thead> <tr> <th>2012-13 Grade</th> <th>2011-12 Target</th> <th>2012-13 Met?</th> </tr> </thead> <tbody> <tr> <td>4</td> <td>78%</td> <td>79% 44% Not Met</td> </tr> <tr> <td>5</td> <td>84%</td> <td>85% 41% Not Met</td> </tr> <tr> <td>Total</td> <td>81%</td> <td>82% 43% Not Met</td> </tr> </tbody> </table>	2012-13 Grade	2011-12 Target	2012-13 Met?	4	49%	63% 31% Not Met	5	71%	73% 29% Not Met	Total	60%	68% 30% Not Met	2012-13 Grade	2011-12 Target	2012-13 Met?	4	78%	79% 44% Not Met	5	84%	85% 41% Not Met	Total	81%	82% 43% Not Met
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Total	81%	82% 43% Not Met																										

Academic Goal 3	Goal 3: Each year, the percent of students who are enrolled in RSCS on the date of the test administration who were also enrolled in RSCS on BEDS day of the prior school year and performing at or above Level 3 on the ELA and Math exams in each tested grade will be greater than that of CSD 29.	NYS Testing Program	ELA: Met Math: Met	<p>Met in all grades and subjects</p> <p>In 2012-13 Riverton Street met this measure by exceeding the Community School District by 10 percentage points in English Language Arts and 20 percentage points in Math. The School outperformed the local Community School District in every subject and grade level.</p> <p>Percent of Students Scoring at or above Level 3 in English Language Arts Grade Riverton CSD #29 Difference 3 44% 28% 16% 4 31% 27% 4% 5 29% 25% 4% Total 37% 27% 10%</p> <p>Percent of Students Scoring at or above Level 3 in Math Grade Riverton CSD #29 Difference 3 50% 27% 23% 4 44% 28% 16% 5 41% 21% 20% Total 45% 25% 20%</p>
Academic Goal 4	Goal 4: From the first year that RSCS 4th grade students will be assessed, 2011-2012 and each subsequent year thereafter, 75% of 4th and 8th graders who have been enrolled at RSCS on BEDS day for at least two consecutive years will perform at or above Level 3 on the New York State Science examination.	NYS Testing Program	Science: Met	<p>In 2012-13 Riverton Street met this measure with 98% of students in grade 4 who have been enrolled on BEDS day for at least two consecutive years performing at or above Level 3 on the New York State Science examination.</p> <p>Subject Grade # Tested % Passing Science 4 45 98% Science Total 45 98%</p>
Academic Goal 5	Goal 5: Each year, the percent of students who are enrolled in RSCS on the date of the test administration who were also enrolled in RSCS on BEDS day of the prior school year and performing at or above Level 3 on the Science exam in each tested grade will be greater than that of CSD 29.	NYS Testing Program	Science 2012-13 Public Results not yet available. Met in 2011-12	<p>Public results for 2012-13 science results are not yet released. In 2011-12 100% of students 4th grade students at Riverton Street scored at or above Level 3 on the Science exam, compared to 83% at CSD #29.</p> <p>2011-12 Percent of Students</p>

				Scoring at or above Level 3 in Science Grade Riverton CSD #29 Difference 4 100% 83% 17% Total 100% 83% 17%
Academic Goal 6	Goal 6: Under the State's No Child Left Behind accountability system, RSCS's Accountability Status will be in "Good Standing" each year.	NYSED Annual Measurable Objectives	Met.	Riverton Street was not identified as a focus or priority charter school under the NCLB waiver.
Academic Goal 7	Goal 7: Each year, more than 50% of students in grades K-8 who have been enrolled at RSCS on BEDS day for at least two consecutive years will perform at or above the 50th percentile nationally on the Spring administration of the Northwest Evaluation Association (NWEA) reading and mathematics assessment.	NWEA-MA P and NWEA-PGA Assessments	Reading: Met Math: Met	In 2012-13, Riverton Street met this measure with 78% of students performing above the 50th percentile nationally on the Spring administration of both the Math and Reading NWEA assessments. Percent of Students Scoring above the 50th Percentile Nationally on the NWEA MAP Assessment Subject Above Below # Students Math 78.9% 21.1% 242 Reading 77.7% 22.3% 242
Academic Goal 8	Goal 8: The School will administer the NWEA Primary Grades Assessment (PGA) to all Kindergarten and 1st Grade students and NWEA Measures of Academic Progress (MAP) Tests to 2nd Grade through 8th Grade students. The school will be above average (>50%) for students making typical growth (combination of reading, math, and language usage).	NWEA-MA P and NWEA-PGA Assessments	Riverton Street met or exceeded 50% of students making typical growth by a wide margin in all comparison categories.	Riverton Street exceeded this goal with 68% of students achieving typical growth. In Language Usage, Math, and Reading, Riverton Street exceeded the 50% goal by 8, 27, and 18 percentage points, respectively. Percent of Students Making Typical Growth, Grades K-5 Language Usage Math Reading Total 58% 77% 65% 68%

2a1. Do have more academic goals to add?

Yes

2012-13 Progress Toward Attainment of Academic Goals

Academic Student Performance Goal	Measure Used to	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
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		Evaluate Progress		
Academic Goal 9	Goal 9: The School will administer the NWEA Primary Grades Assessment (PGA) to all Kindergarten and 1st Grade students and NWEA Measures of Academic Progress (MAP) Tests to 2nd Grade through 8th Grade students. The median percentile for students in the spring of their 3rd year will be ≥ 50 (combination of reading, math, and language usage) by the spring of their 3rd year.	NWEA-MA P and NWEA-PGA Assessments	Met. Riverton Street met or exceeded 50% of students making typical growth by a wide margin in all comparison categories.	Riverton Street exceeded this goal with the median percentile for students in their 3rd year exceeded the 50th percentile in Language Usage, Math and Reading. Median Percentile for Students in the Spring of their third year, Grades K-5 Language Usage Math Reading Total 70th 75th 68th 70th
Academic Goal 10	Goal 10: The School will administer the NWEA Primary Grades Assessment (PGA) to all Kindergarten and 1st Grade students and NWEA Measures of Academic Progress (MAP) Tests to 2nd Grade through 8th Grade students. The school will meet or exceed the growth of comparable students (using NWEA GRD) for 17 of 24 comparison categories by the 3rd year, e.g. grade 2 reading is one category, grade 3 reading is another category, etc. Typical numbers of categories by the 3rd year would be 24.	NWEA-MA P and NWEA-PGA Assessments	Met. Riverton Met or Exceeded average student growth in 15 out of the 16 possible categories.	This measure was exceeded by Riverton Street. In the subject areas of Language Usage, Math, and Reading, Riverton Street students surpassed the average growth of comparable students in 15 of the 16 possible categories (all except 3rd grade Reading). Student Rate of Growth, Compared to National Average of 100% Grade Language Usage Math Reading K n/a 175% 167% 1 n/a 161% 111% 2 126% 121% 120% 3 100% 119% 95% 4 116% 177% 142% 5 100% 156% 145% Total 113% 150% 127%

2a2. Do have more academic goals to add?

No

2b. ORGANIZATIONAL GOALS

2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1	For the 2012-13 year, RSCS will have an average daily student attendance rate of at least 90%. Each subsequent year, attendance rates will steadily increase; by 2013-2014, RSCS will have an average daily student attendance rate of at least 94%.	Daily attendance records.	Met. Riverton Street had an average daily attendance rate of 96.98%.	N/A
Org Goal 2	Each year, 90% of all students enrolled on the last day of the school year will return the following September.	Re-enrollment records.	Met. Riverton Street had 94.6% of students re-enroll.	N/A
Org Goal 3	Each year, RSCS will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	The Board monitors these items on a regular basis with the assistance of board counsel, management partner, and authorizer.	Met. Riverton Street is in full compliance with its charter and all applicable laws.	N/A
Org Goal 4	Each year, the Board of Trustees will perform a self-evaluation to ensure that the bylaws and Code of Ethics are being followed.	Review of Bylaws and Code of Ethics.	Not Met.	The Riverton Street Board will meet with board counsel to review and make appropriate changes to the bylaws. At its scheduled October 21, 2013 meeting the Board approved a motion to submit a revised Code of Ethics to the Office of Charter Schools Accountability & Support for its authorization.
Org Goal 5	Each year, parents will express satisfaction with the RSCS's program, based on the NYCDOE Learning Environment Survey in which the school will receive scores of 7.5 or higher in each of the four	NYS Learning Environment Survey	Met. Among parents, Riverton Street achieved a 9.4 in academic expectations, a 9.3 in communication, a 9.1 in engagement and 9.4 in safety and respect.	N/A

survey domains: Academic Expectations, Communication, Engagement, and Safety and Respect.

2b.1 Do you have more organizational goals to add?

Yes

2012-13 Progress Toward Attainment of Organizational Goals

	Organizational Goal	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 6	Each year, teachers will express satisfaction with RSCS leadership and professional development opportunities as determined by the teacher section of the NYCDOE Learning Environment Survey in which the school will receive scores of 7.5 or higher in each of the four survey domains: Academic Expectations, Communication, Engagement, and Safety and Respect.	NYS Learning Environment Survey	Met. Among teachers, Riverton Street achieved a 8.8 in academic expectations, a 8.9 in communication, a 8.4 in engagement and a 9.0 in safety and respect.	N/A
Org Goal 7	After the 2012-2013 school year, staff retention will be 70% or higher.	The percent of staff employed during 2012-13 who return in 2013-14.	Met. 94% of staff returned.	N/A

2c. FINANCIAL GOALS

2012-13 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2012-2013 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract.	Enrollment on Count Day compared to capacity enrollment as outlined in the charter.	Met. Riverton Street Charter School was 100% enrolled on count day.	N/A
Financial Goal 2	Upon completion of RSCS' first year of operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.(Please note: The NYCDOE will determine a finding to be "major" if it indicates a deliberate act of wrongdoing, reckless conduct or causes a loss of	Completion of an independent audit with an unqualified opinion.	Met. An audit report has been submitted with this report.	N/A

confidence in the abilities or integrity of the school or seriously jeopardizes the continued operation of the school.)

Financial Goal 3	Each year, the school will operate on a balanced budget and maintain a stable cash flow. (Please note: A budget will be considered “balanced” if revenues equal or exceed expenditures.)	Board approval of a balanced, Revised Budget.	Met The Board approved a balanced, Revised Budget at the 2012-13 annual meeting.	N/A
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Appendix B: Total Expenditures and Administrative Expenditures per Child

Required of ALL charter schools

UPLOAD REQUIRED DOCUMENTATION– No form provided

Riverton Street Charter School:

Total expenditure per student: \$15,100.09

Riverton Street Charter School:

Administrative expenditure per student: \$1,382.20

Audited Financial Statement Checklist

Created Friday, November 01, 2013

Page 1

Charter School Name:

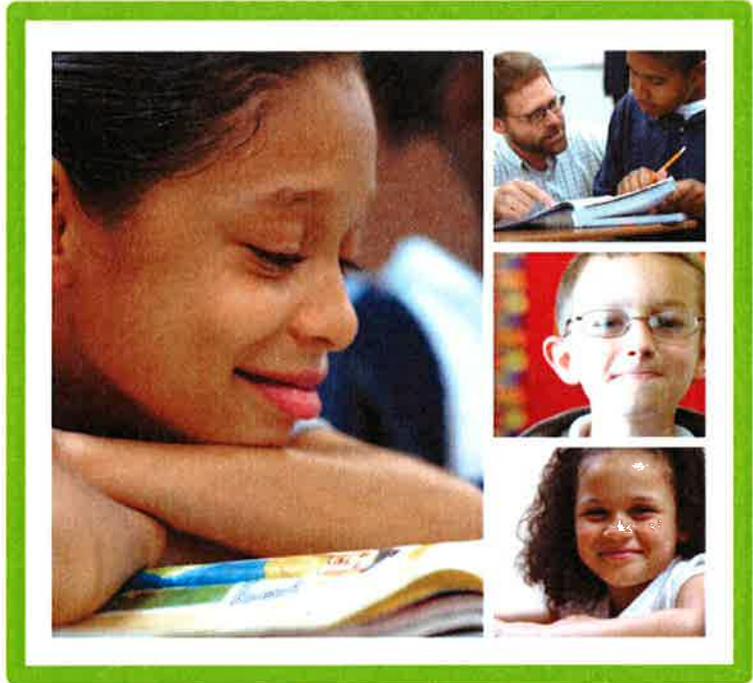
1. Please check each item that is included in the 2012-13 Audited Financial Statement submitted for your charter school.

	Yes	No	NA
Audited Financial Statements (including report on compliance and report on internal control over financial reporting)	True	False	False
Single Audit (if applicable)	True	False	False
CSP Agreed Upon Procedures (if applicable)	False	False	True
Management Letter	True	False	False
Report on Extracurricular Student Activity Accounts (if applicable)	False	False	True
Corrective Action Plans for any Findings	False	False	True

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2012-13 Audited Financial Statement.

	Yes	No
Report on Compliance	False	True
Report on Internal Control over Financial Reporting	False	True
Single Audit	False	True
CSP Agreed Upon Procedures Report	False	True
Management Letter	False	True

Thank you Andrew .



Financial Statements, Additional Information, and
Federal Awards Supplemental Information as of
and for the Year Ended June 30, 2013 and
2012, and Independent Auditor's Reports



**RIVERTON STREET
CHARTER SCHOOL**

A PUBLIC CHARTER SCHOOL MANAGED BY NATIONAL HERITAGE ACADEMIES

RIVERTON STREET CHARTER SCHOOL

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Independent Auditor's Report

To the Board of Directors
Riverton Street Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Riverton Street Charter School, which comprise the statement of financial position as of June 30, 2013 and the related statements of activities and changes in net assets and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Riverton Street Charter School as of June 30, 2013 and the results of its operations and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

To the Board of Directors
Riverton Street Charter School

Other Matters

Other Information

Our audit was conducted for the purpose of forming an opinion on the financial statements that collectively comprise Riverton Street Charter School's basic financial statements. The schedule of expenditures of federal awards and supplemental schedule of functional expenses, as identified in the table of contents, are presented for the purpose of additional analysis and are not a required part of the basic financial statements.

The schedule of expenditures of federal awards, as identified in the table of contents, is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards, as identified in the table of contents, is fairly stated in all material respects in relation to the basic financial statements as a whole.

The supplemental schedule of functional expenses, as identified in the table of contents, has not been subjected to the auditing procedures applied in the audit of the basic financial statements and, accordingly, we do not express an opinion or provide any assurance on it.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 28, 2013 on our consideration of Riverton Street Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, grant agreements, and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Riverton Street Charter School's internal control over financial reporting and compliance.

Plante & Moran, PLLC

October 28, 2013

RIVERTON STREET CHARTER SCHOOL

**STATEMENT OF FINANCIAL POSITION
JUNE 30, 2013**

ASSETS

CURRENT ASSETS:

Cash	\$ 139,466
Due from governmental revenue sources	<u>53,539</u>

Total current assets	<u>193,005</u>
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NON-CURRENT ASSETS:

Capital assets	41,314
Less accumulated depreciation	<u>(7,041)</u>

Total capital assets, net of accumulated depreciation	<u>34,273</u>
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TOTAL	<u><u>\$ 227,278</u></u>
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LIABILITIES AND NET ASSETS

LIABILITIES:

Deferred revenue	\$ 3,527
Contracted service fee payable	<u>125,036</u>

Total liabilities	<u>128,563</u>
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NET ASSETS:

Unrestricted and undesignated	<u>98,715</u>
-------------------------------	---------------

TOTAL	<u><u>\$ 227,278</u></u>
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See notes to financial statements.

RIVERTON STREET CHARTER SCHOOL

**STATEMENT OF ACTIVITIES AND CHANGE IN NET ASSETS
YEAR ENDED JUNE 30, 2013**

REVENUES, GAINS AND OTHER SUPPORT:

State aid	\$ 5,650,106
Other state sources	117,216
Federal sources	500,910
Private sources	<u>51,689</u>

Total revenues, gains and other support 6,319,921

EXPENSES:

Contracted service fee	6,296,970
Depreciation	<u>6,361</u>
	<u>6,303,331</u>

Total expenses

CHANGE IN UNRESTRICTED NET ASSETS 16,590

NET ASSETS:

Beginning of year	<u>82,125</u>
End of year	<u>\$ 98,715</u>

See notes to financial statements.

RIVERTON STREET CHARTER SCHOOL

STATEMENT OF CASH FLOWS YEAR ENDED JUNE 30, 2013

CASH FLOWS (USED IN) PROVIDED BY OPERATING ACTIVITIES:	
State aid	\$ 5,650,106
Other state sources	115,917
Federal sources	669,280
Private sources	52,318
Payments for services rendered	<u>(6,464,765)</u>
Net cash provided by operating activities	<u>22,856</u>
CASH FLOWS USED IN INVESTING ACTIVITIES:	
Purchase of other equipment	<u>(14,866)</u>
NET INCREASE IN CASH	7,990
CASH — Beginning of year	<u>131,476</u>
CASH — End of year	<u>\$ 139,466</u>
RECONCILIATION OF CHANGE IN NET ASSETS TO NET CASH PROVIDED BY OPERATING ACTIVITIES:	
Change in net assets	\$ 16,590
Depreciation	6,361
Adjustments to reconcile change in net assets to net cash provided by operating activities:	
Change in due from governmental revenue sources	170,100
Change in deferred revenue	(2,400)
Change in contracted service fee payable	<u>(167,795)</u>
NET CASH PROVIDED BY OPERATING ACTIVITIES	<u>\$ 22,856</u>

See notes to financial statements.

RIVERTON STREET CHARTER SCHOOL

NOTES TO THE FINANCIAL STATEMENTS AS OF AND FOR THE YEAR ENDED JUNE 30, 2013

1. NATURE OF OPERATIONS

Riverton Street Charter School (the "School") is a public charter school as defined by Article 56 of the New York State Education Law which provides education based on rigorous teaching methods, parental involvement, student responsibility, and basic moral values. The School operates under a charter approved by the New York City Department of Education, which is responsible for oversight of the School's operations. The charter expires January 11, 2015 and is subject to renewal. Management believes the charter will be renewed in the ordinary course of business. The School provides education, at no cost to the parent, to students in kindergarten through the fifth grade. Enrollment is open to all appropriately aged children without regard to gender, ethnic background, disability, and/or religious affiliation. The School is exempt from federal income tax under Section 501(a) of the Internal Revenue Code as an organization described in Section 501(c)(3).

The School's primary source of revenue is provided by the State of New York and consists of an amount per student multiplied by weighted average student counts. The state revenue is recognized ratably over the school year and was funded through payments from July 2012 through May 2013 for the year ended June 30, 2013.

The Board of Trustees of the School has entered into a management agreement (the "agreement") with National Heritage Academies, Inc. (NHA) which requires NHA to provide administration, strategic planning and all labor, materials, equipment, and supervision necessary for the provision of educational services to students. As part of the consideration received under the agreement, NHA also provides the facility in which the School operates. The agreement will continue until termination or expiration of the charter, unless at least 90 days written notice of intent to terminate or renegotiate is given by either the School or NHA.

Under the terms of the agreement, NHA receives as remuneration for its services an amount equal to the total revenue received by the School from all revenue sources. These funds are property of the School and may be used by the School at the discretion of the board.

2. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

Basis of Accounting — The financial statements have been prepared in accordance with Section 2851 of the Education Law of the State of New York which requires such statements to be prepared on the accrual basis of accounting in accordance with generally accepted accounting principles accepted in the United States of America for not-for-profit organizations.

Estimates — The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and

disclosures of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Cash — Cash as of June 30, 2013, represents bank deposits which are covered by federal depository insurance.

Deferred Revenue — Deferred revenue as of June 30, 2013, consists of funds received for services which have not yet been performed.

Contracted Service Fee Payable — Contracted service fee payable represents a timing difference between funds received from governmental sources and amounts payable to NHA in accordance with the agreement.

Capital Assets — Capital assets, which include other equipment, are reported in at historical cost. Capital assets are defined by the School as assets with an initial individual cost of more than \$2,000 and an estimated useful life in excess of one year.

Other equipment is depreciated using the straight-line method over useful lives of 3–10 years.

The Financial Statements — The financial statements are presented as follows:

Net assets and changes therein are classified and reported as follows:

- *Unrestricted Net Assets* — Net assets which are not subject to donor imposed or governmental stipulations.

Revenues and contributions are reported as follows:

- Revenues, gains and other support are reported as increases in unrestricted net assets unless use of the related assets is limited by donor-imposed or governmental restrictions. Expenses are reported as decreases in unrestricted net assets. Other assets or liabilities are reported as increases or decreases in unrestricted net assets unless their use is restricted by explicit donor stipulation or governmental restriction. Expiration of temporary restrictions on net assets (i.e., the donor-stipulated purposes has been fulfilled and/or the stipulated time period has elapsed) are reported as reclassifications between the applicable classes of net assets.
- Revenue is recorded when earned, regardless of the timing of related cash flows. Grants are recognized as revenue as soon as all eligibility requirements imposed by the provider have been met.

Reclassification — Certain amounts as of June 30, 2012 have been reclassified to conform to the June 30, 2013 presentation.

Income Taxes — The School operates as a nonprofit organization that is exempt from income taxes under Section 501(c)(3) of the Internal Revenue Code. The School has received notification from the Internal Revenue Service (IRS) that they are considered exempt from Federal income tax under Section 501(c)(3) of the internal revenue code.

The School has evaluated its position regarding the accounting for uncertain income tax positions and does not believe that it has any material uncertain tax positions. The School recognizes the effect of income tax positions only if the positions are more likely than not of being sustained. Recognized income tax positions are recorded at the largest amount that is greater than 50% likely of being realized upon settlement with a taxing authority that has full knowledge of all relevant information. The determination of whether or not a tax position has met the more-likely-than-not recognition threshold considers the facts, circumstances and other information available at the reporting date and is subject to management's judgment. Changes in the recognition or measurement are reflected in the period in which the change in judgment occurs. The School is subject to routine audits by taxing jurisdictions; however, there are currently no audits for any tax periods in progress. Management believes it is no longer subject to income tax examinations prior to June 30, 2010.

3. RISK MANAGEMENT

The School is exposed to various risks of loss related to general liability. Commercial insurance policies to cover certain risks of loss have been obtained. There have been no significant reductions in insurance coverage during fiscal year 2013, and claims did not exceed coverage less retained risk deductible amounts in the past fiscal year.

4. CONTINGENCIES

The School has received proceeds from several federal and state grants. Periodic audits of these grants are required and certain costs may be questioned as not being appropriate expenditures under the grant agreements. Such audits could result in the refund of grant monies to the grantor agencies. Management believes that any required refunds will be immaterial. No provision has been made in the accompanying financial statements for the refund of grant monies.

5. CAPITAL ASSETS

Capital asset activity of the school was as follows:

	Beginning Balance	Additions	Disposals	Ending Balance
Other equipment	<u>\$26,448</u>	<u>\$ 14,866</u>	<u>\$ -</u>	<u>\$ 41,314</u>
Total capital assets at historical cost	<u>26,448</u>	<u>14,866</u>	<u>-</u>	<u>41,314</u>
Less accumulated depreciation — equipment	<u>680</u>	<u>6,361</u>	<u>-</u>	<u>7,041</u>
Total accumulated depreciation	<u>680</u>	<u>6,361</u>	<u>-</u>	<u>7,041</u>
Total capital asset activity, net	<u>\$25,768</u>	<u>\$ 8,505</u>	<u>\$ -</u>	<u>\$ 34,273</u>

6. OPERATING LEASE

The School has entered into a sublease agreement with NHA for a facility to house the School. The lease term is from July 1, 2010 through June 30, 2015. Annual rent under the agreement is 25% of the School's revenue, paid in estimated monthly installments, with an annual adjustment at year-end to 25% of actual revenue recognized for the fiscal year. Rental payments for the year ended June 30, 2013 totaled \$1,159,389.

7. FUNCTIONAL EXPENSES

The School provides a comprehensive education programs to enrolled students. Expenses incurred for these programs and other expenses are as follows:

Program services:	
Regular education	\$ 5,136,188
Special education	228,298
Supporting services — management and general	<u>932,484</u>
Total contracted service fee	6,296,970
Depreciation Expense	<u>6,361</u>
Total contracted service fee	<u>\$ 6,303,331</u>

8. SUBSEQUENT EVENTS

Events or transactions occurring June 30, 2013 have been evaluated through October 28, 2013, the date the financial statements were available to be issued. The financial statements and the notes thereto do not reflect events or transactions after this date.

* * * * *

ADDITIONAL INFORMATION

RIVERTON STREET CHARTER SCHOOL

**SCHEDULE OF FUNCTIONAL EXPENSES
YEAR ENDED JUNE 30, 2013**

	Program Services		Supporting Services	Total
	Regular Education	Special Education	Management and General	
Contracted service fee:				
Salaries and wages	\$ 1,766,495	\$ 101,528	\$ -	\$ 1,868,023
Retirement contributions	31,215	1,398	-	32,613
Other employee benefits	322,829	25,541	-	348,370
Payroll taxes	141,199	9,209	-	150,408
Accounting fees	10,116	-	109,953	120,069
Supplies	207,033	-	8,984	216,017
Postage and shipping	5,421	-	-	5,421
Occupancy	1,971,195	-	-	1,971,195
Food service	318,135	-	-	318,135
Equipment rental and maintenance	72,409	-	-	72,409
Printing and publications	43,260	-	-	43,260
Travel	2,922	-	-	2,922
Professional development and meetings	90,447	3,046	-	93,493
Professional fees	94,980	87,576	-	182,556
Instructional support	-	-	110,847	110,847
Academic and general support	-	-	287,685	287,685
Enrollment and parent relations	-	-	32,337	32,337
Board support	-	-	68,703	68,703
Human resources	-	-	161,404	161,404
Support services	-	-	22,340	22,340
Technology services	1,451	-	96,121	97,572
Marketing and business development	3,843	-	34,110	37,953
Insurance	31,964	-	-	31,964
Miscellaneous	21,274	-	-	21,274
Total contracted service fee	<u>5,136,188</u>	<u>228,298</u>	<u>932,484</u>	<u>6,296,970</u>
Depreciation	<u>6,361</u>	<u>-</u>	<u>-</u>	<u>6,361</u>
Total expenses	<u>\$ 5,142,549</u>	<u>\$ 228,298</u>	<u>\$ 932,484</u>	<u>\$ 6,303,331</u>

Report on Internal Control Over Financial Reporting and on Compliance
and Other Matters Based on an Audit of Financial Statements
Performed in Accordance with *Government Auditing Standards*

Independent Auditor's Report

To Management and the Board of Directors
Riverton Street Charter School

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of Riverton Street Charter School, which comprise the basic statement of financial position as of June 30, 2013 and the related basic statements of activities and changes in net assets and cash flows for the year then ended, and related notes to the financial statements and have issued our report thereon dated October 28, 2013.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered Riverton Street Charter School's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we do not express an opinion on the effectiveness of the entity's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented or detected and corrected on a timely basis. A significant deficiency is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

To Management and the Board of Directors
Riverton Street Charter School

Compliance and Other Matters

As part of obtaining reasonable assurance about whether Riverton Street Charter School's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit and, accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the entity's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Plante & Moran, PLLC

Grand Rapids, Michigan
October 28, 2013

SUPPLEMENTAL INFORMATION



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Report on Compliance For Each Major Federal Program;
Report on Internal Control Over Compliance

Independent Auditor's Report

To the Board of Directors
Riverton Street Charter School

Report on Compliance for Each Major Federal Program

We have audited Riverton Street Charter School's compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) Circular A-133 Compliance Supplement that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013. Riverton Street Charter School's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance of Riverton Street Charter School's major federal programs based on our audit of the types of compliance requirements referred to above.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on each major federal program occurred. An audit includes examining, on a test basis, evidence about Riverton Street Charter School's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each of its major federal programs. However, our audit does not provide a legal determination of Riverton Street Charter School's compliance.

Opinion on Each Major Federal Program

In our opinion, Riverton Street Charter School complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2013.

To the Board of Directors
Riverton Street Charter School

Report on Internal Control Over Compliance

Management of Riverton Street Charter School is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered Riverton Street Charter School's internal control over compliance with the types of requirements that could have a direct and material effect on each of its major federal programs to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each of its major federal programs and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the entity's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent or detect and correct noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented or detected and corrected on a timely basis. A significant deficiency in internal control over compliance is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of OMB Circular A-133. Accordingly, this report is not suitable for any other purpose.

Plante & Moran, PLLC

Grand Rapids, Michigan
October 28, 2013

RIVERTON STREET CHARTER SCHOOL

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2013

Federal Grantor/Pass-Through Grantor/ Program or Cluster Title	Federal CFDA Number	State/Pass- through Grantor's Number	Expenditures
Child Nutrition Cluster:			
U.S. Department of Agriculture - Passed through			
New York State Education Department:			
National School Breakfast Program	10.553		\$ 85,910
National School Lunch Program	10.555		<u>136,497</u>
Total U.S. Department of Agriculture			222,407
U.S. Department of Education:			
Passed through New York State Education Department:			
Title I, Part A Cluster - Title I, Grants to Local			
Educational Agencies	84.010	0021124795	10,283
		0021134795	<u>83,483</u>
Total Title I, Part A Cluster			93,766
Title II, Improving Teacher Quality	84.367	0147124795	2,076
		0147134795	<u>9,690</u>
Total Title II, Improving Teacher Quality			11,766
Federal Charter School Grant	84.282	0089123006	152,318
Passed through New York City Department of Education -			
IDEA Cluster - IDEA, Part B			
	84.027	84Q341	<u>20,653</u>
Total U.S. Department of Education			<u>278,503</u>
TOTAL FEDERAL ASSISTANCE			<u>\$ 500,910</u>

RIVERTON STREET CHARTER SCHOOL

NOTES TO SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS YEAR ENDED JUNE 30, 2013

Note 1 - Basis of Presentation and Significant Accounting Policies

The accompanying schedule of expenditures of federal awards (the "Schedule") includes the federal grant activity of Riverton Street Charter School under programs of the federal government for the year ended June 30, 2013. Expenditures reported on the Schedule are reported on the same basis of accounting as the basic financial statements, although the basis for determining when federal awards are expended is presented in accordance with the requirements of OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. In addition, expenditures reported on the Schedule are recognized following the cost principles contained in OMB Circular A-87, wherein certain types of expenditures are not allowable or are limited as to reimbursement. Therefore, some amounts presented in this Schedule may differ from amounts presented in, or used in the preparation of, the basic financial statements.

Because the Schedule presents only a selected portion of the operations of Riverton Street Charter School, it is not intended to and does not present the financial position, changes in net assets, or cash flows, if applicable, of Riverton Street Charter School. Pass-through entity identifying numbers are presented where available.

Note 2 - Grant Auditor Report

Management has utilized the Federal/State Grant Payments - End of Year Report as published by the New York State Education Department in preparing the schedule of expenditures of federal awards. Unreconciled differences, if any, have been disclosed to the auditor.

RIVERTON STREET CHARTER SCHOOL

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS
YEAR ENDED JUNE 30, 2013**

Section I - Summary of Auditor's Results

Financial Statements

Type of auditor's report issued: Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? Yes X No
- Significant deficiency(ies) identified that are not considered to be material weaknesses? Yes X None reported
- Noncompliance material to financial statements noted? Yes X No

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? Yes X No
- Significant deficiency(ies) identified that are not considered to be material weaknesses? Yes X None reported

Type of auditor's report issued on compliance for major programs: Unqualified

Any audit findings disclosed that are required to be reported in accordance with Section 510(a) of Circular A-133? Yes X No

Identification of major programs:

CFDA Numbers	Name of Federal Program or Cluster
10.553, 10.555	Child Nutrition Cluster
84.282	Charter School Grant

Dollar threshold used to distinguish between type A and type B programs: \$300,000

Auditee qualified as low-risk auditee? Yes X No

RIVERTON STREET CHARTER SCHOOL

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS (CONTINUED)
YEAR ENDED JUNE 30, 2013**

Section II - Financial Statement Audit Findings

None

Section III - Federal Program Audit Findings

None

October 28, 2013

To the Board of Directors
Riverton Street Charter School

We have audited the financial statements of Riverton Street Charter School (the "School") as of and for the year ended June 30, 2013 and have issued our report thereon dated October 28, 2013. Professional standards require that we provide you with the following information related to our audit.

Our Responsibility Under U.S. Generally Accepted Auditing Standards

As stated in our engagement letter dated April 22, 2013, our responsibility, as described by professional standards, is to express an opinion about whether the financial statements prepared by management with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities. Our responsibility is to plan and perform the audit to obtain reasonable, but not absolute, assurance that the financial statements are free of material misstatement.

As part of our audit, we considered the internal control of Riverton Street Charter School. Such considerations were solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control.

We are responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures specifically to identify such matters.

Our audit of Riverton Street Charter School's financial statements has also been conducted in accordance with *Government Auditing Standards*, issued by the Comptroller General of the United States. Under *Government Auditing Standards*, we are obligated to communicate certain matters that come to our attention related to our audit to those responsible for the governance of Riverton Street Charter School, including compliance with certain provisions of laws, regulations, contracts, grant agreements, certain instances of error or fraud, illegal acts applicable to government agencies, and significant deficiencies in internal control that we identify during our audit. Toward this end, we issued a separate letter dated October 28, 2013 regarding our consideration of Riverton Street Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements.

Planned Scope and Timing of the Audit

We performed the audit according to the planned scope and timing previously communicated to you in our communication about planning matters on June 14, 2013.

Significant Audit Findings

Qualitative Aspects of Accounting Practices

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by Riverton Street Charter School are described in Note 2 to the financial statements.

We noted no transactions entered into by the School during the year for which there is a lack of authoritative guidance or consensus.

There are no significant transactions that have been recognized in the financial statements in a different period than when the transaction occurred.

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected.

There were no significant balances, amounts, or disclosures in the financial statements based on sensitive management estimates.

The disclosures in the financial statements are neutral, consistent, and clear.

Difficulties Encountered in Performing the Audit

We encountered no significant difficulties in dealing with management in performing and completing our audit.

Disagreements with Management

For the purpose of this letter, professional standards define a disagreement with management as a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report.

We are pleased to report that no such disagreements arose during the course of our audit.

Corrected and Uncorrected Misstatements

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management.

We did not detect any misstatements as a result of audit procedures.

Significant Findings or Issues

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, business conditions affecting the School, and business plans and strategies that may affect the risks of material misstatement with management each year prior to retention as the School's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition of our retention.

Management Representations

We have requested certain representations from management that are included in the management representation letter dated October 28, 2013.

Management Consultations with Other Independent Accountants

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the School's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

This report is intended solely for the use of the board of directors and management of Riverton Street Charter School and is not intended to be and should not be used by anyone other than these specified parties.

We welcome any questions you may have regarding the following communications and we would be willing to discuss any of these or other questions that you might have at your convenience.

Very truly yours,

Plante & Moran, PLLC



Michael A. Lamfers, CPA
Partner



Michelle M. Goss, CPA
Partner

Appendix E: Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

Page 1

342900860974 RIVERTON STREET CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2012-13 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at: <http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/>.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <http://fluidsurveys.com/account/surveys/210748/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.

Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

Appendix F: BOT Membership Table

Created Tuesday, July 30, 2013

Updated Thursday, October 03, 2013

Page 1

342900860974 RIVERTON STREET CS

1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Linda Green	Member	Yes		Terms Served: 1 Length of Each Term: 3 Year Date of Election: March 2011 Expiration of Term: June 2014	
2	Angela Kiffin	Secretary	Yes		Terms Served: 2 Length of Each Term: 2 Three Year Date of Election: June 2010 Expiration of Term: June 2016	
3	Deborah King	Vice Chair/Vice President	Yes		Terms Served: 2 Length of Each Term: 2 Three Year Date of Election: June 2010 Expiration of Term: June 2014	
4	Rochelle Noel	Chair/President	Yes		Terms Served: 2 Length of Each Term: 2 Three Year Date of Election: June 2010 Expiration of Term: June 2016	
5	Keisha Phillips-Kong	Member	Yes		Terms Served: 1 Length of Each Term: 3 Year Date of Election: November 2012 Expiration of Term: June 2015	
6	Marilyn Towns-Jones	Treasurer	Yes		Terms Served: 2 Length of Each Term: 2 Three Year Date of Election: June 2010 Expiration of Term: June 2016	
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2. Total Number of Members Joining Board during the 2012-13 school year

1

3. Total Number of Members Departing the Board during the 2012-13 school year

0

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

13

5. How many times did the Board meet during the 2012-13 school year?

10

6. How many times will the Board meet during the 2013-14 school year?

10

Thank you.

Appendix H: Enrollment and Retention Efforts

Required of ALL charter schools

UPLOAD DOCUMENTATION – No form provided

Describe the efforts the charter school has utilized in 2012-2013 and a plan for efforts to be taken in 2013-2014 to attract and retain a greater enrollment of students with disabilities, English language learners, and students who are eligible for free and reduced priced lunch.

Based on the Enrollment calculator provided by the New York State Education Department's Charter School office, 83.9% of our students should qualify for Free and Reduced lunch (FRL) with a retention rate of 80.4%, 10.6% should be classified as English language Learners (ELL) with a retention rate of 45.4%, and 11.5% should be students with disabilities (SWD) with a retention rate of 67.9%. In the 2012-13 school year, 77.8% of students at Riverton Street Charter School (RSCS) qualified for free and reduced lunch with a retention rate of 97.9%, 0.1% were classified as ELL with a retention rate of 100%, and 10.0% are students with disabilities with a retention rate of 83.3%.

RSCS understands the need to enroll and retain these specific student populations in numbers comparable to Community School District (CSD) 29. With full enrollment and a significant waiting list (cf. Lottery Process), meeting the targets for SWD, ELL and SPED students is a challenge. In the coming year, in addition to these steps, our Admissions Representative, our office personnel and all staff have been alerted to the need to be particularly sensitive to our desired goals in these areas.

A. English Language Learner: Recruitment and Retention

CSD 29 is a diverse community with many ELL families. Their children frequently need the support of a proven ELL program. The RSCS principal and ELL staff are prepared to meet, or make necessary accommodations to do so, with parents one-on-one to discuss how the school's program is able to meet the needs of their child(ren).

To comply with legal requirements to attract and retain ELL students, RSCS implemented the following strategies in the 2012-13 school year:

- Advertisements and notifications were placed in the following publications: Haiti Observateur, Weekly Bangladesh and El Correo de Queens. In these publications, we specifically mentioned that the school provides services to students for whom English is their second language. Additionally, fliers were distributed in English, Haitian Creole, Bengali, and

Spanish to families throughout the community including at daycare centers, grocery stores, community centers, and churches inviting families to attend the Enrollment Information Meetings.

- Hosted an Enrollment Information Meeting for all parents interested in the school
- Provided student applications available in a number of languages: Arabic, Bengali, Chinese, English, Haitian Creole, Italian, Korean, Polish, Russian, Spanish, and Urdu.

At the school's above mentioned open houses, information regarding the ELL Program and its ability to meet the needs of ELL students was provided. RSCS provided ELL families with strategies to help their children in school, specific English Language Development (ELD) strategies for such parents.

In preparation for the retention of our ELL students, we have undertaken the following strategies:

- Hired front office staff that speaks Spanish, one of the most predominant languages near our school.
- Hired teachers and deans that speak Spanish to better communicate with parents. This allows us to ensure that important information about each child is communicated to their parents in an easily understood manner.
- As needed, we hire interpreters in other languages for parent meetings and parent-teacher conferences.

Finally, we believe a key recruitment and retention strategy for our ELL students is the high-quality educational program that we provide and the intentional caring culture that we have established at Riverton Street Charter School.

B. Recruitment of Students with Special Needs:

RSCS is committed to attracting and retaining students with special learning needs and with disabilities. In order to reach the families of special needs students, we utilize many of the networks that already exist in the St. Albans community. RSCS's Admissions Representative will continue to build relationships with support organizations to gain familiarity with the services they provide (so that we might recommend their support services to the families of accepted or interested students) and to familiarize these organizations with our school and special education program (so that they may recommend our school to the families they serve). We know that most families hear about our school by word-of-mouth and we believe that a relationship with these organizations lays the groundwork for informal communications and referrals. In addition, we will continue to work with these organizations to distribute information about our school, our special education program, and our enrollment procedures.

Families of students with special needs are also made aware of our school's programs through open meetings during the year. The school's parent meetings clearly identify that we offer a free and appropriate education (FAPE) to all our students in the Least Restrictive Environment. Furthermore, the school has an established relationship with the Committee for Special Education (CSE) for children under its guise and has materials and applications to RSCS available for distribution to interested parents by the CSE. With the realization of the need to retain students, RSCS invites the parents of those children to meet with the Special Education team to develop an Individual Education Plan (IEP) for the child or to work within the parameters of the plan already in place from the child's previous school

To retain our students with special needs, we have undertaken the following:

- Consistent, student focused communication on student progress toward IEP goals.

- An inclusive approach to special education in the least restrictive environment that provides ample opportunities for interaction with grade-level peers.
- Highly-qualified and dedicated special education staff.

Finally, we believe a key recruitment and retention strategy for our students with special needs is the high-quality educational program that we provide and the intentional caring culture that we have established at Riverton Street Charter School.

C. Recruitment of Students Qualifying for Free and Reduced-Priced Lunch

At Riverton Street Charter School, we will continue to be vigilant in our efforts to attract and retain a comparable population of students to that of CSD 29 that qualify for free and reduced-priced lunch.

2013-14: Moving Forward

While we do not intend to offer a specific admissions preference for ELL, SWD, and FRL students at this time, this policy may well be reconsidered. With our sight set on the targeted goals we will seek to improve the outcomes of established previously mentioned practices:

The school will continue utilizing a local Admissions Representative dedicated to student recruitment. He or she will continue to work with local organizations that serve these populations and distribute materials and/or holds meetings at their facilities. The Admissions team at NHA will continue to track the attendance and number of applications at the annual special meetings to measure impact and community interest.

As it has done, RSCS will monitor the efficacy of its recruitment and enrollment efforts by carefully tracking student enrollment numbers. Through a robust data warehouse – the Enrollment Cube – we collect detailed information on trends in at-risk populations, report to the Board on enrollment trends, and adjust the marketing strategy, as needed, to ensure that parents of these children know that Riverton Street Charter School is dedicated to serving their children’s needs.

As previously mentioned, RSCS works proactively to engage parents in their child’s education. In addition, all parents receive weekly newsletters from each teacher in the students’ *Friday Folders*, a monthly newsletter from the principal, continuous access to student records through a secure website, invitations to participate in school events and are asked to participate two times per year in the Voice of the Parent satisfaction survey that allows the school and NHA to identify concerns and address them immediately. The Voice of the Parent surveys are provided in English and Spanish. The school provides for other translation resources as needed.

The school will also continue to use alternate means of communication with parents of students with students in the targeted cohorts, such as: home visits, informal principal chats, and regular invitations to participate in classroom activities. All this as we strive to involve parents of students in ways that are linguistically appropriate believing that engaged parents are likely to retain their children within the school.

In addition to the Friday Folders other efforts include:

- *Principal Coffees* to emphasize the NHA “open-door” policy to encourage a direct line of contact with the school administration.
- Exit interviews with families who chose to withdraw their child, in an effort to identify and address areas for improvement.

As a school partnered with a data-driven organization, a comprehensive student information system is used to track and report data patterns of retention for these student populations. A dedicated team of professionals works with the school's leadership team to identify and address needs and concerns.

We will reach out to groups such as:

- **Advocates for Children of New York:** This organization works on behalf of children who are at greatest risk for school-based discrimination and/or academic failure due to poverty, disability, race, ethnicity, immigrant or ELL status, homelessness, or involvement in the foster care or juvenile justice systems.
- **Resources for Children with Special Needs (RCSN):** RCSN helps create bright futures for children and youth with any disability in all boroughs of New York City.
- **United We Stand - POINTS for Parents:** An online community for families of children with disabilities **P**roviding **O**pportunities for **I**nformation **N**etworking, **T**raining and **S**upport as they learn, share and connect about how they can support the educational and developmental needs of their children.

Lottery Process:

The random selection process, referenced previously, is conducted using electronic lottery software. The lottery is open to the public, and the school notifies all applicants of the time and place. A neutral third party person is present and is responsible for initiating the various transitions of the lottery process. This person has and will not be related to any student, staff member, anyone applying to the school, or an NHA employee. Names will be randomly selected until all offered seats have been filled. Any remaining names will be randomly selected to establish waiting list priority used to fill available offered seats prior to and during the school year for which the student applied. Preferences are honored during the lottery process in the following order: siblings of students who reside within the community district in which the school is located, siblings of students who reside outside the community district, applicants who reside within the community district, and applicants who reside outside the community district.

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/d0a93bbc97d16f8a2a1071f235ca040>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Linda Green

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: Member

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/1bed0afee053820011f1a1cf63863ed>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Angela Kiffin

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Secretary

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/6f5e38e11c796ccbd7ae6ffa52f8203f>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Deborah King

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

N/A

5. *Your Business Address | City/State

(No response)

5. *Your Business Address | Zip

(No response)

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Vice Chair/Vice President

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/f18ccad2cd8ca6186f3a85a1d0af4d3>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Rochelle Noel

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Chair/President

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/95a19747f9e70d0b037271cab458b8>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Keisha Phillips-Kong

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Other, please specify...: Member

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

Required Form: Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 30, 2013

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-disclosure-of-financial-interest-form/56ddef941d0fb79ac614dc17c899291>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Marilyn Towns-Jones

2. Charter School Name:

Riverton Street Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

- Treasurer

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

Page 2

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee