

I. SCHOOL INFORMATION AND COVER PAGE

Created Tuesday, June 24, 2014
Updated Friday, August 01, 2014

Page 1

1. SCHOOL NAME

(Select School name from dropdown menu; BEDS # appears first)

320700860925 MOTT HAVEN ACADEMY CS

2. CHARTER AUTHORIZER

NYCDOE-Authorized Charter School

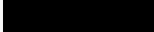
3. DISTRICT / CSD OF LOCATION

NYC CSD 7

4. SCHOOL INFORMATION

PRIMARY ADDRESS	PHONE NUMBER	FAX NUMBER	EMAIL ADDRESS
170 Brown Place Bronx, NY 10454	718-292-7015	718-292-7823	info@havenacademy.org

4a. PHONE CONTACT NUMBER FOR AFTER HOURS EMERGENCIES

Contact Name	Jessica Nauiokas
Title	Principal
Emergency Phone Number (###-###-####)	

5. SCHOOL WEB ADDRESS (URL)

havenacademy.org

6. DATE OF INITIAL CHARTER

2008-01-01 00:00:00

7. DATE FIRST OPENED FOR INSTRUCTION

2008-08-01 00:00:00

8. TOTAL NUMBER OF STUDENTS ENROLLED IN 2013-14 (as reported on BEDS Day)

(as reported on BEDS Day)

9. GRADES SERVED IN SCHOOL YEAR 2013-14

Check all that apply

 K

 1

 2

 3

 4

 5**10. DOES THE SCHOOL CONTRACT WITH A CHARTER OR EDUCATIONAL MANAGEMENT ORGANIZATION?**

Yes/No	Name of CMO/EMO
No	

11. FACILITIES

Will the School maintain or operate multiple sites?

No, just one site.

12. SCHOOL SITES

Please list the sites where the school will operate in 2014-15.

	Physical Address	Phone Number	District/CSD	Grades Served at Site	School at Full Capacity at Site	Facilities Agreement
Site 1 (same as primary site)	170 Brown Place Bronx, NY 10454	718-292-701 5	CSD 7	k,1,2,3,4,5,	Yes	Rent/Lease

12a. Please provide the contact information for Site 1 (same as the primary site).

	Name	Work Phone	Alternate Phone	Email Address
School Leader	Jessica Nauiokas	[REDACTED]	[REDACTED]	[REDACTED]
Operational Leader	Milagros Torres	[REDACTED]	[REDACTED]	[REDACTED]
Compliance Contact	Zennea Chetta	[REDACTED]	[REDACTED]	[REDACTED]
Complaint Contact	Ashlyn Field	[REDACTED]	[REDACTED]	[REDACTED]

13. Are the School sites co-located?

No

14. Were there any revisions to the school's charter during the 2013-2014 school year? (Please include both those that required authorizer approval and those that did not require authorizer approval).

No

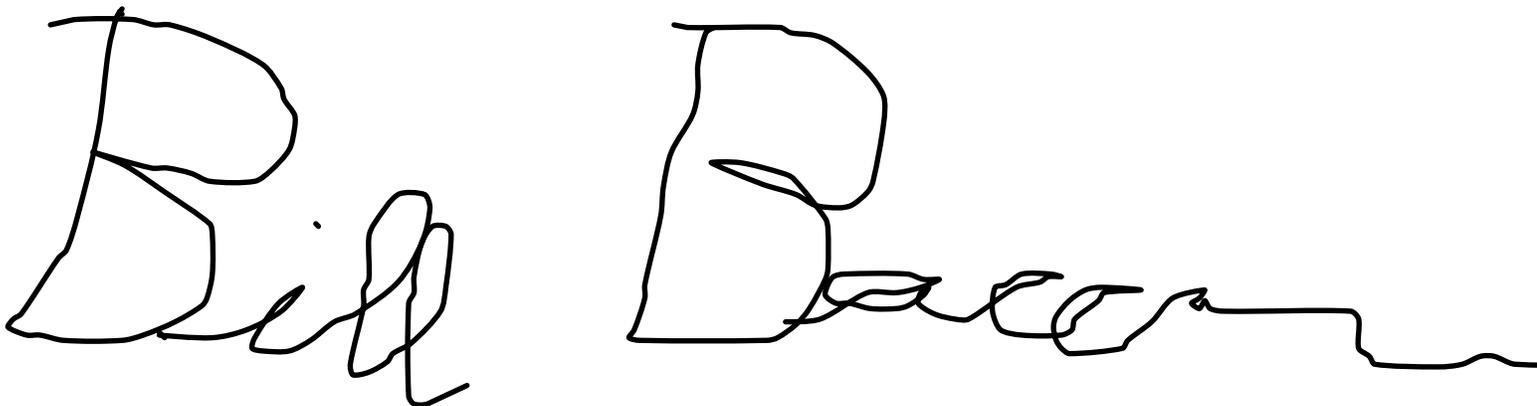
16. Our signatures below attest that all of the information contained herein is truthful and accurate and that this charter school is in compliance with all aspects of its charter, and with all pertinent Federal, State, and local laws, regulations, and rules. We understand that if any information in any part of this report is found to have been deliberately misrepresented, that will constitute grounds for the revocation of our charter. Check YES if you agree and use the mouse on your PC or the stylus on your mobile device to sign your name).

• Yes

Signature, Head of Charter School

A handwritten signature in black ink, appearing to read "S. New". The signature is fluid and cursive, with a large initial "S" and a long horizontal stroke at the end.

Signature, President of the Board of Trustees

Two handwritten signatures in black ink. The first signature on the left is a stylized "Bill". The second signature on the right is a more complex cursive signature, possibly reading "Bauer".

Thank you.

Appendix A: Progress Toward Goals

Created Monday, July 28, 2014

Updated Monday, October 20, 2014

Page 1

Charter School Name: 320700860925 MOTT HAVEN ACADEMY CS

1. NEW YORK STATE REPORT CARD

Provide a direct URL or web link to the most recent New York State School Report Card for the charter school (See <https://reportcards.nysed.gov/>).

(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/reportcard.php?year=2013&instid=800000061086>

2. APPENDIX A: PROGRESS TOWARD CHARTER GOALS

2a. ACADEMIC STUDENT PERFORMANCE GOALS

If the results are not available by August 1st, please list the goals and explain this in the "progress toward goal attainment" column. This task will reopen for the school to update and finalize by the November 1, 2014 due date.

2013-14 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Each year the percent of students proficient on the NYS assessments in the school's general community/non-child welfare involved students, who are enrolled for two or more years at Haven Academy, will be greater than that of all students in the same tested grades in the district of location.	NYS Assessments	GOAL MET Haven Academy General Community/Non-Child Welfare Involved: ELA: 29% Math: 65% District 7: ELA: 10% Math: 16%	
Academic Goal 2	Each year the percent proficient for child welfare involved students enrolled in at least their second year at Haven Academy will reduce by one-third the gap between in overall proficiency in the same tested grades compared to students in the school's district of location until they equal or exceed overall proficiency of students in the district of location.	NYS Assessments	GOAL MET Haven Academy Child Welfare Involved: ELA: 20% Math: 37% District 7: ELA: 10% Math: 16%	

Academic Goal 3	Each year 75 percent of students enrolled for three or more years will perform at or above proficiency on the New York State ELA examination.	NYS ELA Assessment	<p>Goal Not Met</p> <p>Class of 2025 ELA Proficiency: 31%</p> <p>Class of 2026 ELA Proficiency: 13%</p> <p>Class of 2027 ELA Proficiency: 29%</p>	
Academic Goal 4	Each year 75 percent of students enrolled for three or more years will perform at or above proficiency on the New York State Math examination.	NYS Math Assessment	<p>Goal Not Met</p> <p>Class of 2025 Math Proficiency: 59%</p> <p>Class of 2026 Math Proficiency: 44%</p> <p>Class of 2027 Math Proficiency: 55%</p>	
Academic Goal 5	Each year 75 percent of students enrolled for three or more years will perform at or above a level 3 on the NYS fourth grade science exam.	4th Grade NYS Science Assessment	<p>GOAL MET</p> <p>Class of 2026 100% proficiency</p>	
Academic Goal 6	6a: Each year 85 percent of students enrolled for three or more years will perform at or above level 2 on the New York state English Language Arts Exam	NYS ELA assessment	<p>Goal not Met</p> <p>Class of 2025 ELA: 69%</p> <p>Class of 2026 ELA: 72%</p> <p>Class of 2027 ELA: 83%</p>	
Academic Goal 7	6b: Each year 85 percent of students enrolled for three or more years will perform at or above level 2 on the New York state Math	NYS Math Assessment	<p>GOAL MET</p> <p>Class of 2025 86% GOAL MET</p> <p>Class of 2026 91% GOAL MET</p> <p>Class of 2027 95% GOAL MET</p>	This is our first year with this new goal so we have no comparative data.
Academic Goal 8	On the current year's state English language arts exam, each grade-level cohort (students enrolled for three or more years of instruction) will reduce by one-half the gap between the percent at or above Level 3 on the previous year's state English language arts exam and 75 percent at or above Level 3. If a grade-level cohort exceeds 75 percent at or above Level 3 in the previous year,	NYS ELA Assessment	<p>Results Pending</p> <p>Class of 2025 2013 Proficiency: 19% Goal: N/A 2014 ELA Proficiency: 31%</p> <p>Class of 2026 2013 Proficiency: 13% Goal: N/A ELA Proficiency: 13%</p>	This is our first year with this new goal so we have no comparative data.

that cohort is expected to show at least an increase in the current year.

Class of 2027
Goal:N/A
ELA Proficiency: 29%

2a1. Do have more academic goals to add?

Yes

2013-14 Progress Toward Attainment of Academic Goals

Academic Student Performance Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 9	NYS Math Assessment	<p>Results Pending</p> <p>Class of 2025 2013 Proficiency: 33% Goal: N/A Math Proficiency: 59%</p> <p>Class of 2026 2013 Proficiency: 19% Goal: N/A Math Proficiency: 44%</p> <p>Class of 2027 Math Proficiency: 55% Goal:N/A</p>	This is our first year with this new goal so we have no comparative data.
Academic Goal 10	Reading Terra Nova 3	<p>GOAL MET</p> <p>Class 2025 Goal: 48.5 2014 NCE Score: 57.3 Distance from Goal: +8.8 GOAL MET</p> <p>Class 2026 Goal: 51+ 2014 NCE Score: 52 Distance from Goal: +1 GOAL MET</p> <p>Class 2027 Goal: 52.7+ 2014 NCE Score: 53.5 Distance from Goal: +0.8 GOAL MET</p> <p>Class 2028 Goal: 51.3+ 2014 NCE Score: 53.3 Distance from Goal: +2</p>	

			GOAL MET	
			Class 2029 Goal: N/A 2014 NCE Score: 58	
			Class 2030 Goal: N/A 2014 NCE Score: 45.1	
Academic Goal 11	The average NCE for students who have taken the Math Terra Nova 3 for two years will reduce by one-half the difference between their previous year's average NCE and an NCE of 50. If the previous year's average NCE exceeds 50 then they will show an increase in the NCE average.	Math Terra Nova 3	<p>Class 2025 Goal: 50.2 2014 NCE Score: 56.8 Distance from Goal: +6.6 GOAL MET</p> <p>Class 2026 Goal: 63.6 2014 NCE Score: 57.4 Distance from Goal: -6.2 GOAL NOT MET</p> <p>Class 2027 Goal: 55.6 2014 NCE Score: 60.9 Distance from Goal: +5.3 GOAL MET</p> <p>Class 2028 Goal: 47 2014 NCE Score: 55.1 Distance from Goal: +8.1 GOAL MET</p> <p>Class 2029 Goal: 49 2014 NCE Score: 50.9 Distance from Goal: +1.9 GOAL MET</p> <p>Class 2030 Goal: N/A 2014 NCE Score: 45.2</p>	We employ the same rigorous curriculum in all cohorts and expect to see further growth in the class of 2026. Although they did not meet goal, they were able to maintain an NCE well above 50
Academic Goal 12	On the current year's state English language arts exam, each grade-level cohort (students enrolled for three or more years of instruction) will reduce by one-half the gap between the percent at or above Level 3 on the previous year's state English language arts exam and 75 percent at or above Level 3. If a grade-level cohort exceeds 75 percent at or above Level 3 in the previous year, that cohort is expected to show at least an increase in the current year.	NYS ELA Assessment	<p>Results Pending</p> <p>Class of 2025 2013 Proficiency: 19% Goal: N/A 2014 ELA Proficiency: 31%</p> <p>Class of 2026 2013 Proficiency: 13% Goal: N/A ELA Proficiency: 13%</p> <p>Class of 2027 Goal: N/A ELA Proficiency: 29%</p>	This is our first year with this new goal so we have no comparative data.

2a2. Do have more academic goals to add?

No

2b. ORGANIZATIONAL GOALS

2013-14 Progress Toward Attainment of Organizational Goals

Organizational Goal	Measure Used to Evaluate Progress	2013-14 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1 The school will receive a C or greater on the Overall and Progress section of the NYC DOE progress report during its charter term.	NYC DOE Progress Report	GOAL MET Haven Academy continued to improve instructional practices to ensure the right level of student achievement and growth occurs each year. For the 2012-2013 school year Haven Academy received an A on our NYC DOE Progress Report. The grade for the 2013-2014 school year is still pending.	
Org Goal 2 In at least one of the two years of the charter term, the school will achieve a B or greater in Environment Section of the Progress Report.	Environment Section of the DOE Progress Report	GOAL MET We received an A in the Environment Section of the 2012-2013 DOE progress Report. The grade for the 2013-2014 school year is still pending.	
Org Goal 3 NCLB Each Year the school will be in "good standing".	State Report	Results Pending	
Org Goal 4 Each year, the school's "General Community and Foster Care" populations will have a daily student attendance rate of at least 95 percent. The school's overall attendance (including Prevention students) will be minimally 92 percent	ATS Reports	GOAL MET	
Org Goal 5 Each year, 95 percent of all students enrolled during the course of the year will return the following September (excluding those who leave the school because they move out of the city, lack reasonable transportation or have been re-assigned a school placement for a more restrictive special education setting) .	ATS Reports and Enrollment Records	Results Pending	

2b.1 Do you have more organizational goals to add?

Yes

2013-14 Progress Toward Attainment of Organizational Goals

Organizational Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe
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Org Goal 6	Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	Board Policies and Meetings	Goal Met Haven Academy has complied with all applicable laws, rules and regulations. The Board takes legal compliance matters very seriously and has retained outside counsel to ensure compliance with all relevant laws. Haven Academy has in place and maintains effective systems, policies, procedures and other controls for ensuring that legal and charter requirements are met. Haven Academy's staff has been trained with respect to all applicable procedures and systems. The staff is empowered to identify and address any possible legal or compliance issues and report these matters to the Board or its counsel.
Org Goal 7	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract.	This will be maintained on an ongoing basis and monitored bi-monthly.	Goal Met
Org Goal 8	Achieve 80% satisfaction in the DOE Environmental survey in all years of the charter term, on the survey question that asks, "How satisfied are you with your child's education?"	DOE Environmental Survey	Results Pending
Org Goal 9	In at least one of two years of the new charter term, teachers will express satisfaction with the school's program, based on the school's Teacher Survey in which at least 75% of total teachers provide a positive response to at least 75% of the survey questions.	Internal Teacher Survey	Goal Met
Org Goal 10	Child Welfare Population Stabilization Goals To ensure coordinated support between external agencies and the school, for students receiving foster or prevention services: Each year, the school team will minimally have three points of contact with partner agencies and organizations involved in cases. ---Each year, the school will participate in mental health case conferences 90% of the time. Each	This is monitored constantly by our Social Emotional Staff	Goal Met

year, the school team will participate in trial discharge and final discharge conferences 90% of the time in trial discharge and final discharge conferences 90% of the time.

2c. FINANCIAL GOALS

2013-14 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Upon completion of the school's first year of operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.	Engaged with independent auditor to review internal controls and financials statements.	FY 2013 Met Goal FY 2014 Audit Pending The auditor's report contained an unqualified opinion and there were no major findings in our FY 2013 audit report.	
Financial Goal 2	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	A balanced budget and cash flow forecast are prepared and approved by the Board at the beginning of the year and regular reviews occur during the year.	Goal Met The school maintained a positive operating and cash surplus throughout the year and kept within the limits of the budget of operating expenses throughout the school year.	

Appendix A: Progress Toward Goals

Created Wednesday, June 25, 2014

Updated Tuesday, July 15, 2014

Page 1

Charter School Name: 320700860925 MOTT HAVEN ACADEMY CS

1. NEW YORK STATE REPORT CARD

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(Charter schools completing year one will not yet have a School Report Card or link to one. Please type "URL is not available" in the space provided).

<http://data.nysed.gov/reportcard.php?year=2013&instid=800000061086>

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2a. ACADEMIC STUDENT PERFORMANCE GOALS

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2013-14 Progress Toward Attainment of Academic Goals

	Academic Student Performance Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 1	Each year the percent of students proficient on the NYS assessments in the school's general community/non-child welfare involved students, who are enrolled for two or more years at Haven Academy, will be greater than that of all students in the same tested grades in the district of location.	NYS Assessments	Results Pending	
Academic Goal 2	Each year the percent proficient for child welfare involved students enrolled in at least their second year at Haven Academy will reduce by one-third the gap between in overall proficiency in the same tested grades compared to students in the school's district of location until they equal or exceed overall proficiency of students in the district of location.	NYS Assessments	Results Pending	
Academic Goal 3	Each year 75 percent of students enrolled for three or more years will perform at or above proficiency on the New York State ELA examination.	NYS ELA Assessment	Results Pending	
Academic Goal 4	Each year 75 percent of students enrolled for three or more years will perform at or above proficiency on the New York State Math examination.	NYS Math Assessment	Results Pending	

Academic Goal 5	Each year 75 percent of students enrolled for three or more years will perform at or above a level 3 on the NYS fourth grade science exam.	4th Grade NYS Science Assessment	Results Pending
Academic Goal 6	Each year 85 percent of students enrolled for three or more years will perform at or above level 2 on the New York state English and math exams.	NYS Math and ELA assessments	Results Pending
Academic Goal 7	On the current year's state English language arts exam, each grade-level cohort (students enrolled for three or more years of instruction) will reduce by one-half the gap between the percent at or above Level 3 on the previous year's state English language arts exam and 75 percent at or above Level 3. If a grade-level cohort exceeds 75 percent at or above Level 3 in the previous year, that cohort is expected to show at least an increase in the current year.	NYS ELA Assessment	Results Pending
Academic Goal 8	On the current year's state math exam, each grade-level cohort (students enrolled for three or more years of instruction) will reduce by one-half the gap between the percent at or above Level 3 on the previous year's state math exam and 75 percent at or above Level 3. If a grade-level cohort exceeds 75 percent at or above Level 3 in the previous year, that cohort is expected to show at least an increase in the current year.	NYS Math Assessment	Results Pending

2a1. Do have more academic goals to add?

Yes

2013-14 Progress Toward Attainment of Academic Goals

Academic Student Performance Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Academic Goal 9 The average NCE for students who have taken the Reading Terra Nova 3 for two years will reduce by one-half the difference between their previous year's average NCE and an NCE of 50. If the previous year's average NCE exceeds 50 then they will show an increase in the NCE average.	Reading Terra Nova 3	GOAL MET Class 2025 Goal: 48.5 2014 NCE Score: 57.3 Distance from Goal: +8.8 GOAL MET	We employ the same rigorous curriculum in all cohorts and expect to see further growth in the class of 2026. Although they did not make an increase, they were able to maintain an NCE well above 50.
		Class 2026 Goal: 51+ 2014 NCE Score: 52 Distance from Goal: +1 GOAL MET	
		Class 2027 Goal: 52.7+ 2014 NCE Score: 53.5 Distance from Goal: +0.8 GOAL MET	

Class 2028
Goal: 51.3+
2014 NCE Score: 53.3
Distance from Goal: +2
GOAL MET

Class 2029
Goal: N/A
2014 NCE Score: 58

Class 2030
Goal: N/A
2014 NCE Score: 45.1

Academic Goal 10	The average NCE for students who have taken the Math Terra Nova 3 for two years will reduce by one-half the difference between their previous year's average NCE and an NCE of 50. If the previous year's average NCE exceeds 50 then they will show an increase in the NCE average.	Math Terra Nova 3	GOAL MET for all cohorts except class of 2026.
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Class 2025
Goal: 50.2
2014 NCE Score: 56.8
Distance from Goal: +6.6
GOAL MET

Class 2026
Goal: 63.6
2014 NCE Score: 57.4
Distance from Goal: -6.2
GOAL NOT MET

Class 2027
Goal: 55.6
2014 NCE Score: 60.9
Distance from Goal: +5.3
GOAL MET

Class 2028
Goal: 47
2014 NCE Score: 55.1
Distance from Goal: +8.1
GOAL MET

Class 2029
Goal: 49
2014 NCE Score: 50.9
Distance from Goal: +1.9
GOAL MET

Class 2030
Goal: N/A
2014 NCE Score: 45.2

2a2. Do have more academic goals to add?

2b. ORGANIZATIONAL GOALS

2013-14 Progress Toward Attainment of Organizational Goals

Organizational Goal	Measure Used to Evaluate Progress	2013-14 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Org Goal 1 The school will receive a C or greater on the Overall and Progress section of the NYC DOE progress report during its charter term.	NYC DOE Progress Report	Goal Met Haven Academy continued to improve instructional practices to ensure the right level of student achievement and growth occurs each year. for the 2012-2013 school year Haven Academy received an A on our NYC DOE Progress Report. The grade for the 2013-2014 school year is still pending.	
Org Goal 2 In at least one of the two years of the charter term, the school will achieve a B or greater in Environment Section of the Progress Report.	Environment Section of the DOE Progress Report	Goal Met We received an A in the Environment Section of the 2012-2013 DOE progress Report. The grade for the 2013-2014 school year is still pending.	
Org Goal 3 NCLB Each Year the school will be in "good standing".	State Report	Results Pending	
Org Goal 4 Each year, the school's "General Community and Foster Care" populations will have a daily student attendance rate of at least 95 percent. The school's overall attendance (including Prevention students) will be minimally 92 percent.	ATS Reports, Daily Attendance, Year End Data	Goal Met	
Org Goal 5 Each year, 95 percent of all students enrolled during the course of the year will return the following September (excluding those who leave the school because they move out of the city, lack reasonable transportation or have been re-assigned a school placement for a more restrictive special education setting) .	ATS Reports, Enrollment Data	Results Pending	

2b.1 Do you have more organizational goals to add?

Yes

2013-14 Progress Toward Attainment of Organizational Goals

Organizational Goal	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be
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Org Goal 6	Each year, the school will comply with all applicable laws, rules, regulations and contract terms including, but not limited to, the New York Charter Schools Act, the New York Freedom of Information Law, the New York Open Meetings Law, the federal Individuals with Disabilities Education Act, and federal Family Educational Rights and Privacy Act.	Board Policies and Meetings	Goal Met Haven Academy has complied with all applicable laws, rules and regulations. The Board takes legal compliance matters very seriously and has retained outside counsel to ensure compliance with all relevant laws. Haven Academy has in place and maintains effective systems, policies, procedures and other controls for ensuring that legal and charter requirements are met. Haven Academy's staff has been trained with respect to all applicable procedures and systems. The staff is empowered to identify and address any possible legal or compliance issues and report these matters to the Board or its counsel.
Org Goal 7	Each year, student enrollment will be within 15% of full enrollment as defined in the school's contract.	This will be maintained on an ongoing basis and monitored bi-monthly.	Goal Met
Org Goal 8	Achieve 80% satisfaction in the DOE Environmental survey in all years of the charter term, on the survey question that asks, "How satisfied are you with your child's education". Teacher	DOE Environmental Survey	Results Pending
Org Goal 9	In at least one of two years of the new charter term, teachers will express satisfaction with the school's program, based on the school's Teacher Survey in which at least 75% of total teachers provide a positive response to at least 75% of the survey questions.	Internal Teacher Survey	Goal Met
Org Goal 10	Child Welfare Population Stabilization Goals To ensure coordinated support between external agencies and the school, for students receiving foster or prevention services: Each year, the school team will minimally have three points of contact with partner agencies and organizations involved in cases. ---Each year, the school will participate in mental health case conferences 90% of the time. Each year, the school team will participate	This is monitored constantly by our Social Emotional Staff	Goal Met

in trial discharge and final discharge conferences 90% of the time.

2c. FINANCIAL GOALS

2013-14 Progress Toward Attainment of Financial Goals

	Financial Goals	Measure Used to Evaluate Progress	2013-2014 Progress Toward Attainment	If Not Met, Describe Efforts to be Taken
Financial Goal 1	Upon completion of the school's first year of operation and every year thereafter, the school will undergo an independent financial audit that will result in an unqualified opinion and no major findings.		FY 2013 Met Goal FY 2014 Audit Pending	The auditor's report contained an unqualified opinion and there were no major findings in our FY 2013 audit report.
Financial Goal 2	Each year, the school will operate on a balanced budget and maintain a stable cash flow.	A balanced budget and cash flow forecast are prepared and approved by the Board at the beginning of the year and regular reviews occur during the year.	Goal Met	The school maintained a positive operating and cash surplus throughout the year and kept within the limits of the budget of operating expenses throughout the school year.

Appendix B: Total Expenditures and Administrative Expenditures per Child

Created Tuesday, June 24, 2014
Updated Monday, July 28, 2014

Page 1

Charter School Name: 320700860925 MOTT HAVEN ACADEMY CS

B. Financial Information

This information is required of ALL charter schools. Provide the following measures of fiscal performance of the charter school in Appendix B (Total Expenditures and Administrative Expenditures Per Child):

1. Total Expenditures Per Child

To calculate 'Total Expenditures per Child' take total expenditures (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the count of students you reported on of BEDS Day. (Integers Only. No dollar signs or commas).

1. Total Expenditures Per Child Line 1: Total Expenditures	5955891
1. Total Expenditures Per Child Line 2: BEDS Day Pupil Count	276
1. Total Expenditures Per Child Line 3: Divide Line 1 by Line 2	21579

2. Administrative Expenditures per Child

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the unaudited 2013-14 Schedule of Functional Expenses) and divide by the BEDS per pupil count. The relevant portion that must be included in this calculation is defined as follows:

Administrative Expenditures: Administration and management of the charter school includes the activities and personnel of the offices of the chief school officers, the treasurer, the finance or business offices, the purchasing unit, the employee personnel offices, the records management offices, or a public information and services offices. It also includes those administrative and management services provided by other organizations or corporations on behalf of the charter school for which the charter school pays a fee or other compensation.

Please note the following:

Do not include the FTE of personnel dedicated to administration of the instructional programs.

Do not include Employee Benefit costs or expenditures in the above calculations.

A template for the Schedule of Functional Expenses is provided on page 21 of the 2012 Annual Report Guidelines to assist schools identify the categories of expenses needed to compute the two per pupil calculations. This template does not need to be completed or submitted on August 1st as it will be submitted November 1st as part of the audited financial statements. Therefore schools should use unaudited amounts for these per pupil calculations. (See the 2013-14 Annual Report Guidelines in "Resources" area of your portal task page).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas).

To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 1: Relevant Personnel Services Cost (Row)	511641
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 2: Management and General Cost (Column)	381472
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 3: Sum of Line 1 and Line 2	893384
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 4: BEDS Day Pupil Count	276
To calculate 'Administrative Expenditures per Child' take the relevant portion from the 'personnel services cost' row and the 'management and general' column (from the 2013-14 Schedule of Functional Expenses) and divide by the count of students as of BEDS Day. (Integers Only. No dollar signs or commas). Line 5: Divide Line 3 by the BEDS Day Pupil Count	3237

Thank you.

Audited Financial Statement Checklist

Created Wednesday, October 29, 2014

Page 1

Charter School Name:

1. Please check each item that is included in the 2013-14 Audited Financial Statement submitted for your charter school.

	Yes/No
Audited Financial Statements (including report on compliance and report on internal control over financial reporting)	Yes
Single Audit (if applicable)	Not Applicable
CSP Agreed Upon Procedures (if applicable)	Not Applicable
Management Letter	Yes
Report on Extracurricular Student Activity Accounts (if applicable)	Not Applicable
Corrective Action Plans for any Findings	No

2. Please indicated if there is a finding(s) noted in any of the following sections of your charter school's 2013-14 Audited Financial Statement.

	Yes/No
Report on Compliance	No
Report on Internal Control over Financial Reporting	No
Single Audit	Not Applicable
CSP Agreed Upon Procedures Report	No
Management Letter	Yes

Thank you.

MOTT HAVEN ACADEMY CHARTER SCHOOL

FINANCIAL STATEMENTS

JUNE 30, 2014

(WITH SUMMARIZED COMPARATIVE INFORMATION FOR JUNE 30, 2013)

MOTT HAVEN ACADEMY CHARTER SCHOOL

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INDEPENDENT AUDITOR'S REPORT

To the Board of Trustees
Mott Haven Academy Charter School

Report on the Financial Statements

We have audited the accompanying financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses, and cash flows for the year then ended, and the related notes to the financial statements.

Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the School's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of Mott Haven Academy Charter School as of June 30, 2014, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

Emphasis of a Matter

The School is financially dependent on the New York Foundling (NOTE 3).

Report on Summarized Comparative Information

We have previously audited Mott Haven Academy Charter School's 2013 financial statements and we expressed an unmodified opinion on those audited financial statements in our report dated October 23, 2013. In our opinion, the summarized comparative information presented herein as of and for the year ended June 30, 2013 is consistent, in all material respects, with the audited financial statements from which it has been derived.

Other Reporting Required by Government Auditing Standards

In accordance with *Government Auditing Standards*, we have also issued our report dated October 20, 2014, on our consideration of Mott Haven Academy Charter School's internal control over financial reporting and on our tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements and other matters. The purpose of that report is to describe the scope of our testing of internal control over financial reporting and compliance and the results of that testing, and not to provide an opinion on the internal control over financial reporting or on compliance. That report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering Mott Haven Academy Charter School's internal control over financial reporting and compliance.

MBAF CPAs, LLC

New York, NY
October 20, 2014

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FINANCIAL POSITION
JUNE 30, 2014
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR JUNE 30, 2013)

ASSETS	2014	2013
Cash	\$ 200,900	\$ 197,498
Cash - restricted	70,116	70,081
Grants and other receivables	202,936	426,483
Due from NYC Department of Education	8,735	5,086
Prepaid expenses and other assets	53,743	50,756
Property and equipment, net	228,993	257,845
Website, net	9,358	1,284
	<u>\$ 774,781</u>	<u>\$ 1,009,033</u>
LIABILITIES AND NET (DEFICIT) ASSETS		
LIABILITIES		
Accounts payable and accrued expenses	\$ 1,166,591	\$ 840,771
Accrued salary and other payroll related expenses	422,156	420,651
Deferred revenue	-	2,927
	<u>1,588,747</u>	<u>1,264,349</u>
NET (DEFICIT) ASSETS		
Unrestricted	(913,588)	(271,612)
Temporarily restricted	99,622	16,296
	<u>(813,966)</u>	<u>(255,316)</u>
	<u>\$ 774,781</u>	<u>\$ 1,009,033</u>

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF ACTIVITIES
FOR THE YEAR ENDED JUNE 30, 2014
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2013)

	<u>Unrestricted</u>	<u>Temporarily Restricted</u>	<u>2014</u>	<u>2013</u>
OPERATING REVENUE				
State and local per pupil operating revenue	\$ 4,201,918	\$ -	\$ 4,201,918	\$ 4,158,421
Government grants and contracts	535,477	-	535,477	536,458
	<u>4,737,395</u>	<u>-</u>	<u>4,737,395</u>	<u>4,694,879</u>
EXPENSES				
Program services				
General education	4,644,131	-	4,644,131	4,299,124
Special education	1,062,167	-	1,062,167	652,541
Management and general	465,513	-	465,513	823,930
Fundraising	36,804	-	36,804	14,847
	<u>6,208,615</u>	<u>-</u>	<u>6,208,615</u>	<u>5,790,442</u>
DEFICIT FROM SCHOOL OPERATIONS	(1,471,220)	-	(1,471,220)	(1,095,563)
SUPPORT AND OTHER INCOME				
Contributions and other grants	422,423	490,060	912,483	805,000
Interest and other income	87	-	87	107
Net assets released from restrictions	406,734	(406,734)	-	-
	<u>829,244</u>	<u>83,326</u>	<u>912,570</u>	<u>805,107</u>
CHANGE IN NET ASSETS	(641,976)	83,326	(558,650)	(290,456)
NET ASSETS - BEGINNING OF YEAR	<u>(271,612)</u>	<u>16,296</u>	<u>(255,316)</u>	<u>35,140</u>
NET (DEFICIT) ASSETS - END OF YEAR	<u>\$ (913,588)</u>	<u>\$ 99,622</u>	<u>\$ (813,966)</u>	<u>\$ (255,316)</u>

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF FUNCTIONAL EXPENSES
FOR THE YEAR ENDED JUNE 30, 2014

(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2013)

	Program Services			Supporting Services			2014	2013
	General Education	Special Education	Total	Management and General	Fundraising			
FUNCTIONAL EXPENSES								
Salaries	\$ 2,637,099	\$ 595,812	\$ 3,232,911	\$ 129,680	\$ 25,792	\$ 3,388,383	\$ 3,211,150	
Payroll taxes and employee benefits	598,959	135,326	734,285	29,454	5,858	769,597	654,721	
Classroom supplies	21,114	4,770	25,884	-	-	25,884	28,265	
Instructional materials	81,974	18,521	100,495	-	-	100,495	158,795	
Consultants	158,895	48,793	207,688	118,320	3,500	329,508	296,385	
Professional fees	-	-	-	22,223	-	22,223	26,617	
Advertising and recruiting	9,475	2,141	11,616	-	-	11,616	13,730	
Professional development	86,958	19,647	106,605	8,159	63	114,827	105,871	
Facility expense	560,894	126,725	687,619	76,841	-	764,460	730,930	
Insurance	27,041	6,109	33,150	1,330	264	34,744	30,148	
Student transportation	20,104	4,542	24,646	-	-	24,646	18,688	
Student food services	113,682	25,685	139,367	-	-	139,367	238,636	
Office expenses	1,855	422	2,277	51,779	221	54,277	65,876	
Equipment rental	-	-	-	3,501	-	3,501	3,501	
Dues and subscription	4,198	948	5,146	-	-	5,146	11,462	
Donated services	198,466	44,840	243,306	11,701	-	255,007	5,454	
Travel	442	100	542	22	4	568	861	
Telephone and internet	34,606	7,819	42,425	1,702	338	44,465	46,138	
Conference and meetings	7,974	1,802	9,776	-	-	9,776	12,405	
Furniture and fixtures - non-capitalizable	-	-	-	6,961	-	6,961	1,987	
Technology infrastructure and software	10,745	2,428	13,173	415	83	13,671	11,060	
Postage and delivery	2,229	504	2,733	110	22	2,865	3,688	
Depreciation and amortization	67,421	15,233	82,654	3,315	659	86,628	114,074	
	\$ 4,644,131	\$ 1,062,167	\$ 5,706,298	\$ 465,513	\$ 36,804	\$ 6,208,615	\$ 5,790,442	

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL
STATEMENT OF CASH FLOWS
FOR THE YEAR ENDED JUNE 30, 2014
(WITH SUMMARIZED COMPARATIVE INFORMATION FOR THE YEAR ENDED JUNE 30, 2013)

	2014	2013
CASH FLOWS FROM OPERATING ACTIVITIES		
Cash received from operating revenue	\$ 4,728,134	\$ 4,657,244
Other cash received	1,138,802	612,607
Cash paid to employees and suppliers	<u>(5,797,684)</u>	<u>(5,319,308)</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	69,252	(49,457)
CASH FLOWS FROM INVESTING ACTIVITIES		
Purchase of property and equipment	(55,850)	(25,792)
Additions to website	<u>(10,000)</u>	<u>-</u>
NET CASH USED IN INVESTING ACTIVITIES	<u>(65,850)</u>	<u>(25,792)</u>
NET INCREASE (DECREASE) IN CASH	3,402	(75,249)
CASH - BEGINNING OF YEAR	<u>197,498</u>	<u>272,747</u>
CASH - END OF YEAR	<u>\$ 200,900</u>	<u>\$ 197,498</u>
 Reconciliation of changing in net assets to net cash provided by operating activities:		
Change in net assets	\$ (558,650)	\$ (290,456)
Adjustments to reconcile change in net assets to net cash provided by (used in) operating activities:		
Depreciation and amortization	86,628	114,074
Changes in operating assets and liabilities:		
Cash - restricted	(35)	(35)
Grants and other receivables	223,547	(244,436)
Due from NYC Department of Education	(3,649)	11,374
Prepaid expenses and other assets	(2,987)	1,444
Accounts payable and accrued expenses	325,820	307,012
Accrued salary and other payroll related expenses	1,505	48,639
Deferred revenue	<u>(2,927)</u>	<u>2,927</u>
NET CASH PROVIDED BY (USED IN) OPERATING ACTIVITIES	<u>\$ 69,252</u>	<u>\$ (49,457)</u>

The accompanying notes are an integral part of these financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2014

1. NATURE OF THE ORGANIZATION

Mott Haven Academy Charter School (the "School") is a New York State, not-for-profit educational corporation that was incorporated on January 15, 2008 to operate a charter school pursuant to Article 56 of the Educational Law of the State of New York. The School was granted a provisional charter on January 15, 2008, valid for a term of five years and, upon expiration, was renewed through June 30, 2016 by the Board of Regents of the University of the State of New York.

The School opened its doors in the fall of 2008 in the South Bronx with a rigorous academic program and a highly structured and supportive school culture. While the School is comprised of students from many backgrounds, it is uniquely designed to meet the needs of at-risk students who receive foster care and prevention services through the New York City child welfare system.

The School is exempt from Federal income tax under section 501(a) of the Internal Revenue Code ("IRC") as an organization described in Section 501(c)(3) of the IRC and a similar provision under New York State income tax laws. The School has also been classified as an entity that is not a private foundation within the meaning of Section 509(a) of the IRC and qualifies for deductible contributions as provided in section 170(b)(1)(A)(ii) of the IRC.

In fiscal year 2014, the School operates classes for students in kindergarten to fifth grade.

The New York City Department of Education ("NYCDOE") provides free lunches and transportation directly to a majority of the School's students.

2. SIGNIFICANT ACCOUNTING POLICIES

Financial Statement Presentation

The School's financial statements have been prepared on the accrual basis of accounting in accordance with accounting principles generally accepted in the United States of America ("U.S. GAAP").

The classification of the School's net assets and its support, revenues and expenses is based on the existence or absence of donor-imposed restrictions. It requires that the amounts for each of the three classes of net assets, permanently restricted, temporarily restricted, and unrestricted, be displayed in a statement of financial position and that the amounts of change in each of those classes of net assets be displayed in a statement of activities.

These classes are defined as follows:

Permanently Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School is limited by donor-imposed stipulations that neither expire by passage of time nor can be fulfilled or otherwise removed by actions of the School.

Temporarily Restricted – Net assets resulting from contributions and other inflows of assets whose use by the School are limited by donor-imposed stipulations that either expire by passage of time or can be fulfilled and removed by actions of the School pursuant to those stipulations. When such stipulations end or are fulfilled, such temporarily restricted net assets are reclassified to unrestricted net assets and reported as such in the statement of activities.

Unrestricted – The part of net assets that is neither permanently nor temporarily restricted by donor-imposed stipulations.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2014

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Cash and Cash Equivalents

The School considers all highly liquid instruments purchased with a maturity of three months or less to be cash equivalents. Cash equivalents consist of a money market account.

Cash – restricted

An escrow account of \$70,116 is held aside for contingency purposes at June 30, 2014 as required by the NYCDOE.

Grants and Other Receivables

Grants and other receivables represent unconditional promises to give by donors. Grants and other receivables are expected to be collected within one year, are recorded at net realizable value, and amounts to \$202,936 and \$426,483 at June 30, 2014 and 2013, respectively. The School has determined that no allowance for uncollectible accounts is necessary at June 30, 2014 and 2013. Such estimate is based on management's assessments of the creditworthiness of its donors, the aged basis of its receivables, as well as current economic conditions and historical information.

Revenue Recognition

Revenue from the state and local government resulting from the School's charter status is based on the number of students enrolled and is recorded when services are performed in accordance with the charter agreement.

Revenue from federal, state and local government grants and contracts are recorded by the School when qualifying expenditures are incurred and billable. Funds received in advance for which qualifying expenditures have not been incurred would be reflected as refundable advances from state and local government grants in the accompanying statement of financial position.

Property and Equipment

Property and equipment are stated at cost and are being depreciated on the straight-line method over the estimated useful lives of the assets. Leasehold improvements are amortized over the shorter of the life of the asset or the life of the lease. The School has established a \$1,000 threshold above which assets are evaluated to be capitalized. Property and equipment acquired with certain government contract funds is recorded as an expense pursuant to the terms of the contract in which the government funding source retains ownership of the property. Maintenance and repairs are charged to expense as incurred; major renewals and betterments are capitalized.

Impairment

The School reviews long-lived assets to determine whether there has been any permanent impairment whenever events or circumstances indicate the carrying amount of an asset may not be recoverable. If the sum of the expected future undiscounted cash flows is less than the carrying amount of the assets, the School recognizes an impairment loss. No impairment losses were recognized for the years ended June 30, 2014 and 2013.

Functional Allocation of Expenses

Expenses that can be directly identified with the program or supporting service to which they relate are charged accordingly. Other expenses by function have been allocated among program and supporting service classifications based upon benefits received.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2014

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Estimates

The preparation of financial statements in conformity with U.S. GAAP requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

Subsequent Events

The School has evaluated events through October 20, 2014, which is the date the financial statements were available to be issued.

Comparative Financial Information

The June 30, 2014 financial statements include certain prior year summarized comparative information in total but not by net asset class. In addition, only certain of the notes to the financial statements for June 30, 2013 are presented. As a result, the June 30, 2013 comparative information does not include sufficient detail to constitute a presentation in conformity with U.S. GAAP. Accordingly, such June 30, 2013 information should be read in conjunction with the School's financial statements for the year ended June 30, 2013, from which the summarized information was derived.

Income Taxes

The School follows the accounting standard for uncertainty in income taxes. The standard prescribes a minimum recognition threshold and measurement methodology that a tax position taken or expected to be taken in a tax return is required to meet before being recognized in the financial statements. It also provides guidance for derecognition, classification, interest and penalties, disclosure, and transition.

The School files informational returns in the Federal and New York State jurisdictions. With few exceptions, the School is no longer subject to Federal, state, or local income tax examinations by tax authorities for fiscal years before 2011.

The School believes that it has appropriate support for the positions taken on its tax returns. Nonetheless, the amounts ultimately paid, if any, upon resolution of the issues raised by the taxing authorities may differ materially from the amounts accrued for each year. Management believes that its nonprofit status would be sustained upon examination.

Should there be interest on underpayments of income tax, the School would classify it as "Interest Expense." The School would classify penalties in connection with underpayments of tax as "Other Expense."

Recent Accounting Pronouncement

In May 2014, the Financial Accounting Standards Board ("FASB") issued an accounting standard update which affects the revenue recognition of entities that enter into either (1) certain contracts to transfer goods or services to customers or (2) certain contracts for the transfer of nonfinancial assets. The update indicates an entity should recognize revenue in an amount that reflects the consideration the entity expects to be entitled to in exchange for the goods or services transferred by the entity. The update is to be applied to the beginning of the year of implementation or retrospectively and is effective for annual periods beginning after December 15, 2017 and in interim periods in annual periods beginning after December 15, 2018. Early application is permitted but no earlier than annual reporting periods beginning after December 31, 2016. The School is currently evaluating the effect the update will have on its financial statements.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS JUNE 30, 2014

2. SIGNIFICANT ACCOUNTING POLICIES (CONTINUED)

Reclassifications

The prior year statement of cash flows has been reclassified from the indirect method to the direct method in the current year financial statements. This reclassification had no effect on previously reported change in net assets.

3. LIQUIDITY AND DEPENDENCE

At June 30, 2014, the School has a working capital deficit of \$1,052,317 and has had consecutive deficits driven primarily by rent and facility costs owed to The New York Foundling ("The Foundling"), which is further explained in note 4. Management has obtained a letter from The Foundling, a related party, who shares two board members with the School, committing to provide financial support through the next fiscal year end while the School reviews its operations to achieve efficiencies. Management believes that these actions will enable the School to continue as a going concern through July 1, 2015.

The Foundling subsidizes rent and facility costs. Such support is recorded as contributions in-kind, at their fair value, provided it meets the criteria for recognition. Support received are estimated at \$255,007 and \$5,453 for the years ending June 30, 2014 and 2013, respectively, and are reflected as both income and expense in the accompanying financial statements.

The School received donated supplies estimated at \$5,400 from an unrelated third party.

4. AGREEMENT FOR SCHOOL FACILITY

Since August 2010, the School is located at 170 Brown Place, Bronx, New York, 10454. The new LEED certified, environmentally friendly building is leased by The New York Foundling. The School subleases a portion of the building from The Foundling. The Foundling occupies the remaining space in the building.

The School is obligated under a non-cancelable operating sublease for office and classroom space expiring on August 31, 2020, with a renewal option after 10 years. However, the structure of the lease accounts for state "renewal and re-authorization of its charter." In the event that the School is closed by its authorizer, the School would be released from the sublease. The annual rent is calculated based on the number of students enrolled multiplied by 100 square feet. For the year ending June 30, 2014, the total rent and facility costs was \$695,520, which is reflected in the accompanying statement of functional expenses.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2014

5. PROPERTY AND EQUIPMENT

Property and equipment consist of the following as of June 30:

	2014	2013	Estimated Useful Lives
Furniture and fixtures	\$ 231,022	\$ 212,412	7 years
Computer hardware and software	227,431	192,743	3 years
Musical instruments	12,470	12,470	3 years
Leasehold improvements	145,688	145,688	10 years
Equipment	25,272	22,720	3 years
	641,883	586,033	
Less: accumulated depreciation amortization	(412,890)	(328,188)	
	\$ 228,993	\$ 257,845	

Depreciation expense for the years ended June 30, 2014 and 2013 was \$84,702 and \$112,523, respectively.

6. WEBSITE

Development costs related to the School's website amounting to \$17,755 have been capitalized, including \$10,000 in additions in the current year. These costs are amortized over the estimated life of five years using the straight-line method. Amortization expense for the years ended June 30, 2014 and 2013 was \$1,926 and \$1,551, respectively. Accumulated amortization totaled \$8,397 and \$6,471 as of June 30, 2014 and 2013, respectively.

7. EMPLOYEE BENEFITS

The School adopted a 401(k) retirement plan (the "Plan") which covers most of the employees. The Plan is a defined contribution plan. Employees are eligible to enroll in the Plan either the first day of the Plan year or the first day of the seventh month of the Plan year. Those employees who have completed at least 1 full year of service are also eligible for employer contribution. The Plan provides for the School to contribute up to 4% of participating employee salary. The School contribution becomes fully vested after the first year. For the years ended June 30, 2014 and 2013, employer contribution expense for the School was \$97,723 and \$97,399, respectively, which is included in payroll taxes and employee benefits in the accompanying statement of functional expenses.

8. RISK MANAGEMENT

The School is exposed to various risks of loss related to torts; thefts of, damage to, and destruction of assets; injuries to employees; and natural disasters. The School maintains commercial insurance to help protect itself from such risks.

The School entered into contractual relationships with certain governmental funding sources. The governmental agencies may request return of funds as a result of noncompliance by the School. The accompanying financial statements make no provision for the possible disallowance or refund.

MOTT HAVEN ACADEMY CHARTER SCHOOL

NOTES TO FINANCIAL STATEMENTS
JUNE 30, 2014

9. CONCENTRATIONS

Financial instruments that potentially subject the School to a concentration of credit risk include cash accounts at a major financial institution that, at times, exceeded the Federal Deposit Insurance Corporation ("FDIC") insured limit of \$250,000.

The School received approximately 89% of its total revenue from per pupil funding from the NYCDOE during both the years ended June 30, 2014 and 2013.

Founding accounted for approximately 98% of the School's accounts payable at June 30, 2014.

10. TEMPORARILY RESTRICTED NET ASSETS

Temporarily restricted net assets are purpose restricted and consist of the following at June 30, 2014:

Curriculum materials, training and development	<u>\$ 99,622</u>
--	------------------

Net assets were released from restrictions during the year ended June 30, 2014 by incurring expenses, thus satisfying the restricted purposes as follows:

School food implementation and medical program	\$ 70,000
Data tech specialist	35,000
Support services/Social worker and behavior specialist	126,000
Curriculum materials, training and development	91,674
Summer and after school program	<u>84,060</u>
	<u><u>\$ 406,734</u></u>



Independent Auditor's Report on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance With Government Auditing Standards

To the Board of Trustees
Mott Haven Academy Charter School

We have audited, in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States, the financial statements of Mott Haven Academy Charter School (the "School"), which comprise the statement of financial position as of June 30, 2014, and the related statements of activities, functional expenses and cash flows for the year then ended, and the related notes to the financial statements, and have issued our report thereon dated October 20, 2014.

Internal Control Over Financial Reporting

In planning and performing our audit of the financial statements, we considered the School's internal control over financial reporting ("internal control") to determine the procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the School's internal control. Accordingly, we do not express an opinion on the effectiveness of the School's internal control.

A deficiency in internal control exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the School's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations during our audit, we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the School's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion.

The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

We noted certain matters that we reported to management of the School in a separate letter dated October 20, 2014.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the School's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the School's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

MBAF CPAs, LLC

New York, NY
October 20, 2014

Mott Haven Academy Charter School

Communication With Those Charged With Governance

October 20, 2014





October 20, 2014

To the Audit Committee
Mott Haven Academy Charter School

We have audited the financial statements of Mott Haven Academy Charter School (the "School") for the year ended June 30, 2014 and are prepared to issue our report thereon dated October 20, 2014. Professional standards require that we provide you with the following information related to our audit. This letter is divided into two sections: 1) required communications from the auditors to those with audit oversight responsibilities and 2) opportunities for strengthening internal controls or enhancing operating efficiency and our related recommendations.

REQUIRED COMMUNICATIONS

A. Our Responsibility under U.S. Generally Accepted Auditing Standards:

As stated in our engagement letter May 13, 2014, our responsibility, as described by professional standards, is to express an opinion about whether the financial statements prepared by management with your oversight are fairly presented, in all material respects, in conformity with U.S. generally accepted accounting principles. Our audit of the financial statements does not relieve you or management of your responsibilities. Our responsibility is to plan and perform the audit to obtain reasonable, but not absolute, assurance that the financial statements are free of material misstatement. As part of our audit, we considered the internal control of Mott Haven Academy Charter School. Such considerations were solely for the purpose of determining our audit procedures and not to provide any assurance concerning such internal control. We are responsible for communicating significant matters related to the audit that are, in our professional judgment, relevant to your responsibilities in overseeing the financial reporting process. However, we are not required to design procedures specifically to identify such matters.

B. Planned Scope and Timing of the Audit:

We performed the audit according to the planned scope and timing previously communicated to you in our meeting about planning matters on July 23, 2014.

C. Auditor Independence:

We affirm that MBAF CPAs, LLC is independent with respect to Mott Haven Academy Charter School.

D. Qualitative Aspects of Accounting Practices:

Management is responsible for the selection and use of appropriate accounting policies. In accordance with the terms of our engagement letter, we will advise management about the appropriateness of accounting policies and their application. The significant accounting policies used by Mott Haven Academy Charter School are described in Note 2 to the financial statements. We noted no transactions entered into by the School during the year for which there is a lack of authoritative guidance or consensus. There are no significant transactions that have been recognized in the financial statements in a different period than when the transaction occurred.

E. Accounting Estimates Used in the Financial Statements:

Accounting estimates are an integral part of the financial statements prepared by management and are based on management's knowledge and experience about past and current events and assumptions about future events. Certain accounting estimates are particularly sensitive because of their significance to the financial statements and because of the possibility that future events affecting them may differ significantly from those expected. The most sensitive estimates affecting the financial statements were:

Allowance for Doubtful Accounts:

As of June 30, 2014, Mott Haven Academy Charter School recorded grants and other receivables of \$202,936. Management concluded that no allowance for doubtful accounts was necessary. Management calculated based on the assessment of the credit-worthiness of the School's donors, the aged basis of the receivables, as well as economic conditions and historical information. Based on our audit procedures which included a discussion with the school leadership and a review of subsequent collections we concur with management's conclusion.

Functional Statement Allocation:

Management's estimate of the allocation of functional expenses is directly identified with the program or supporting service to which they relate. We evaluated the key factors and assumptions used to develop the estimate in determining that it is reasonable in relation to the financial statements taken as a whole.

Depreciation:

Management's estimate of depreciation is based on estimated useful lives of assets. We evaluated the estimated useful lives of assets in comparison to generally accepted accounting principles in determining that it is reasonable in relation to the financial statements taken as a whole.

F. Sensitive Disclosures Affecting the Financial Statements:

The disclosures in the financial statements are neutral, consistent, and clear. Certain financial statement disclosures are particularly sensitive because of their significance to financial statement users. The most sensitive disclosure(s) affecting the financial statements were:

The disclosure of Risk Management in Note 8 to the financial statements describes various risks to which the School is exposed.

G. Corrected and Uncorrected Misstatements:

Professional standards require us to accumulate all known and likely misstatements identified during the audit, other than those that are trivial, and communicate them to the appropriate level of management. Management has corrected all such misstatements. We will identify those adjustments proposed both corrected and uncorrected:

Proposed and Corrected:

There was 1 audit adjustment that increased net assets by \$25,000 for a restricted contribution which was recorded as a liability.

Last year there was 1 audit adjustment that decreased net assets by approximately \$16,000.

Proposed and Uncorrected:

There were no audit adjustments proposed and uncorrected during the fiscal year.

H. Audit Difficulties and Disagreements with Management:

For purposes of this letter, professional standards define a disagreement with management as a financial accounting, reporting, or auditing matter, whether or not resolved to our satisfaction, that could be significant to the financial statements or the auditor's report.

We are pleased to report that no such disagreements arose during the course of our audit.

I. Management Representations:

We have requested certain representations from management that are included in the management representation letter dated October 20, 2014.

J. Management Consultations with Other Independent Accountants:

In some cases, management may decide to consult with other accountants about auditing and accounting matters, similar to obtaining a "second opinion" on certain situations. If a consultation involves application of an accounting principle to the School's financial statements or a determination of the type of auditor's opinion that may be expressed on those statements, our professional standards require the consulting accountant to check with us to determine that the consultant has all the relevant facts. To our knowledge, there were no such consultations with other accountants.

K. Other Audit Findings or Issues:

We generally discuss a variety of matters, including the application of accounting principles and auditing standards, with management each year prior to retention as the School's auditors. However, these discussions occurred in the normal course of our professional relationship and our responses were not a condition to our retention.

OPPORTUNITIES FOR STRENGTHENING INTERNAL CONTROLS OR ENHANCING OPERATING EFFICIENCY

Please note: Comments with an asterisk (*) was communicated in the prior fiscal year.

*Federal Grants:

We understand that the School was awarded various Federal and ARRA grants. While federal funds are a valuable source of revenue, the grants impose very specific and stringent reporting requirements and compliance. In addition, where cumulative expenditures of federal funds exceed \$500,000, an additional audit is performed in accordance OMB Circular A-133 audit. We recommend that the School closely review its obligations under any programs in which federal funds are received.

*Budgeting:

The School has experienced deficits from School operations of approximately \$1,500,000 and \$1,100,000 for 2014 and 2013, respectively. In addition, unrestricted net assets as of June 30, 2014 amount to \$(913,588). The School's current assets amount to approximately \$536,000 while current liabilities exceed \$1,588,000. Continued expenditures at this level without an increase in revenue will continue to place the School in a net deficit situation. As an added audit procedure, we asked the School to secure a support letter from the NY Foundling. The School must continue to create forecasted budgets and update them periodically based upon management's review of changing conditions that occur throughout the year. Should the School's financial trends continue in the same trajectory, management should be concerned about the financial stability of the School.

We wish to thank management and personnel for their support and assistance during our audit. We would be pleased to further discuss the contents of this report with you at your convenience.

This information is intended solely for the use of the Audit Committee, Board of Trustees, and management of Mott Haven Academy Charter School and is not intended to be and should not be used by anyone other than these specified parties.

Very truly yours,

MBAF CPAs, LLC

MBAF CPAs, LLC

October 20, 2014

MBAF CPA's, LLC
Accountants & Advisors
440 Park Avenue South
New York, NY 10016

Dear MBAF CPA's, LLC

We are writing to follow-up on items listed in your Audit Summary document titled "Communication with Those Charged with Governance". Mott Haven Academy Charter School appreciates the opportunities for strengthening internal controls or enhancing operating efficiency identified by MBAF CPAs LLC during its audit. The responses below are in reference to page 4 of your document.

**Management's Responses to MBAF's Opportunities for
Strengthening Internal Controls or Enhancing Operating Efficiency**

Federal Grants: Management has reviewed the recommendation and continually monitors all federal funding streams. We closely evaluate federal expenditures to determine if the school will be approaching an OMB Circular A-133 Audit.

Budgeting: Management appreciates the recommendation and is aware of the current financial situation. Management has developed a comprehensive multiyear budget model which includes plans to increase enrollment, reduce costs, and mitigate deficits. Management's long term goal is to have school operations be sustainable and viable. It is working towards that goal with the support of New York Foundling in the interim deficit years.

Please let us know if you need additional information. We appreciate the careful review your team has done of our systems and procedures.

Respectfully,



Jessica Nauiokas
Principal

Mott Haven Academy Charter School
PROJECTED BUDGET FOR 2014-2015

Assumptions

July 1, 2014 to June 30, 2015

DESCRIPTION OF ASSUMPTIONS - Please note assumption:

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

	REGULAR EDI	SPECIAL EDU	OTHER	FUNDRAISING	MANAGEMENT	TOTAL
Total Revenue	4902270.435	852837.1461		0	2502.427281	84860.41473 5842470.423
Total Expenses	4352922.154	891135.4281		0	26442.52298	882244.3954 6152744.5
Net Income	549348.2811	-38298.28204		0	-23940.0957	-797383.9807 -310274.0773
Actual Student f	290	60				290 Updated Total (Cell N10) to show Cell "I10" only
Total Paid Studt	290	60				290 Updated Total (Cell N11) to show Cell "I11" only

PROGRAM SERVICES

SUPPORT SERVICES

REGULAR EDI

FUNDRAISING

REVENUE

REVENUES FROM STATE SOURCES

	CY Per Pupil Rate	REGULAR EDI	SPECIAL EDU	OTHER	FUNDRAISING	MANAGEMENT	TOTAL
Per Pupil Revenue	13777						
District of Location		3995330	0	0	0	0	3995330
School District 2 (Enter Name)		0	0	0	0	0	0
School District 3 (Enter Name)		0	0	0	0	0	0
School District 4 (Enter Name)		0	0	0	0	0	0
School District 5 (Enter Name)		0	0	0	0	0	0
		3995330	0	0	0	0	3995330
Special Education Revenue		0	632079	0	0	0	632079
Grants							
Stimulus		0	0	0	0	0	0
Other		0	0	0	0	0	0
Other State Revenue		8219.9447	2144.3334	0	0	0	10364.2781
TOTAL REVENUE FROM STATE SOURCES		4003549.945	634223.3334	0	0	0	4637773.278

REVENUE FROM FEDERAL FUNDING

IDEA Special Needs		0	29675	0	0	0	29675
Title I		122710.5517	32011.44828	0	0	0	154722
Title Funding - Other		5378.827586	1403.172414	0	0	0	6782
School Food Service (Free Lunch)		184795.57	48207.54	0	0	0	233003.11
Grants							
Charter School Program (CSP) Planning & Implementation		0	0	0	0	0	0
Other		34180.7118	6032.336716	0	158.600884	5378.352807	45750.00221
Other Federal Revenue		0	0	0	0	0	0
TOTAL REVENUE FROM FEDERAL SOURCES		347065.6611	117329.4974	0	158.600884	5378.352807	469932.1122

LOCAL and OTHER REVENUE

Contributions and Donations, Fundraising		410915.661	72519.89494	0	1906.677294	64657.79331	550000.0265
Erate Reimbursement		31692.41379	8267.586207	0	0	0	39960
Interest Income, Earnings on Investments,		74.71193836	13.18543544	0	0.346668599	11.75596242	100.0000048
NYC-DYCD (Department of Youth and Community Developmt.)		0	0	0	0	0	0
Food Service (Income from meals)		0	0	0	0	0	0
Text Book		14835	3870	0	0	0	18705
Other Local Revenue		94137.04234	16613.64866	0	436.8024345	14812.51265	126000.0061
TOTAL REVENUE FROM LOCAL and OTHER SOURCES		551654.8291	101284.3152	0	2343.826397	79482.06192	734765.0326

TOTAL REVENUE		4902270.435	852837.1461	0	2502.427281	84860.41473	5842470.423
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List exact titles and staff FTE's (Full time equivilant)

EXPENSES

ADMINISTRATIVE STAFF PERSONNEL COSTS No. of Positions

Executive Management		0	0	0	0	0	0
Instructional Management	3	344842.8	0	0	8090.6	8090.6	361024
Deans, Directors & Coordinators	1	0	74572	0	0	0	74572
CFO / Director of Finance		0	0	0	0	0	0
Operation / Business Manager		0	0	0	0	0	0
Administrative Staff	3	0	0	0	0	115143	115143
TOTAL ADMINISTRATIVE STAFF	7	344842.8	74572	0	8090.6	123233.6	550739

INSTRUCTIONAL PERSONNEL COSTS

Teachers - Regular	25	1565165	0	0	0	0	1565165
Teachers - SPED	2	92185	0	0	0	0	92185
Substitute Teachers		0	0	0	0	0	0
Teaching Assistants	4	120201	0	0	0	0	120201
Specialty Teachers	6	338446	0	0	0	77250	415696
Aides		0	0	0	0	0	0

Mott Haven Academy Charter School
 PROJECTED BUDGET FOR 2014-2015

Assumptions

July 1, 2014 to June 30, 2015

DESCRIPTION OF ASSUMPTIONS - Please note assumption:

Please Note: The student enrollment data is entered below in the Enrollment Section beginning in row 147. This will populate the data in row 9.

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Actual Student f	290	60				290 Updated Total (Cell N10) to show Cell "I10" only
Total Paid Stud	290	60				290 Updated Total (Cell N11) to show Cell "I11" only

PROGRAM SERVICES

SUPPORT SERVICES

REGULAR EDI SPECIAL EDU OTHER

FUNDRAISING MANAGEMENT TOTAL

	REGULAR EDI	SPECIAL EDU	OTHER	FUNDRAISING	MANAGEMENT	TOTAL
Therapists & Counselors	6	0	345320	0	4120	0 349440
Other		0	0	0	0	0 0
TOTAL INSTRUCTIONAL	43	2115997	345320	0	4120	77250 2542687
NON-INSTRUCTIONAL PERSONNEL COSTS						
Nurse	1	0	0	0	0	100313 100313
Librarian		0	0	0	0	0 0
Custodian		0	0	0	0	0 0
Security		0	0	0	0	0 0
Other	4	0	0	0	0	103280 103280
TOTAL NON-INSTRUCTIONAL	5	0	0	0	0	203593 203593
SUBTOTAL PERSONNEL SERVICE COSTS	55	2460839.8	419892	0	12210.6	404076.6 3297019
PAYROLL TAXES AND BENEFITS						
Payroll Taxes		254732.2794	44956.08198	0	1181.975523	40082.25687 340952.5938
Fringe / Employee Benefits		470337.6256	97412.88552	0	1390.267456	57145.69483 626286.4734
Retirement / Pension		69496.67779	12265.02723	0	322.4694264	10935.33845 93019.5129
TOTAL PAYROLL TAXES AND BENEFITS		794566.5829	154633.9947	0	2894.712405	108163.2902 1060258.58
TOTAL PERSONNEL SERVICE COSTS		3255406.383	574525.9947	0	15105.31241	512239.8902 4357277.58
CONTRACTED SERVICES						
Accounting / Audit		0	0	0	0	25000 25000
Legal		0	0	0	0	5000 5000
Management Company Fee		0	0	0	3500	110000 113500
Nurse Services		0	0	0	0	0 0
Food Service / School Lunch		0	0	0	0	0 0
Payroll Services		0	0	0	0	7700 7700
Special Ed Services		0	12000	0	0	0 12000
Titlment Services (i.e. Title I)		0	0	0	0	0 0
Other Purchased / Professional / Consulting		31724.13793	8275.862069	0	0	9000 49000
TOTAL CONTRACTED SERVICES		31724.13793	20275.86207	0	3500	156700 212200
SCHOOL OPERATIONS						
Board Expenses		0	0	0	0	7500 7500
Classroom / Teaching Supplies & Materials		2775.862069	724.137931	0	0	0 3500
Special Ed Supplies & Materials		0	0	0	0	0 0
Textbooks / Workbooks		59521.77931	15527.42069	0	0	0 75049.2
Supplies & Materials other		24586.2069	6413.793103	0	0	0 31000
Equipment / Furniture		7442.514629	1815.432847	0	46.93337198	9995.119248 19300.0001
Telephone		39712	11424	0	544	2720 54400
Technology		5840	1680	0	80	400 8000
Student Testing & Assessment		23793.10345	6206.896552	0	0	0 30000
Field Trips		7931.034483	2068.965517	0	0	0 10000
Transportation (student)		9517.241379	2482.758621	0	0	0 12000
Student Services - other		26172.41379	6827.586207	0	0	0 33000
Office Expense		2190	630	0	380	35150 38350
Staff Development		85655.17241	22344.82759	0	0	23000 131000
Staff Recruitment		7300	2100	0	0	600 10000
Student Recruitment / Marketing		0	0	0	5000	1500 6500
School Meals / Lunch		130862.069	34137.93103	0	0	0 165000
Travel (Staff)		1460	420	0	0	120 2000
Fundraising		0	0	0	500	0 500
Other		3650	1050	0	0	8500 13200
TOTAL SCHOOL OPERATIONS		438409.3974	115853.7501	0	6550.933372	89485.11925 650299.2001
FACILITY OPERATION & MAINTENANCE						
Insurance		28198.2356	8111.8212	0	386.2772	1931.386 38627.72

Mott Haven Academy Charter School
 PROJECTED BUDGET FOR 2014-2015

Assumptions

July 1, 2014 to June 30, 2015

DESCRIPTION OF ASSUMPTIONS - Please note assumption:

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PROGRAM SERVICES

SUPPORT SERVICES

	REGULAR EDI	SPECIAL EDU	OTHER	FUNDRAISING	MANAGEMENT	TOTAL
Janitorial	0	0		0	0	1000 1000
Building and Land Rent / Lease	300614	86478		0	0	24708 411800
Repairs & Maintenance	232870	66990		0	0	66680 366540
Equipment / Furniture	0	0		0	0	0 0
Security	0	0		0	0	25000 25000
Utilities	0	0		0	0	0 0
TOTAL FACILITY OPERATION & MAINTENANCE	561682.2356	161579.8212		0	386.2772	119319.386 842967.72
DEPRECIATION & AMORTIZATION	65700	18900		0	900	4500 90000
DISSOLUTION ESCROW & RESERVES / CONTIGENCY	0	0		0	0	0 0
TOTAL EXPENSES	4352922.154	891135.4281		0	26442.52298	882244.3954 6152744.5
NET INCOME	549348.2811	-38298.28204		0	-23940.0957	-797383.9807 -310274.0773

ENROLLMENT - *School Districts Are Linked To Above Entries*	REGULAR EDI	SPECIAL EDU	TOTAL ENROLLED
District of Location	290	60	290
School District 2 (Enter Name)			0
School District 3 (Enter Name)			0
School District 4 (Enter Name)			0
School District 5 (Enter Name)			0
TOTAL ENROLLMENT	290	60	290
REVENUE PER PUPIL	16904.38081	14213.95243	0
EXPENSES PER PUPIL	15010.07639	14852.25713	0

Changed Cell K150 to "0"

Appendix E: Disclosure of Financial Interest Form

Created Monday, July 28, 2014

Updated Tuesday, July 29, 2014

Page 1

320700860925 MOTT HAVEN ACADEMY CS

An Appendix E: Disclosure of Financial Interest Form must be completed for each active Trustee who served on the charter school's Board of Trustees during the 2013-14 school year. Trustees are at times difficult to track down in the summer months. Trustees may complete and submit at their leisure (but before the deadline) their individual form at:

<http://fluidsurveys.com/surveys/vickie-smith/appendix-e-trustee-disclosure-form/>. Trustees may download and/or email their forms to you upon completion.

Trustees who are technologically advanced may complete the survey using their smartphones or other mobile devices by downloading the this bar code link to the survey <https://fluidsurveys.com/account/surveys/540612/publish/qrcode/>. (Make sure you have the bar code application reader on your phone).

If a Trustee is unable to complete the form by the deadline (i.e, out of the country), the school is responsible for submitting the information required on the form for that individual trustee.

Just send the links via email today to your Trustees requesting that they each complete their form as soon as possible.
Thank you.

Yes, each member of the school's Board of Trustees has received a link to the Disclosure of Financial Interest Form.

Yes

Thank you.

Appendix F: BOT Membership Table

Created Tuesday, June 24, 2014

Updated Wednesday, June 25, 2014

Page 1

320700860925 MOTT HAVEN ACADEMY CS

1. Current Board Member Information

	Full Name of Individual Trustees	Position on Board (Officer or Rep).	Voting Member	Area of Expertise &/or Additional Role	Terms Served & Length (include date of election and expiration)	Committee affiliations
1	Patricia Mulvaney	Chair/President	Yes	Compliance and Evaluation	6 annual terms; elected March 2008	Finance
2	Janet Campagna	Treasurer	Yes	Finance	6 annual terms; elected March 2008	Finance
3	Bill Baccaglino	Member	Yes	Child Welfare Expert	6 annual terms; elected March 2008	Education Fundraising
4	Meghan Mackay	Member	Yes	School Leadership; Academic Evaluation	5 Annual Terms; elected November 2009	Education Fundraising
5	Whitney Kneisley	Member	Yes	Fundraising; Event Planning; Volunteerism	4 Annual Terms; elected November 2010	Education Fundraising
6	Russell Valdez	Member	Yes	Law/Finance	1 Annual Term; elected May 2013	Finance
7	Kathleen Flores	Member	Yes	Education/Human Resources	1 Annual Term; elected May 2013	Education
8	Jessica Nauiokas	Secretary	No	MHACS Principal	6 annual terms; elected March 2008	Education

2. Total Number of Members Joining Board during the 2013-14 school year

0

3. Total Number of Members Departing the Board during the 2013-14 school year

0

4. According to the School's by-laws, what is the maximum number of trustees that may comprise the governing board?

13

5. How many times did the Board meet during the 2013-14 school year?

10

6. How many times will the Board meet during the 2014-15 school year?

10

Thank you.



Appendix H: Enrollment and Retention Efforts

The school is currently located in a private facility on 170 Brown Place in District 7, where it moved in 2010. According to ATS data from the 2013-2014 school year, our student body includes 97% Free and Reduced Lunch, compared to 92% in the district, 20.2% special education students compared to 21% in the district, and 16.4% English language learners compared to 19% in the district.

The school serves a unique student population of students in foster care, those receiving prevention services, and those from the general community. Haven Academy makes a good faith effort to attract, retain and educate a greater enrollment of students with disabilities, English language learners and students who are eligible for free and reduced lunch program. Our recruitment materials are widely available, in multiple languages, to all members of our community.

*District comparative data is based on the NYSED 2012-2013 district report card.

Appendix I: Teacher and Administrator Attrition

Created Tuesday, June 24, 2014

Updated Tuesday, July 29, 2014

Page 1

Charter School Name: 320700860925 MOTT HAVEN ACADEMY CS

Instructions for completing the Teacher and Administrator Attrition Tables

ALL charter schools should provide, for teachers and administrators only, the full time equivalent (FTE) of staff on June 30, 2013, the FTE for added staff from July 1, 2013 through June 30, 2014, and the FTE for any departed staff from July 1, 2013 through June 30, 2014 using the two tables provided.

2013-14 Teacher Attrition Table

FTE Teachers on June 30, 2013	FTE Teachers Additions 7/1/13 – 6/30/14	FTE Teacher Departures 7/1/13 – 6/30/14
23	9	3

2013-14 Administrator Position Attrition Table

FTE Administrator Positions On 6/30/2013	FTE Administrator Additions 7/1/13 – 6/30/14	FTE Administrator Departures 7/1/13 – 6/30/14
3	0	0

Thank you

Appendix J: Uncertified Teachers

Created Wednesday, June 25, 2014

Page 1

Charter School Name: 320700860925 MOTT HAVEN ACADEMY CS

Note Definition of FTE:

Full-time equivalent employees equal the number of employees on full-time schedules plus the number of employees on part-time schedules converted to a full-time basis. The number of full-time equivalent employees in each industry is the product of the total number of employees and the ratio of average weekly hours per employee for all employees to average weekly hours per employee on full-time schedules. An industry's full-time equivalent employment will be less than the number of its employees on full- and part-time schedules, unless it has no part-time employees (U.S. Commerce--Bureau of Economic Analysis at: http://www.bea.gov/faq/index.cfm?faq_id=368#sthash.8Rbj89kq.dpuf)

How many UNCERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of last day of school in 2013-14?

For each applicable category (i-iv), input the relevant full time equivalent (FTE) count of teachers.

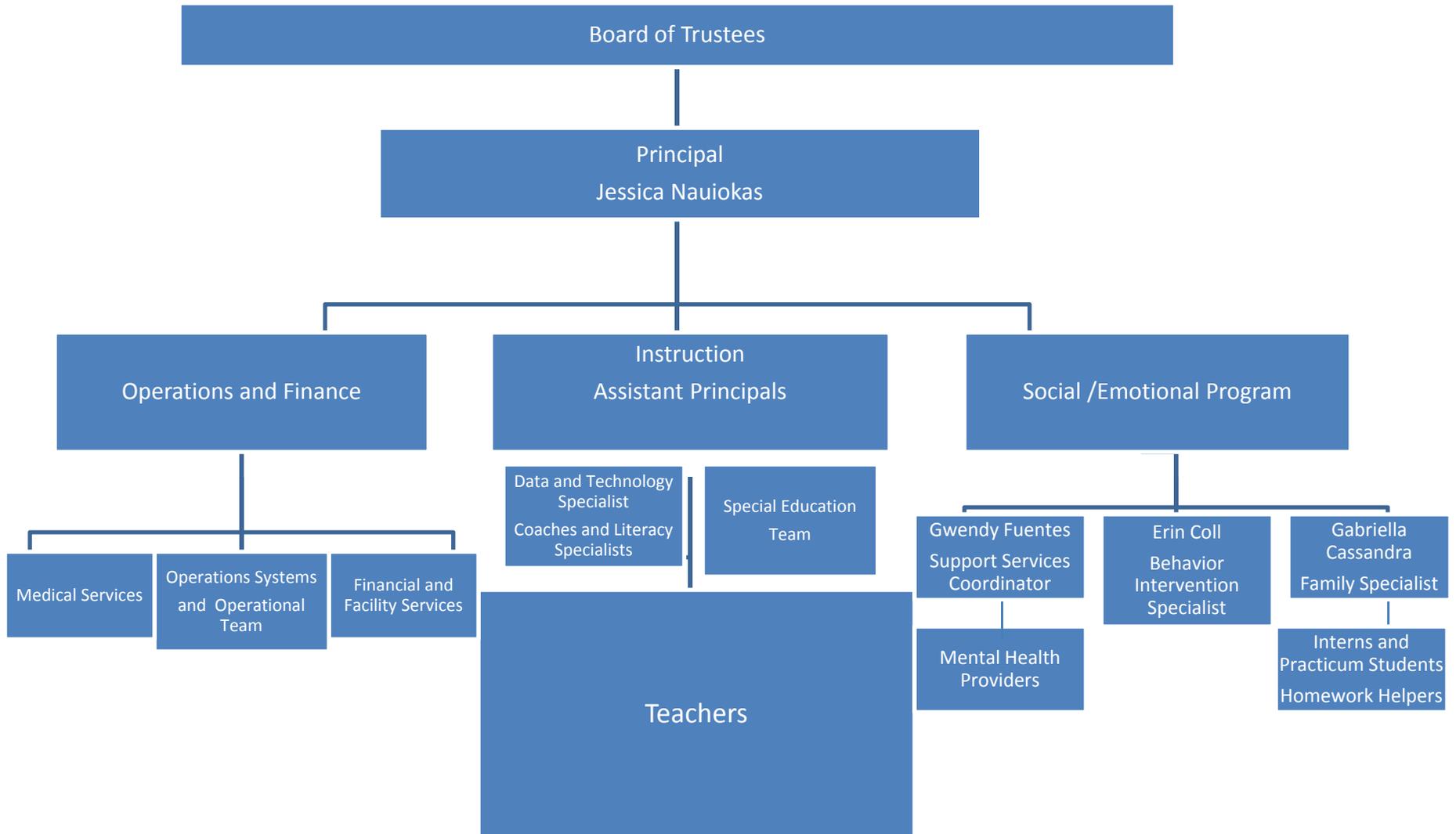
	FTE
(i) uncertified teachers with at least three years of elementary, middle or secondary classroom teaching experience	2
Total FTE (Sum of all Uncertified Teaching Staff)	2

How many CERTIFIED Full-Time Equivalent Teachers were employed in the charter school as of the last day of school in 2013-14?

20

Thank you.

Haven Academy Organizational Chart



Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 15, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/19ca0>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Jessica Naviokas

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Secretary
-

9. Are you a trustee and also an employee of the school?

Yes

9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next

[TEMP.0] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Position Held	Principal
[TEMP.1] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Responsibilities	School Leader
[TEMP.2] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Salary	\$155K
[TEMP.3] 9a. If YES, please provide a description of the position you hold and your responsibilities, your salary and your start date in the next Start Date	08/01/2008

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "D. [unclear]". The signature is written in a cursive style with a long horizontal line extending to the right.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Wednesday, July 16, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/cf202>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Russell Valdez

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

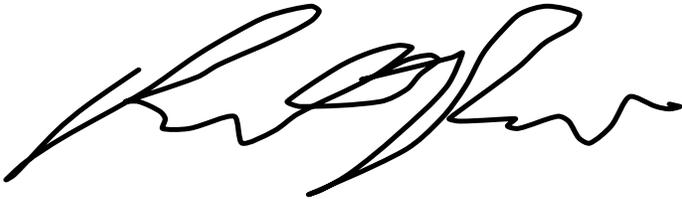
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to be a stylized name, possibly "R. J. [unclear]". The signature is written in a cursive, flowing style.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Thursday, July 24, 2014

Updated Monday, May 11, 2015

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/5a6ae>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Whitney Kneisley

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

• Other, please specify...: Trustee

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Whitney Knersley". The signature is written in a cursive style with a large initial 'W' and a long, sweeping tail on the 'y'.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Monday, July 28, 2014

Updated Monday, May 11, 2015

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/ebe62>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Meghan Mackay

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

• Other, please specify...: Board Member

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

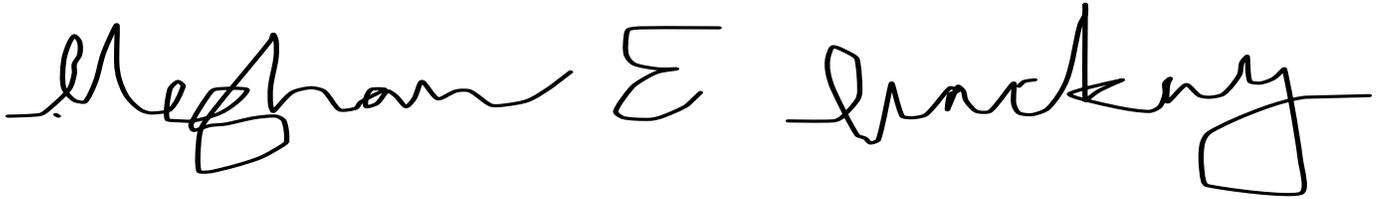
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, reading "Meghan E. Mackay". The signature is written in a cursive style with a large, stylized 'M' and 'E'.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 29, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/e8a3f>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Janet Campagna

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

-
- Treasurer
-

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

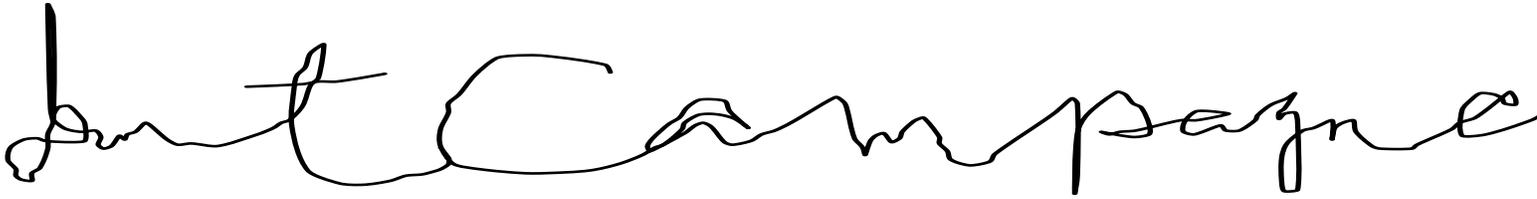
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Ant Campagne". The signature is written in a cursive, flowing style with some loops and flourishes.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 29, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/b22b2>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

William Baccaglini

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

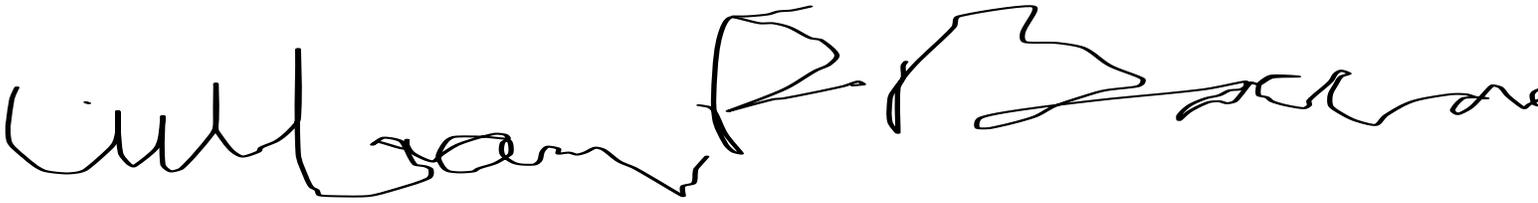
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink, appearing to read "William A. Johnson". The signature is written in a cursive style with some capital letters and is positioned horizontally across the page.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Tuesday, July 29, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/866d5>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

Patricia Mulvaney

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address:

8. Select all positions you held on Board:

(check all that apply)

• Chair/President

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

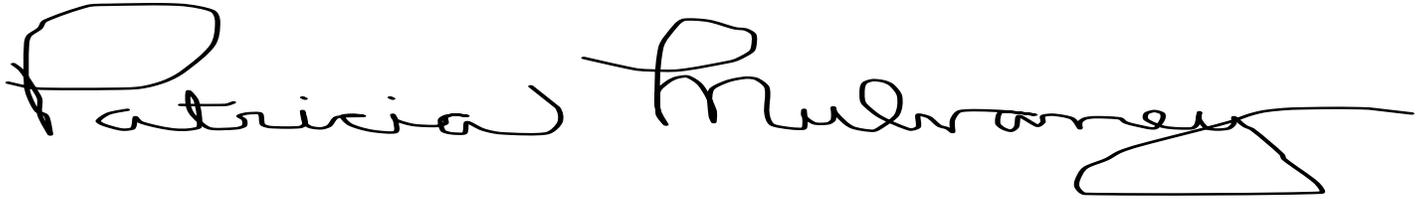
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Patricia Mulvaney". The signature is written in a cursive style with a large initial "P" and a long, sweeping underline.

Required Form: 2013-14 Appendix E - Disclosure of Financial Interest Form

Created Monday, July 21, 2014

<https://fluidsurveys.com/account/surveys/540612/responses/export//surveys/vickie-smith/appendix-e-trustee-disclosure-form/97641>

Page 1

Please open the link to this form using **Google Chrome** as your browser. Doing so will allow you to input your signature on page 2 of the form. Thank you.

1. Trustee Name:

kathleen chiehi flores

2. Charter School Name:

Mott Haven Academy Charter School

3. Charter Authorizer:

NYC Department of Education

4. *Your Home Address:

4. *Your Home Address: | Street Address

4. *Your Home Address: | City/State

4. *Your Home Address: | Zip

5. *Your Business Address

5. *Your Business Address | Street Address

5. *Your Business Address | City/State

5. *Your Business Address | Zip

6. *Daytime Phone Number:

7. *E-mail Address|

8. Select all positions you held on Board:

(check all that apply)

(No response)

9. Are you a trustee and also an employee of the school?

No

10. Are you a trustee and an employee or agent of the management company or institutional partner of the charter school?

No

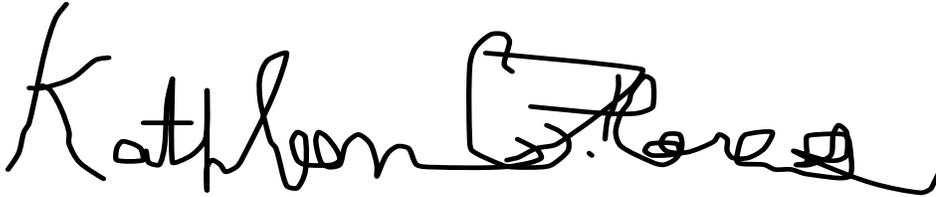
13. Have you or any of your immediate family members or any persons who live with you in your house had an interest in or engaged in a transaction with the charter school during the time you have served on the board, and in the six-month period prior to such service?

No

14. Are you a member, director, officer or employee of an organization formally partnered with school that is doing business with the charter school and in which such entity, during your tenure as a trustee, you and/or your immediate family member or person living in your house had a financial interest or relationship?

No

Signature of Trustee

A handwritten signature in black ink that reads "Kathleen S. Perez". The signature is written in a cursive style with a large initial 'K' and a distinct 'S' before the last name.