

VR-12: Notification to School District of Compliance Rate on State Performance Plan (SPP) Indicator #12 - Percent of Children Referred by Part C Prior to age 3, who are Found Eligible for Part B, and who have an IEP Developed and Implemented by their Third Birthday

(Based on Referral of Children from Part C Received Between July 1, 2009 and June 30, 2010, and Status of the IEP Implementation as of August 31, 2010.)

This report is your school district's compliance report for State Performance Plan Indicator 12, which is the percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthday. Once you verify your data as complete and accurate, this becomes your compliance notification and you must take the actions identified in the report.

The data elements that are displayed in this report are from the Special Education Events template for one chain that begins with Event Type Code EI01 (receipt of initial referral from Part C to evaluate a preschool child for special education eligibility). The following templates (or tables) are also used: Student_Lite (Student Demographics); and School_Enroll (Enrollment). Students with the following records are **included** in this report:

Enrollment Records:

- Enrollment record between July 1, 2009 and June 30, 2010 with reason for beginning enrollment code 4034 ; **and**
- Building of Enrollment code is a valid code in SEDREF; **and**.

Any of the following Special Education Event records are submitted:

EI01- receipt of initial referral from Part C to evaluate the child for preschool special education eligibility. Date of referral is between July 1, 2009 and June 30, 2010.

EI02 - receipt of written parent consent to evaluate the child (all events after 08/31/10 are ignored)

EI03 - CPSE meeting to determine eligibility (all events after 08/31/10 are ignored)

EI04 – full IEP implementation (all events after 08/31/10 are ignored)

This report includes a listing of students who are **included** in the report, which are those students that meet the above criteria and a listing of students who are **excluded** from the report, which are those students that meet some of the above criteria but not all. Most students with missing or inaccurate data are included in the report and in the calculation of the compliance rate. School districts should use these lists and report to verify the accuracy of data submitted for each student and to provide missing data. The reports with aggregate numbers provide the results the State will use in all Statewide and district level aggregations for compliance determinations, reporting and analysis.

Below is a description of the criteria for including student records in each cell of the seven rows of data in this report.

Data for Indicator #12

	Number of Children
1. Number of children who were served in Part C and referred to Part B for eligibility determination.	The number of children that have an EI01 record with a date from July 1, 2009 to June 30, 2010.
2. Number of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthday.	Event Outcome Code = N and EI03 is on or before child's third birthday
3. Number of those found eligible who had an IEP developed and implemented by their third birthday.	Event Outcome Code = Y and EI04 date is on or before child's third birthdate.
4. Number of children for whom delays in determination of eligibility or delays in implementing the IEP were caused by reasons that are in compliance with State requirements.	<p>Event Outcome Code is U and child's third birthday is after August 31, 2010 (reason code is not a factor).</p> <p>OR</p> <p>Reason Code = EIR01 or EIR02 or EIR03 or EIR04 or EIR06 or EIR07 or EIR08 or EIR11 or EIR13 or EIR14 or EIR16 or EIR17 AND one of the following: [Event Outcome Code is N and EIO3 is after child's third birthday.] or [Event Outcome Code is U and child's third birthday is on or before August 31, 2010.] or [Event Outcome Code is U and EI03 is after child's third birthday.] or [Event Outcome Code is Y and EI04 is after child's third birthday.] or [Event Outcome Code is Y and EI04 is missing and child's third birthday is on or before August 31, 2010.]</p>
5. Number of children for whom delays in determination of eligibility or delays in implementing the IEP were caused by reasons that are NOT in compliance with State requirements.	<p>Reason Code = EIR05 or EIR09 or EIR10 or EIR12 or EIR15 AND one of the following [Event Outcome Code is N and EI03 is after child's third birthday.] or [Event Outcome Code is U and child's third birthday is on or before August 31, 2010.] or [Event Outcome Code is U and EI03 is after child's third birthday.] or [Event Outcome Code is Y and EI04 is after child's third birthday.] or [Event Outcome Code is Y and EI04 is missing and child's third birthday is on or</p>

	Number of Children
	before August 31, 2010.]
6. Number of children for whom there was missing data or inaccurate data	One or more of the required data elements are missing or are inaccurate.
7. Compliance Rate [Line 3 divided by (Line 1 minus Line 2 minus Line 4) *100]	

Reasons provided by the school district*

Reasons in Compliance with State Requirements (for children included in Row 4 of the above table)*	Number of Children
EIR01 or EIR02 or EIR03 or EIR04 or EIR06 or EIR07 or EIR08 or EIR11 or EIR13 or EIR14 or EIR16 or EIR17 or child's third birthday is after August 31, 2010	Number of children with each Reason Code that is in compliance with State requirements
Reasons NOT in Compliance with State Requirements (for children included in Row 5 of the above table)*	
EIR05 or EIR09 or EIR10 or EIR12 or EIR15	Number of children with each Reason Code that is not in compliance with State requirements
Students for Whom There are Missing Data or Inaccurate Data (children are included in Row 6 of the above table)**	

*Only the reasons provided by the school district will be included in this table.

**It is possible for a school district to improve its compliance rate by providing missing data or making corrections to inaccurate data.

There are no reasonability checks for this report, this year.

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(Based on 2009-10 School Year Data)

Name: XXXXXXXXXXXX

SED Code: XXXXXXXXXXXXX

Date of Notification: Month, Date, Year when data are certified

Your district was assigned to report data for the 2009-10 school year on State Performance Plan Indicator 12, which is percent of children referred by Part C prior to age 3, who are found eligible for Part B, and who have an IEP developed and implemented by their third birthdays. You have submitted data for this indicator through the Special Education Events template in the chain that begins with an Event Type Code EI01 (initial referral from Early Intervention to the CPSE).

This report is your school district's compliance report for this indicator. Once you verify your data as complete and accurate, this becomes your notification and you must take the actions identified below.

Your district's report indicates that XXX percent of children referred by the Part C, Early Intervention Program prior to age 3, who were found to be eligible for Part B, preschool special education programs and services had their IEP developed and implemented prior to their third birthday or in compliance with timelines established in State law. Provided below are data that were used to compute your compliance rate. The State target for this indicator as stated in NYS' SPP is that 100% of children referred by Part C prior to age 3, who are found eligible for Part B will have their IEP developed and implemented by their third birthday or in compliance with timelines established in State law.

School districts are required to take steps to correct any noncompliance as soon as possible but no later than one year from notification of noncompliance (the date on this notice). The reasons reported by your district for delays in implementing IEPs on time may assist you in addressing issues leading to noncompliance.

Your district must take the actions identified in the section indicated by an X:

None. Your school district has a 100% compliance rate for this indicator or your school district did not have any students referred from Early Intervention or your school district did not have any eligible children that required their IEP to be implemented by the child's third birthday or all reasons for delay in implementing the IEP by the child's third birthday were in compliance with State requirements. Thank you for your efforts in ensuring timely implementation of IEPs of children referred by Part C for preschool special education programs and services.

___ Your school district's compliance rate is 90 percent to less than 100 percent.

- Review your district's data and identify the issues contributing to noncompliance.
- Identify activities and develop an improvement plan to address district issues of noncompliance. This plan is **NOT** submitted to SED but must be maintained by your district and be made available upon request.
- As soon as possible, but no later than by one year from the date on this notice, submit an online assurance that all issues of noncompliance are corrected. This assurance is to be submitted by logging onto <http://pd.nysed.gov> website by using your district's assigned userid and password. The Statement of Assurance is available for your use under the 2009-10 school year verification reports. If you are not able to correct all issues so that IEPs are implemented within the required State timelines, you must notify your regional Special Education Quality Assurance (SEQA) office.

___ Your school district's compliance rate is below 90 percent.

- Review your district's data and identify the issues contributing to noncompliance.
- Identify activities and develop an improvement plan to address district issues of noncompliance. This plan is **NOT** submitted to SED but must be maintained by your district and be made available upon request.
- As soon as possible, but no later than by one year from this notification, submit an online assurance that all issues of noncompliance are corrected. This assurance is to be submitted by logging onto <http://pd.nysed.gov> website by using your district's assigned userid and password. The Statement of Assurance is available for your use under the 2009-10 school year verification reports. If you are not able to correct all issues so that IEPs are implemented within the required State timelines, you must notify your regional Special Education Quality Assurance (SEQA) office.
- Your school district is required to re-submit data related to Indicator #12 during the 2011-12 school year if you have not already re-submitted Indicator 12 data at least once in an earlier year to document improvement in your compliance rate and to improve the data that are published in the Special Education School District Data Profile at <http://eservices.nysed.gov/sepubrep/>. The 2011-12 school year is from July 1, 2011 to June 30, 2012. The process for submitting data on this indicator in 2011-12 school year is the same process you used this year, which is reporting data through the Student Information Repository System.

Data for Indicator #12

	Number of Children
1. Number of children who were served in Part C and referred to Part B for eligibility determination.	
2. Number of those referred determined to be NOT eligible and whose eligibilities were determined prior to their third birthday.	
3. Number of those found eligible who had an IEP developed and implemented by their third birthday.	
4. Number of children for whom delays in determination of eligibility or delays in implementing the IEP were caused by reasons that are in compliance with State requirements.	
5. Number of children for whom delays in determination of eligibility or delays in implementing the IEP were caused by reasons that are NOT in compliance with State requirements.	
6. Number of children for whom there was missing data or inaccurate data	
7. Compliance Rate [Line 3 divided by (Line 1 minus Line 2 minus Line 4) *100]	

Reasons provided by the school district or Students Whose Data are Missing or Inaccurate:

Reasons in Compliance with State Requirements	Number of Children
Reasons NOT in Compliance with State Requirements	
Students for Whom There are Missing Data or Inaccurate Data	

Please contact your Special Education Quality Assurance Office (SEQA) (<http://www.vesid.nysed.gov/specialed/quality>) for assistance in addressing any issues of noncompliance.

If you have questions regarding the data and procedures included in this report, please submit your questions to SEDCAR at vesidcar@mail.nysed.gov or call (518) 486-4678.